OPEN ACCESS FUNDS IN ACTION

SPARC*

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
Boston College University Libraries	No set amount	February, 2014	Auy	All Boston College faculty, researchers and currently enrolled students are eligible to apply for funding.	Peer-reviewed articles published in fully open access journals and accessible immediately on publication are eligible. The Directory of Open Access Journals and the Open Access Scholarly Publishers Association will be used to vet the journals' quality and open access credentials, as will articles in the academic press about predatory practices. "Hybrid" open access journals, are not eligible.	 Authors who have external funding which can be used for publishing fees are not eligible. The annual funding limit for each author will be \$3000. Authors who receive OA funding must also deposit the article in 	 # Articles Approved: 79 # Articles Reimbursed: N/A # Unique Submitting Authors: 59 # Unique Departments: 17 # Unique Journals: 52 # Unique Publishers: 24 NOTES: Through June 30, 2019
Brandeis University Library & Technology Services	\$25,000	October, 2013		LTS funding is available to faculty, staff and students. Only one author per article may apply.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: Members of the Open Access Scholarly Publishing Association (OASPA) All Journals in the Directory of Open Access Journals that allow author to retain distribution rights. Hybrid journals that allow authors to retain distribution rights. 	eScholarship@BC. In order to include as many authors as possible while covering typical costs LTS will support 100% of the supported charges with a limit of \$3000.00 Only those fees associated with open access publishing are eligible for	 # Articles Approved: 18 # Articles Reimbursed: 16 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 14 # Unique Publishers: 8 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Brock University Library	\$10,000	October, 2011	One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	The fund is open to Brock Faculty, Librarians, Staff, Graduate Students, Postdoctoral Fellows.	The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal.	support. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding. Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA dissemination costs. Each eligible applicant is entitled to one grant.	<pre># Articles Approved: 60 # Articles Reimbursed: 40 # Unique Submitting Authors: 54 # Unique Departments: 14 # Unique Journals: 51 # Unique Publishers: 23 NOTES: Through June 30, 2019</pre>
Carleton Library/Office of the Vice President (Research & International)	\$50,000 in combined contributions from the Library and	May 1, 20012	The pilot project will be evaluated in relation to its contribution to	All Carleton University faculty, post-doctoral fellows, graduate students and full-	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of	\$2500 per article for fully Open Access journals and a cap on any one author of	# Articles Approved: 48 # Articles Reimbursed: 48 # Unique Submitting Authors: 35 # Unique Departments: 12

the Office of WP Research; the Library has committed an additional 325,000 as of summer, 2015 Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pulsational availability of sustainable base funding. the content of the entire journal is freely and globally available for open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may availability of sustainable base funding. \$5000 per year. # Unique Journals: 28 # Unique Publishers: 20 V0 PR Access committed an dwto have the outcome of the sustainable base funding. The open Access funding. Source open Access funding. Source open Access funding. NOTES: Through June 30, 2016 V0 PR Access sustainable base funding. Source open Access funding. In addition, to be eligible, authors must be publishing in a journal which: 	Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Carleton.		VP Research; the Library has committed an additional \$25,000 as of summer,		Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable	staff who are named as an author of a research article and who have the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the authors of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation, granting agency, or other institutions	globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be publishing in a journal which: is listed in the Directory of Open Access Journals is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct has a publicly available standard fee schedule has a policy to substantially waive fees in		# Unique Publishers: 20 NOTES: Through June 30,
Carnegie Mellon University LibrariesOpen-ended, with \$45,131May, 2013Carnegie Mellon faculty, research The publisher of the journal is a member of the Open Access Scholarly PublishersMaximum funding per article is# Articles Approved: 30University Librarieswith \$45,131Carnegie Mellon faculty, research The publisher of the journal is a member of the Open Access Scholarly Publishers# Articles Approved: 30			May, 2013		-		-	# Articles Approved: 30 # Articles Reimbursed: 30

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	through June, 2015			docs, and graduate students are eligible if (a) they are the corresponding author on the article and (b) they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs).	OASPA Code of Conduct. The publisher does not appear on Beall's List of Predatory Open Access Publishers. OR The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding.	funding per author per fiscal year is \$3000. Unused amounts do not roll over to the next year.	Authors: 25 # Unique Departments: 13 # Unique Journals: 20 # Unique Publishers: 13 NOTES: Through June 30, 2015
<u>Colorado State</u> <u>University Libraries</u>	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must deposit the article into CSU's digital repository	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	 # Articles Approved: 224 # Articles Reimbursed: 118 # Unique Submitting Authors: 213 # Unique Departments: 35 # Unique Journals: 149 # Unique Publishers: 61 NOTES: Through June 30, 2019
Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011	January 2010	2016	Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after	COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant	 # Articles Approved: 109* # Articles Reimbursed: 90 # Unique Submitting Authors: 243 # Unique Departments: 54 # Unique Journals: 59 # Unique Publishers: 21

Sponsor	Value	Date Established	Date Limit, If Anv	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	January 1, 2010 are eligible for this program.	Levels funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or	*The cumulative number of articles approved reflects a downward revision to the FY14–15 figure previously reported, based on a review and correction of the data. NOTES: Through June 30, 2016. The Fund is on hiatus.
						not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain); Articles funded by an institution	
						that itself pays publication fees on behalf of the author (such as Wellcome Trust). Authors may receive funding for up to \$3,000 per year for all	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			article-processing	
						charges. Unused	
						amounts do not	
						roll over to future	
						years. The most an	
						author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with	
						multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for	
						a prorated portion	
						of the publication	
						fee. An example:	
						An article with	
						three authors, two	
						of whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee.	
						Each Columbia	
						author	
						may apply for	
						reimbursement for	
						\$1,000. In the	
						hypothetical case	
						of an eligible	
						journal with a	
						\$6,000 publication	
						fee, each Columbia	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Concertio University	¢40.000			The Fund is non-		author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000.	# Articles Assessed 100
<u>Concordia University</u> <u>Libraries/Office of</u> <u>Research</u>	\$40,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship. The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund. Fund recipients must enable the deposit of their article in Spectrum: Concordia University Research Repository.	 # Articles Approved: 169 # Articles Reimbursed: 169 # Unique Submitting Authors: 99 # Unique Departments: 22 # Unique Journals: 76 # Unique Publishers: 34 NOTES: Data through June 30, 2019
Cornell University	\$50,000,	September,	All aspects of	Available to any	Publication and processing fees for scholarly	- Articles for which	# Articles Approved: 193

https://sparcopen.org/our-work/oa-funds

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Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
<u>Library</u>	with support	2009	this program,	Cornell faculty,	peer-reviewed articles in open-access	alternate	# Articles Reimbursed: 193
	from the		including the	post-doctoral	journals meeting these requirements:	publication funding	# Unique Submitting
	Provost's		amount of	researcher, staff		is available are not	Authors: 132
	Office		funding	member, or	* Listed in the Directory of Open Access	eligible for COAP	# Unique Departments: 56
			committed to	student author	Journals	funds. This	# Unique Journals: 86
			the program,	affiliated with		includes articles	# Unique Publishers:27
			will be analyzed	Cornell's Ithaca	* Member of the Open Access Scholarly	whose research	
			and reviewed	campus.	Publishers Association or adherent to its	was funded by	NOTES: Through June 30,
			on a regular		Code of Conduct	grants or gifts that	2019
			basis. If the			allow grant funds	
			pilot project		* Provide unfettered access to all peer-	to be used for	
			proves to be		reviewed articles (journals with a hybrid	article processing	
			valuable to		open-access model or delayed open-access	fees (regardless of	
			scholarly		model are not eligible)	whether that	
			communication,			particular grant	
			COAP may		* Publicly available standard article fee	had budgeted for	
			receive		schedule.	such fees).	
			continued				
			funding.		* Policy to waive fees in case of economic	- Reimbursement	
			\$50,000 was		hardship.	cap for a single	
			added to the			article is \$3,000.	
			fund in July,		COAP funds may also be applied to		
			2014		publication and processing fees for book	- Authors may	
					chapters and books.	receive	
						reimbursement for	
						up to \$3,000 per	
						year for all	
						submission and	
						publication	
						charges.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	

https://sparcopen.org/our-work/oa-funds

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are Cornellians, each may enter an application for \$1,000. If both apply, \$2,000 will be sent to the journal. If the journal has a \$6,000 publication fee, the \$3,000 per article cap will apply and each Cornell author may only apply for	
Dartmouth College	N/A	Fall, 2009		Dartmouth faculty and graduate students.	Established, scholarly open access journals. Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available by open access are not eligible. In addition, eligible journals must:	\$1,000. The limit for a single payment is set at \$3,000. Individuals may be supported up to \$3,000 per year in journal payments,	 # Articles Approved: 175 # Articles Reimbursed: 175 # Unique Submitting Authors: 131 # Unique Departments: 18 # Unique Journals: 116 # Unique Publishers: 34

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Lotabilorica	,,		- Be listed in the Directory of Open Access	in order to make	
					Journals (www.doaj.org)	funding available	NOTES: Data through June
						to the greatest	30, 2019
					- Be a member of the Open Access Scholarly	number of authors.	
					Publishers Association or adhere to its Code	In the case of	
					of Conduct	multiple authors,	
						an individual	
					- Make their standard fee schedules publicly	author's support	
					accessible	will be set at a	
						prorated portion of	
					- By policy, waive their fees in cases of	the publication	
					financial hardship	fee, which will be	
						set by dividing the	
						fee by the number	
						of authors.	
Duke University	\$35,000	September,	Subject to	Duke faculty	Articles accepted for publication in a peer-	Individual authors	# Articles Approved: 352
Libraries, Duke		2010	periodic	members, post-	reviewed, open access publication, as	may receive a	# Articles Reimbursed: 259
University School of			evaluation	doc researchers,	determined by:	maximum of	Unique Submitting
Medicine, and the				and graduate or		\$3,000	Authors: 425
Office of the Provost				professional	* Listing in the Directory of Open Access	reimbursement of	# Unique Departments: 48
				students	Journals	article processing	# Unique Journals: 151
					* Membership in the Open Access	fees in an	# Unique Publishers: 38
					Scholarly Publishers Association	academic year,	
					* Have policies and practices consistent	with a maximum	NOTES: Through June 30,
					with the Open Access Scholarly Publishers	per-article	2019
					Association Code of Conduct	reimbursement of	
						\$2,000. Unused	
					Only journals that are fully open access	funds do not roll	
					based on a published schedule of article	over to future	
					processing fees are eligible. The fund cannot	years.	
					be used to support "hybrid" open access		
					publishing, where individual articles from	When there are	
					journals that are generally subscription	multiple eligible	
					access only are made openly available when	Duke authors for	
					author fees are paid. Only journals that do	an article, each	
					not charge readers or institutions for access	author is	
					to peer-reviewed content are eligible.	responsible for a	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						prorated portion of	
						article processing	
						fees and can be	
						reimbursed for	
						that amount, up to	
						the annual cap.	
						Articles that are	
						supported by a gift	
						or grant from a	
						foundation,	
						institution or	
						agency that allows	
						granted funds to	
						be used for article	
						processing fees are	
						not eligible for	
						reimbursement	
						from COAPE funds	
						•	
Emory University	\$25,000	September		Emory University	The article or book must be published with	Open access	# Articles Approved: 193
<u>Libraries</u>		1, 2012		faculty, post-	an open access publisher that does not	publishing funds	# Articles Reimbursed 128
				docs, researchers	charge readers or institutions for access to	are awarded on a	# Unique Submitting
				and currently	the publication. To be eligible, journal	first come, first	Authors 236
				enrolled graduate	publishers must be listed in the Directory of	serve basis to a	# Unique Departments 41
				and	Open Access Journals (DOAJ) and book	maximum	# Unique Journals 89
				undergraduate	publishers may be listed in the Directory of	reimbursement of	# Unique Publishers 27
				students are	Open Access Books (DOAB). Also, a publisher	\$1,500.00 per	
				eligible to apply	must be a member of Open Access Scholarly	article or book.	NOTES: Through June 30,
				for funds for open	Publisher's Association (OASPA) or meet its	Funds may be used	2019
				access fees for	Code of Conduct.	for open access	
				articles and books		publishing and	
				connected with	Journals or books with a hybrid model (some	processing fees,	
				their research	content by fee and some content open	including open	
				activities at	access) or delayed open access are not	access page	
				Emory.	eligible for this fund. Articles and books	charges. Funds	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	Preference is given to authors who have not been previously funded. In the case of an article or book with multiple authors, each author is responsible for a prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund reimbursement	must be fully available open access at the time of publication.	Levels may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund.	
<u>Florida State</u> <u>University Libraries</u>	\$25,000	December, 2013	June, 2017	per fiscal year. Florida State University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded.	To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible for this fund.	Maximum reimbursement is \$1,500.00 per article or book. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole	 # Articles Approved: 48 # Articles Reimbursed: 47 # Unique Submitting Authors: 42 # Unique Departments: 22 # Unique Journals: 27 # Unique Publishers: 16 NOTES: Through June 30, 2016. The fund was discontinued in 2017 and re-purposed to focus on mini-grants for OER and digital humanities.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						Commons.	
George Mason	\$25,000	October		All Mason faculty	The journal must:	Individuals eligible	# Articles Approved: 114
University Libraries	annually	2012		members,		to apply for	# Articles Reimbursed: 114
			registered	Be listed in the Directory of Open Access	publisher payment	# Unique Submitting	
				graduate	Journals	of an APF may	Authors: 82*
				students, and	Hold membership in the Open Access	receive up to	# Unique Departments:
				post-doctoral	Scholarly Publishers Association or	\$3,000 per fiscal	28*
				fellows are	demonstrate its adherence to the OASPA	year, with a \$3,000	# Unique Journals: 60*
				eligible.	Code of Conduct	cap per article.	# Unique Publishers: 28*
					Publish its standard fee schedules, and		
					Waive its article processing fee in cases of	Fees are pro-rated	NOTES: Through June 30,
					financial hardship, as evidenced by its public	for multi-authored	2017
					policy.	articles. That is, if	
						more than one	* approximate
					Support for a hybrid journal open access fee	author from	
					will be considered if:	Mason applies for	
						funding support	
					the publisher is a non-profit society or	for the same	
					institution,	article, the article	
					access to the journal's proprietary content	processing fee will	
					is available only through subscription with	be divided equally,	
					the society or institution and not through a	up to the	
					for-profit aggregator like Proquest, Ebsco, or	\$3,000/article cap.	
					Elsevier,		
					the fee and its use are clearly indicated on		
					the journal or publisher website, and		
					the fee is waived for authors from		
					developing nations or those with		
					demonstrated financial hardship.		
Grand Valley State	\$35,000/yr	Fall 2011	The Fund will	- Applicant must	- Funding is available for newly published	- There is no limit	# Articles Approved: 128
University Libraries		be reviewed	be a current	(within the past six months), peer reviewed	to the per article	# Articles Reimbursed: 121	
		annually	GVSU tenure-	articles in open access or hybrid journals that	amount that can	# Unique Submitting	
			adjustments	track, visiting,	charge a publication fee associated with the	be funded, but an	Authors: 120
			made as	affiliate and	cost making an article freely available.	author may not	# Unique Departments: 33
			necessary.	adjunct faculty,		receive more than	# Unique Journals: 107
				staff, or currently	- Costs for reprints, color illustration fees,	\$3,000 in one year.	# Unique Publishers: 36
				registered	non-open access page charges,		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				graduate student Applicant must be listed as one of the authors, and article must indicate GVSU affiliation.	 administrative charges and other fees are not applicable. Articles must be made freely available on the web at the time of initial publication, with no embargo period. Eligible journals must be listed in the Directory of Open Access and Hybrid Journals or meet the DOAJ's selection criteria. The Libraries has a selective list of open access and hybrid publisher fees for quick reference. Eligible journals must make their fee schedule publicly available online. 	- Authors with grant support or external funding to cover publishing fees are ineligible. - For articles with multiple authors, each author is responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are from GVSU, each may separately apply for \$1,000. If both apply, up to \$2,000 of the fees will be reimbursed, with \$1,000 being applied to each person's \$3,000 annual award limit.	NOTES: Through June 30, 2019
Harvard University Library/Office for Scholarly Communication	\$60,000	Fall, 2009	None	Funds are available to any Harvard employee and	- HOPE only covers publication fees for scholarly articles, not books or other genres of scholarship.	- \$3000 per Harvard author, per fiscal year with no limit on number	# Articles Approved: 369 # Articles Reimbursed: 210 # Unique Submitting Authors: 362

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	student for articles resulting from their research activities. Faculty and others who are covered by one of the Harvard <u>open-</u> <u>access</u> <u>policies</u> must be in substantial compliance with the relevant open-access policy.	 If the research underlying the article was funded by a grant or other institution willing to pay the fee, then HOPE will typically not pay the fee. HOPE will consider exceptions case by case. The journal publishing the article must meet certain conditions: It must be a <i>full</i> (not <i>hybrid</i>) open-access journal. It must make articles open access under a Creative Commons license. It must have publicly available standard article fee schedule, and the fee requested by the Harvard author must conform to that standard fee schedule. It must have a policy to waive or discount its fees in cases of economic hardship. It must be listed in the Directory of Open Access Journals (DOAJ), unless it's too new for DOAJ eligibility. The journal's publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. 	Levels of articles reimbursed but any single award capped at \$3000. - Articles with 2+ authors: HOPE reimburses Harvard affiliates for a prorated share of the fee: if an article has N co- authors, where 2 listed authors are Harvard affiliates, the reimbursement is capped at 2/N of the APC, not to exceed \$3000, regardless of which of the Harvard authors submits the application.	# Unique Departments: 74 # Unique Journals: 180 # Unique Publishers: 50 NOTES: Data through June 30, 2019
Indiana University-	\$47,000	July, 2013	The pilot will be	Funds are	More details may be found <u>here</u> . To be eligible, a journal must meet these	No cap on per-	# Articles Approved: 212
Purdue University	initially, with	,,,	evaluated after	available for	additional requirements:	article funding.	# Articles Reimbursed:

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated December 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor Indianapolis (IUPUI) Library	Value support from the IUPUI University Library, the Office of the Vice Chancellor for Research, IU School of Dentistry and the Robert H. McKinney School of Law; a second 2- year funding cycle of \$50,000 began in mid-2015	Date Established	Date Limit, If Any two years	Who is Eligible?	What is Eligible? • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility), • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. Journals with a hybrid open-access model or delayed open-access model are not eligible.	Levels Articles for which alternative funding is available are not eligible for use of the fund. A onetime exception to this rule will be made for authors who did not include dissemination fees in the budget of the grant or contract. Articles with co- authors from other institutions will be supported at a prorated portion of the article processing fees. Authors from all IUPUI departments and schools are encouraged to apply. Although	Progress/Success To Date 134 # Unique Submitting Authors: 149 # Unique Departments: 53 # Unique Journals: 149 # Unique Publishers: 50 NOTES: Data through June 30, 2019
						article processing fees for eligible publications will be distributed on a	
						first-come, first- served basis, disbursement will be monitored to ensure diverse participation	

Jefferson (Philaddiphia University u-Thomas Jefferson University) \$30,000 October 15, 2018 October 15, 2018 Faculty, students, and staff who to publishen Jefferson University) The journal must have one or more of the following: a listing in the Directory of Open Access Journals (DOAJ); membership in the Open Access (OA) journals The journal must have one or more of the following: a listing in the Directory of Open Access Journals (DOAJ); membership in the Open Access Scholarly Publishers Association (DASPA); or adherence to the OASPA Code of Conduct. The maximum submore director of Open Access Scholarly Publishers Association (OASPA); or adherence to the OASPA Code of Conduct. # Articles Approved: 16 # Unique Journals: 16 # Unique Departments: 10 # Unique Publishers: 10 # Unique P	Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Funds will be provided on a first-	<u>Jefferson</u> (Philadelphia University + Thomas		Established	Any	Faculty, students, and staff who wish to publish in Open Access (OA)	The journal must have one or more of the following: a listing in the Directory of Open Access Journals (DOAJ); membership in the Open Access Scholarly Publishers Association (OASPA); or adherence to the OASPA Code of Conduct. Additionally, journals must use DOIs as permanent identifiers; have International Standard Serial Numbers; deposit content with a long-term digital preservation or archiving program; allow generous reuse in accordance with a CC BY, CC BY-SA or CC BY- NC license; have a deposit policy registered with a deposit policy registry; have a standard article fee schedule publicly posted; and have a policy to waive fees in cases of economic hardship. Hybrid publications that make some articles free while charging subscriptions for others	Levels across the schools. As an added service, the IUPUI University Library will deposit a copy of funded articles in the IUPUIScholarWorks The maximum support Jefferson will provide is \$2,500 per article or \$2,500 per author for the 2018 academic year The amount is based on the number of contributing authors to the article. For example: If a paper has two authors, and one is from an outside institution, with an APC of \$1,000, Jefferson would cover \$500 of the cost.) Funds will be	 # Articles Approved: 16 # Articles Reimbursed: 15 # Unique Submitting Authors: 16 # Unique Departments: 10 # Unique Journals: 13 # Unique Publishers: 10 NOTES: Data through June

https://sparcopen.org/our-work/oa-funds

Sponsor	Value	Date Established	Date Limit, lf Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Johns Hopkins University Libraries	\$72,000	FY 2012	January, 2015	Because the request for support exceeds the funds available, this year the OAPF is limiting grants to Hopkins students, postDocs and early-career faculty. The applicant must be an author on the paper in question.	 Must be published in a peer-reviewed fully Open Access journal (defined as any journal that appears in the Directory of Open Access Journals). Cannot be used to pay for publishing in 'hybrid' Open Access journals. Must be immediately, openly accessible at publication; no delayed publishing or embargo periods are accepted. 	 Up to \$1,500 per article. Up to \$1,500 per year per author. A copy of all funded articles will be made publicly in the Johns Hopkins University institutional repository, no later than three (3) months after receiving this award or three (3) months post-publication. Authors will be contacted by JScholarship staff to complete this task. 	 # Articles Approved: 44 # Articles Reimbursed: 44 # Unique Submitting Authors: 45 # Unique Departments: 24 # Unique Journals: 24 # Unique Publishers: 13 NOTES: The Fund closed in January, 2015
<u>Kent State University</u> <u>Libraries</u>	\$115,000 from FY2013/14 through 2016/17	September, 2013	None	The Open-Access Fund is available to any Kent State University faculty, postdoc, staff member, or student who is the lead or corresponding author.	 Eligible Publications are open-access journals that: are approved by the applicants' Academic Unit Are peer reviewed Are listed in the <u>Directory of Open Access Journals</u> Have policies and practices consistent with the <u>Open Access Scholarly Publishers Association Code of Conduct</u> Make their standard fee schedules 	Funding allowance for a single article is capped at \$2000. Authors may receive funding for up to \$3,000 per year for all APCs. Unused amounts do not roll over to future years.	 # Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 35 # Unique Departments: 12 # Unique Journals: 38 # Unique Publishers: 11 NOTES: Data through December 31, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-		publicly accessible		
					 Waive their APCs in cases of the 		
					authors' financial hardship		
Louisiana State	\$30,000	January,		Authors applying	Peer-reviewed articles submitted to an open	Funds may be used	# Articles Approved: 17
University Libraries		2018		for funds along	access journal yet not already published are	to pay article	# Articles Reimbursed: 12
				with the primary	eligible. Journals must meet the following	processing charges	# Unique Submitting
				authors of the	criteria:	up to \$1,500 per	Authors: 17
				publications must		article. Color, page,	# Unique Departments: 15
				be LSU employees	- Be an entirely open access journal that	or image charges	# Unique Journals: 16
				or students. Preference is	provides unfettered access to all peer-	are not eligible.	# Unique Publishers: 8
				given to research	reviewed articles. Journals with a hybrid or delayed open access model are not eligible.	A requesting	NOTES: Data through June
				or scholarship		author may be	30, 2019
				primarily	- Be published by a member of the Open	awarded funding	30, 2019
				conducted by LSU	Access Scholarly Publishers Association OR	once a calendar	
				employees or	adhere to its Code of Conduct.	year.	
				students.		,	
				Researchers are	- Have a standard article fee schedule		
				expected to	publicly posted.		
				exhaust other			
				funding sources	- Be listed in the Directory of Open Access		
				and to use	Journals. Exceptions to a DOAJ listing may be		
				appropriate	made if the journal meets other criteria and		
				research grant	the OA fund reviewers verify journal		
				funds to pay	credibility.		
				publication			
				charges.	LSU Libraries will upload the published		
					version of each funded article to LSU Digital		
					Commons, LSU's institutional repository.		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
MIT Libraries	Pilot funding for FY2011		This is a pilot project subject to periodic evaluation	Current MIT faculty	Open-access journals that: * Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible. Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.	The subsidy is limited to \$1,000 per article, regardless of the number of authors.	 # Articles Approved: 148 # Articles Reimbursed: 118 # Unique Submitting Authors: 107 # Unique Departments: 33 # Unique Journals: 46 # Unique Publishers: 23 NOTES: Data through June 30, 2019
Memorial University Libraries	\$199,000 in 2016/17. Values are in Canadian dollars. This funding covers both OA	Summer, 2011	None	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a	The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria: a) Journal content is peer-reviewed.	Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover	 # Articles Approved: 254 # Articles Reimbursed: 254 # Unique Submitting Authors: 179 # Unique Departments: 35 # Unique Journals: 140 # Unique Publishers: 39

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	memberships and APCs.			peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	 b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.) A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication. The fund will not cover open access author's fees in gated or hybrid journals. 	APCs will be given priority over those with research funding available for this purpose.	NOTES: Data through June 30, 2016
<u>Northwestern</u> <u>University Library</u>	\$20,000	October, 2013		Faculty members, post-doc researchers, graduate or professional students and any staff who are authors of articles accepted for publication in a peer-reviewed, open access publication.	Articles accepted for publication in peer- reviewed journals. Publication will be supported in any peer-reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	The fund will provide \$3,000 per Northwestern researcher per year. As funds allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per- article cap of \$3,000.	 # Articles Approved: 153 # Articles Reimbursed: 153 # Unique Submitting Authors: 134 # Unique Departments: 48 # Unique Journals: 128 # Unique Publishers: 41 NOTES: Data through June 30, 2019
Penn State University Libraries	\$20,000 during initial pilot; \$20,000	February 2015. Criteria for awards was	Pilot requested in a proposal in August 2014; approved	A Penn State scholar at any Penn State location is eligible	Peer-reviewed journal articles in fully open access publications that are considered by the Board in their investigation to have strong scholarly merit.	Award is capped at \$2000 per article, one article per individual per fiscal	# Articles Approved: 38 # Articles Reimbursed: 30 # Unique Submitting Authors: 36

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	assigned again for FY 2016/17	established by the Libraries Publishing Board, which oversees the process and determines what applications are funded.	February 2015. Penn State discontinued the Fund in 2018, devoting resources to other open access initiatives.	(faculty, staff, student). Undergrad students eligible with concurrence of a faculty member. First time applicants get preference for funding if appropriate. Requirement to deposit a copy in ScholarSphere repository.	 Eligible publications must provide free, immediate, online access to the full text of the research article upon publication without restrictions. Access may be provided by an available pre-print or post-print version of the article. Eligible journals may include those listed in the Directory of Open Access Journals or publishers that are generally compliant with the Open Access Scholarly Publishers Association's Code of Conduct. Journals must be peer-reviewed. Journals must make their standard fee schedules publicly accessible. "Hybrid" open-access publishing, such as subscription-based journals that make some articles available after an author pays fees, are not eligible for funding. Any journals or publishers whose legitimacy is in doubt or are listed in Beall's List of predatory scholarly open-access publishers require greater scrutiny by authors and the University Libraries. Ultimately, the eligibility of the journal is the purview of the Penn State Libraries Publishing Board. Authors are encouraged to include the following statement: "Publication of this article was funded in part by The Pennsylvania State University Libraries Open Access Publishing Fund." 	year. For co- authored submissions, awards are pro- rated depending on how many of the authors have a Penn State affiliation.	 # Unique Departments: 22 # Unique Journals: 26 # Unique Publishers: N/A NOTES: Data through June 30, 2018, at which time the Fund was discontinued
Princeton University Library	\$30,000	December, 2019	None	Princeton faculty members, postdoctoral fellows,	Works submitted to reputable, established, and scholarly open access journals; open access book chapters in a fully open access book; and open access books are eligible.	an individual author may be supported up to a maximum of	# Articles Approved: # Articles Reimbursed: # Unique Submitting Authors:

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally	researchers,	Articles in hybrid open access journals or	\$3,000 per year.	# Unique Departments:
				graduate	delayed open access journals are ineligible.	Only the	# Unique Journals:
				students,	Additional criteria may be found here.		# Unique Publishers:
				· ·	Additional criteria may be found <u>nere</u> .	corresponding author or first	# Unique Publishers:
				undergraduate students, and		author is eligible to	NOTES: The Fund is new
				staff who have no		0	as of December, 2019.
						receive funding up	as of December, 2019.
				other funding		to the cap. Co- authors can	
				sources such as			
				research grants,		receive the funding	
				departmental		proportionally,	
				funding, or		depending on the	
				funding from		number of co-	
				third parties are		authors.	
				eligible. Due to			
				the limited			
				funding, more			
				consideration will			
				be given to			
				underrepresented			
				groups, such as			
				graduate students			
				and groups from			
				the social			
				sciences and			
				humanities.			
Simon Fraser	\$50,000	January,	None	SFU authors who	Eligible journals will be those in the Directory	It is anticipated	# Articles Approved: 693
University Library	annually	2010		are faculty, staff	of Open Access Journals, or those whose	that SFU authors	# Articles Reimbursed: 693
				or graduate	editorial policies meet the criteria for	will include OA	# Unique Submitting
				students are	inclusion in DOAJ. The fund will also cover	publishing charges	Authors: 433
				eligible to use the	article processing charges for book chapters,	in grant	# Unique Departments: 32
				Central fund.	provided the entire publication is open	applications where	# Unique Journals: 234
				Undergraduate	access. The fund does not cover optional	they are eligible,	# Unique Publishers: 65
				students working	article processing charges from hybrid	and will first use	
				under the	journals. The fund does not cover additional	such funds before	NOTES: Data through June
				supervision of a	charges such as for including color plates,	applying for	30, 2019
				faculty member	long articles, submission fees, or excess page	reimbursement	
				, are also eligible.	charges.	from the central	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any			CA fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder mandates. The amount each SFU author may claim during one	
						fiscal year is limited to \$10,000.	
Southern Illinois <u>University</u> <u>Carbondale Morris</u> <u>Library</u>	\$26,000 for 2014-15	Fall 2011	The Fund is a pilot project which will be reviewed annually	Grants are available to faculty, including nontenure track faculty, and graduate students.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: Members of the Open Access Scholarly Publishers Association (OASPA) 	 For fully open access journals: \$2000 per article and per year per author For hybrid journals: \$1500 per article and per year 	 # Articles Approved:118 # Articles Reimbursed: 108 # Unique Submitting Authors: 71 # Unique Departments: 31 # Unique Journals: 82 # Unique Publishers: 40
					 All journals in the Directory of Open Access Journals that allow authors to retain distribution rights 	or 50% of the Open Access fee, whichever is less.	NOTES: Data through June 30, 2019
					 Hybrid journals that allow authors to retain distribution rights. 	- Articles whose underlying research was funded by grants	
					- Journals must not have an embargo period for access.	that allow funds to be used for article- processing fees	
					 Costs for reprints, color illustration fees, non-open access page charges, 	(regardless of whether that particular grant	

https://sparcopen.org/our-work/oa-funds

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					administrative charges and other fees are not applicable.	budgeted for such fees) are not eligible for funding.	
Temple University Libraries	\$15,000 for the current fiscal year	2016		Applicants must be a current Temple University faculty member OR a current postdoctoral fellow/graduate student with a faculty member listed as a co- author. Applicants with external grant funding that could cover, either in whole or in part, the cost of any publication and processing fees are ineligible. Applicants must agree to deposit a copy of their publication in our Digital Library.	 The publication must take the form of a peer-reviewed journal article. Publications in "hybrid" open access journals will not be supported. The journal must be listed in the Directory of Open Access Journals (DOAJ). The publisher must be a member of the Open Access Scholarly Publishing Association (OASPA), or clearly follow the membership criteria of the organization. Because the Libraries already cover 50% of the APC for BioMed Central journals, these journals are not eligible. Funding will cover publication and processing fees only. Funds may not be used for reprints, color illustration fees, non-open access page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. 	 Each applicant may request up to \$1,500 total per fiscal year. This amount may be split across multiple applications so long as funds are available. For articles with multiple Temple authors, the per article payment is capped at \$3,000. Fees are pro- rated for multi- authored articles. Co-authors from outside of Temple are not supported. If an article includes non- Temple authors, the APC will be divided equally among all authors and then the Temple authors' portion will be funded. For 	 # Articles Approved: 24 # Articles Reimbursed: 19 # Unique Submitting Authors: 18 # Unique Departments: 12 # Unique Journals: 20 # Unique Publishers: 11 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor Texas Woman's University	Value \$30,000 annually from the Provost			Who is Eligible? Current full-time TWU faculty (clinical or tenure track).	 To be eligible for support, a work must be: A peer-reviewed journal article, scholarly monograph, conference proceeding, or data set. Published in a journal or platform that is openly available immediately upon publication after charges are paid. Published in a traditional subscription based or 'hybrid' journal that offers an open access option. Accepted for publication at the time of application for funding support. Not eligible for funding by a grant that would cover publication charges. The publication must have a standard 		Progress/Success To Date # Articles Approved: 12 # Articles Reimbursed: 10 # Unique Submitting Authors: 12 # Unique Departments: 7 # Unique Publishers: 9 NOTES: Through June 30, 2016
					article fee schedule publicly posted. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. The article must be available immediately upon publication.		
University of Arizona	\$131,000 disbursed	April 2014	None	UA faculty, researchers, staff,	Funds may be applied to peer-reviewed articles within an open access journal. The	The fund covers author charges up	# Articles Approved: 169 # Articles Reimbursed: 144

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	through June, 2016, with support from the Office of the Senior Vice President for Research and Discovery and the University Libraries			post-docs, and students	journal must: - Be published by a member organization of the Open Access Scholarly Publishers Association or adhere to its code of conduct. - Have a standard article fee schedule publicly posted. - Be listed in the Directory of Open Access Journals. We may make an exception if the journal meets other criteria and the faculty advisory board verifies its credibility.	to \$3,000 per article (when available). If the article is written with authors from other institutions, funding is prorated to cover UA authors only. We may make an exception if the primary author is from the UA.	# Unique Submitting Authors: 123 # Unique Departments: 55 # Unique Journals: 95 # Unique Publishers: 40 NOTES: Through June 30, 2017
Libraries and Cultural Resources, University of Calgary	\$100,000/yr (CAN)	June 2008	October 2018	University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	 Publication fees for peer-reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid') are not eligible as of June, 2014. Journals/publishers that have differential charges for CC-BY licenses are higher than those for CC-BY-NC licenses) will not be eligible for coverage. Deposition of funded articles in the University of Calgary institutional repository is mandatory. 	 If eligible, the entire fee is covered. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 1,444 # Articles Reimbursed: 1,444 # Unique Submitting Authors: 905 # Unique Departments: N/A # Unique Journals: 409 # Unique Publishers: 90 NOTES: Through October 31, 2018
UC Berkeley	\$54,000; an	January 21,	None	UC Berkeley	BRII will provide funding to pay Berkeley	- Maximum	# Articles Approved: 418

https://sparcopen.org/our-work/oa-funds

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	additional \$44,000 in funding was added in September, 2014	2008		faculty members, post-docs, and graduate students	 authors' OA article processing charges (APCs), or OA scholarly book (monograph) or chapter subvention fee. Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any open access publication, Hybrid journals offering a paid access option are not eligible as of September, 2014. 	reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year. - BRII will fund up to \$2500 for OA journal articles or chapters within OA scholarly books. - BRII will offer \$7500 apiece in publishing fees for up to three OA	 # Articles Reimbursed: 418 # Unique Submitting Authors: 315 # Unique Departments: 58 # Unique Journals: 173 # Unique Publishers: 57 NOTES: Data through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>UC Davis</u>		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post-docs, residents, fellows, and graduate students	 Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any fully open access publication (no hybrid journals are eligible). 	scholarly books. Up to \$1,000 per article.	 # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13 NOTES: Data through March 31, 2014. The Fund is no longer operative.
<u>UC Irvine Libraries</u>	\$15,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Irvine faculty members, post- doctoral scholars, researchers, and staff	 Funds are available to pay open access/ processing fees for: Fully open access journals: fees to publish in journals in which all articles are immediately available open access Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access Open access monographs: fees for publishing open access ebooks with reputable publishers Open data archiving: fees charged by a data repository for archiving open access data Articles must be made freely available at the time of initial publication. No embargo periods. 	\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21 NOTES: Data through March 31, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>UC Merced</u>	\$15,000	January, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	- UC Merced faculty - UC Merced lecturers and others with academic appointments - Currently enrolled UC Merced graduate students Priority will be given to articles with multiple authors from UC Merced, and	Only fully open access journals (immediately available with no embargo period) listed in the <u>Directory of Open Access Journals</u> will be funded.	the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open access journals, use the box to the right. The pilot Fund will cover a maximum of \$1500 for any one article in a hybrid open access journal. Article Publication Charges (APCs) and Open Access Fees (OA Fees) up to \$3,000/article.	# Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12 NOTES: Data through March 31, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are not eligible.			
<u>UC Riverside</u>		March, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Riverside faculty, post- doctoral scholars, researchers and graduate students	Funds are available to pay open access fees and article publication charges for fully open access journals: •Fees to publish in journals in which all articles are immediately available open access •Articles must be made freely available at the time of initial publication, with no embargo periods. Articles published in "hybrid open access journals" in which only some articles are open access and a publication fee is usually paid to the publisher do not qualify for these funds.	UR-OAF provides UC Riverside authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are published as open access). There is a cap of one article per author per year. The person who completes the application form will be considered the applicant for that article.	 # Articles Approved: 5 # Articles Reimbursed: 4 # Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7 NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC San Diego	\$20,000	October, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15	UC San Diego faculty, post- docs, residents, fellows, and graduate students	Funds are available to pay open access fees and article publication charges for fully open access journals: - Fees to publish in journals in which all	UC San Diego Open Access Fund Pilot funds will cover a maximum of \$1000 for any one article	# Articles Approved: 41 # Articles Reimbursed: 36 # Unique Submitting Authors: 60 # Unique Departments: 23

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			academic year.	and other affiliates are eligible to apply for funds.	articles are immediately available open access - Articles must be made freely available at the time of initial publication, with no embargo periods.	in a fully open access journal - journals in which all articles are published open access.	# Unique Journals: 35 # Unique Publishers: 20 NOTES: Data through March 31, 2014. The Fund is no longer operative.
					(Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submission charges.)	\$1000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.	
<u>UC San Francisco</u>	\$100,000, with support from the Academic Senate and Library	May 2015	Renewed annually	Faculty in any series and at any rank, except volunteers; Staff Graduate Students; Professional School Students	Publications must be made freely available at the time of initial publication. Embargo periods for open access will not be covered. OA article must be in a fully OA journal. - Journal articles must be published in a peer-reviewed, scholarly journal.	Open access journal articles processing charges (APCs): - Up to \$2,000 per article in a fully OA journal.	 # Articles Approved: 214 # Articles Reimbursed: 193 # Unique Submitting Authors: 176 # Unique Departments: 50 # Unique Journals: 117 # Unique Publishers: 29
					- OA journals must be listed in the <u>Directory</u> of Open Access Journals.	Open access books:	NOTES: Data through September 30, 2019
					- The journal must apply policies and practices consistent with the OAPSA's <u>Code</u> of Conduct.	- Up to \$5,000 per book.	
					- Journal articles must be published under an unrestricted CC BY or CC BY-NC license.	A maximum of one funded article per eligible applicant per calendar year, and one	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Laubianeu			- Books must be peer-reviewed.	application per article. Books will be funded once every two years.	
<u>UC Santa Barbara</u>	\$30,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UCSB faculty members, post- doctoral scholars, graduate students, researchers, lecturers, scientists, librarians, and other non-Senate academic appointees are eligible to participate in the pilot. We request that authors with funding from external grants or contracts not utilize pilot project funds in order to allow others to share in the benefit of open publications.	 Fully open access journals Fees to publish in journals in which all articles are immediately available open access. Hybrid journals (subscription journals with an open access option) which offer publication fee discounts to UC authors. Open access monographs - fees for publishing open access ebooks with reputable publishers. Open data archives - fees charged by a data repository for archiving open access data. Open access conference proceedings - fees to publish papers in fully open access conference proceedings. Funds are for unpublished research. Publications must be made freely available at the time of initial publication with no embargo periods. Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submisson charges. As with all journals, authors are encouraged to publish their research results in high quality publications. 	The fund will pay up to \$3,000 per publication and has a cap of one publication per author per year. This is a pilot program and is subject to funding restraints.	 # Articles Approved: 19 # Articles Reimbursed: 11 # Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals:14 # Unique Publishers: 10 NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC Santa Cruz	\$15,000	Fall, 2012	June, 2014.	UC Santa Cruz faculty, researchers,	Article publication charges (APCs) or Open Access Fees (OA Fees): - for previously unpublished articles	UCSC Open Access Fund will cover a maximum of \$3000	# Articles Approved: 23 # Articles Reimbursed: 15 # Unique Submitting

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			Any	lecturers, post- docs, and currently enrolled graduate students who do not have grant funds that can be used for open access charges are eligible to apply for funds from the UCSC Open Access Fund pilot.	 - in fully open access journals (in which all articles are available open access) or in hybrid open access journals (in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access); - where articles are made immediately available, with no embargo period. 	for any one article in a fully open access journal - journals in which all articles are published open access. The pilot Fund will cover a maximum of \$1500 for any one article in a hybrid open access journal. Hybrid journals charge readers a subscription fee, but allow authors to pay a fee to make a single article open access. \$3000 is the maximum any one	Authors: 31 # Unique Departments: 13 # Unique Journals: 14 # Unique Publishers: 10 NOTES: Data through June 30, 2014. The Fund is no longer operative.
<u>University of</u> <u>Colorado Boulder</u> Libraries	The fund was instituted as a pilot in	September, 2012		Current CU- Boulder faculty, staff, and	Any journal that provides free, immediate, online access to the full text of all research	applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. This fund supports open access to research articles	# Articles Approved: 182 # Articles Reimbursed: 182
	2012 with an			students may	articles and follows accepted best practices for open access publishing is eligible. This	where publication	# Unique Submitting

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	initial \$20,000. An additional \$34,000 has been added to the fund since then.			request funding up to \$2,000 per year to pay for article-processing or publishing fees for full open access journals.	includes, but is not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be compliant with the Open Access Scholarly Publishers Association's Code of Conduct. "Hybrid" open access journals that provide free online access for only some articles will not be eligible for funding.	fees are not covered by grants or other funding sources. Authors are encouraged to include publication fees in grant requests whenever possible.	Authors: 157 # Unique Departments: 33 # Unique Journals: 73 # Unique Publishers: 37 NOTES: Through June 30, 2019
						Funding is limited to \$2,000 per article regardless of the number of authors.	
University of Florida Provost's Office	\$120,000	July 1, 2010	Funds were exhausted in January, 2013. Replenishment is presently under discussion.	All University of Florida faculty, post-doctoral researchers, staff members, and students.	Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions. Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct. A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.	* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions. * Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher.	 # Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40 NOTES: Through January 15, 2013; Fund is longer active

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Upd

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						are intended to	
						support open	
						access to research	
						articles	
						where publication	
						fees are not	
						covered by grants	
						or other funding	
						sources. In order	
						to encourage	
						inclusion of	
						publication fees in	
						future grant	
						applications,	
						articles resulting	
						from awarded	
						grants that allow	
						for budgeting of	
						article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment	
						of the UFOAP, July	
						1, 2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF	
						authors, each may	
						apply for \$750. For	
						fees exceeding the	
						\$3,000 cap per	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>University of Iowa</u> <u>Libraries</u>	\$75,000, with support from the Office of the Provost	April, 2013		 Current UI faculty, post-doc, resident, or staff member who has had an article, book chapter, or book accepted for publication. Currently UI- enrolled student or graduate student who has had an article, book chapter, or book accepted for publication. 	 The publications must be peer-reviewed, and allow free and immediate access to all the content upon publication. Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication. Authors must have exhausted all available grant funds that may be used for article publishing charges. Preference is given to authors who have not been previously funded. University of Iowa Libraries will submit your open access publication to <u>Iowa Research</u> Online. 	article, each UF author may apply only for his/her prorated portion not to exceed the \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access. Up to \$3,000 for a journal article or books chapter, up to \$5,000 for a monograph.	# Articles Approved: 274 # Articles Reimbursed: 258 # Unique Submitting Authors: 224 # Unique Departments: 70 # Unique Journals: 169 # Unique Publishers: 51 NOTES: Through June 30, 2019
<u>University of Kansas</u> <u>Libraries</u>	\$50,000, with support from the University of Kansas Provost, The	October, 2012	Two year pilot	Faculty, graduate students, post- docs, and staff on the Lawrence and Kansas City campuses during	Submitted-for-publication or accepted-for- publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access	Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per	 # Articles Approved: 203 # Articles Reimbursed: 135 # Unique Submitting Authors: 259 # Unique Departments: 73

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The University of Kansas and University of Kansas Medical Center			year one.	Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	article. Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.	# Unique Journals: 199 # Unique Publishers: 71 NOTES: Through June 30, 2019
<u>University of</u> <u>Maryland Libraries</u>	\$25,000	September, 2013		Any UMD faculty member, post- doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	 Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: All journals in the <u>Directory of Open Access</u> Journals that allow authors to retain distribution rights Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the <u>Code of Conduct</u> Journals that have publicly available a standard article fee schedule Journals that have a policy to substantially waive fees in case of economic hardship 	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	 # Articles Approved: 154 # Articles Reimbursed: 147 # Unique Submitting Authors: 145 # Unique Departments: 47 # Unique Journals: 87 # Unique Publishers: 33 NOTES: Through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>University of</u> <u>Massachusetts</u> <u>Amherst</u>	\$25,000	July, 2014		Any current UMA faculty member, post-doctoral researcher, staff member, or graduate student author who has no other source of funding for open access publication charges. Authors whose grants include funding for publication charges, or who have support from other venues, must apply those funds first.	Eligible publications and publishing venues must be fully and immediately open access. Publications in "hybrid open-access" (which also charge subscription fees) or "delayed open-access" journals and monographs are not eligible. Journals or monograph publishers must be members of the Open Access Scholarly Publishers Association, OR demonstrably adhere to the OASPA Code of Conduct. Journals or monograph publishers must have a standard article fee schedule, publicly accessible, and a policy to waive fees in cases of economic hardship or economic equity.	Funding is available annually for any one author up to \$3000. Funding is available for any one paper up to a maximum of \$3000. Support for non-UMA collaboratively authored papers will be available on a prorated basis. All works will be deposited on UMA Amherst ScholarWorks.	# Articles Approved: 58 # Articles Reimbursed: 57 # Unique Submitting Authors: 47 # Unique Departments: 25 # Unique Journals: 37 # Unique Publishers: 21 NOTES: Through June 30, 2019
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012. It is no longer operating.	All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work: *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria: *Retaining Rights: If you will not retain copyright or the right to distribute	 # Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30 NOTES: Through September 15, 2012; Fund is no longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			•		open access repositories (e.g. Deep Blue).	the work we will	
						fund the lesser of	
					*for which the open access publication fee	\$1000 or 50% of	
					is less than \$1500.	the publisher's	
						open access fee.	
						*Publish in fully	
						, open journals: An	
						open journal is one	
						for which all peer-	
						reviewed content	
						is available freely	
						to readers. If the	
						work will appear in	
						a "hybrid" journal	
						— one for which	
						some of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	
						publication: If	
						access to the	
						published article is	
						not immediate we	
						will fund the lesser	
						of \$1500 or 50% of	
						the publisher's fee.	
						If the publisher will	
						delay access longer	
						than 12 months,	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			we will not provide	
						any funding.	
						* Use grant funding wherever possible: If your grant funding could have been applied to publishing charges, we will fund the lesser of \$1500 or 50% of the publisher's fee. We will only do this once for an author/group of authors, since such fees should be included in future	
<u>University of</u> <u>Minnesota Libraries</u>	\$40,000 annually, with funds from the budget of the University Libraries and the Office of the Vice President of Research	Spring, 2012	June, 2019	Any University of Minnesota faculty, researchers, post- docs, graduate students, or staff	 Funds are available for peer-reviewed journal articles, scholarly monographs, conference proceedings, and data sets. Funds may be used only to cover open access publication and submission fees. Author fees for open access journals (as determined by listing in the Directory of Open Access Journals, membership in the Open Access Scholarly Publishers Association, or adherence to Open Access Scholarly Publishers Association Code of Conduct) will be covered in full. Author fees for "hybrid" journals will be covered up to 50%. Funds may not be used for publications that do not make works fully openly 	grant applications. No limit for full OA articles. "Hybrid" journals will be covered up to 50%.	 # Articles Approved: 159 # Articles Reimbursed: 158 # Unique Submitting Authors: 143 # Unique Departments: 56 # Unique Journals: 109 # Unique Publishers: 29 NOTES: Through June 30, 2019. The Fund closed in June 2019.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Nevada Las Vegas (UNLV) Libraries	\$30,000, through the support of the Libraries Advisory Board along with funding from the Libraries' collections budget	June 2018		With the exception of Law School faculty and students, current UNLV faculty (academic and administrative) as well as current graduate students who are co- authors with UNLV faculty are eligible. This includes tenure and tenure-track medical and dental school faculty and medical and dental residents and fellows.	 available immediately upon publication. Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of Minnesota's institutional repository) or a recognized discipline repository (e.g., arXiv, AgEcon, PubMedCentral, etc.) This fund will support scholarly articles to be published in fully open access peer reviewed journals. The fund does not cover articles to be published in hybrid journals (where only some content is open access), books, book chapters, magazines, or other types of publications. Most successful fund applications are for journals included in the Directory of Open Access Journals (doaj.org). There are exceptions since newer journals, or established journals that have not yet applied for membership, may not be in the database. The article must be in a journal whose publisher is a member of the Open Access Scholarly Publishers Association (OASPA), or the journal otherwise demonstrates that it adheres to the OASPA code of conduct and membership criteria, even if the publisher is 	The maximum amount per article is \$1500 and is to be used only for article processing charges. The award does not support color, image, or page charges. Individual authors are limited to one award per academic year (July 1 - June 30).	# Articles Approved: 25 # Articles Reimbursed: 14 # Unique Submitting Authors: 25 # Unique Departments: 10 # Unique Journals: 16 # Unique Publishers: 6 NOTES: Through June 30, 2019
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for	\$20,000 From the Vice Chancellor for Research;	March 2005	July, 2013	UNC-CH faculty, post-doctoral researchers, and graduate or professional	not a member. Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	- Award maximum is \$1000 per article. - Authors must	# Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments:

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Research and Economic Development until fall 2009; subsequently continued via library funding)	\$8,000 From Health Sciences Library \$8,000 from University Library			students without grant funds to cover publication fees		exhaust other funding avenues (e.g., grants) before turning to the Fund.	12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through July, 2013; Fund is no longer active
University Libraries University of North Carolina at Greensboro	\$11,500	Fall 2011	The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development.	Full-time faculty, full-time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer- reviewed open-access journal.	 Reimbursement will not exceed \$1,000 per article. Reimbursement will be limited to one award per fiscal-year per author. 	 # Articles Approved: 59 # Articles Reimbursed: 59 # Unique Submitting Authors: 50 # Unique Departments: 21 # Unique Journals: 42 # Unique Publishers: not tracked
						- Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.	NOTES: Through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
<u>University of</u> <u>Oklahoma - Norman</u>	\$20,000 annually	Established Late 2013	Any	Any current University of Oklahoma- Norman regular faculty member, post-doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded.	Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic hardship.	Levels Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years. Authors agree to retain their copyright and to post a copy of their work in the University's institutional repository, SHAREOK.	 # Articles Approved: 101 # Articles Reimbursed: 91 # Unique Submitting Authors: 110 # Unique Departments:29 # Unique Journals: 54 # Unique Publishers: 22 NOTES: Data through June 30, 2019
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	June, 2014	- Applicant must be a UO tenure- related faculty member, non- tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer-reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in	 Up to 100% of author fee for publishing a peer- reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article. Maximum reimbursement will be \$3,000 per person over the 	 # Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12 NOTES: The Fund has been retired as of June, 2014.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally	completed article	journals that are primarily subscription	period of this pilot	
				for publication.	based rather than open access.	project.	
					based father than open access.	Reimbursement	
				- Applicant must	Journals listed in the Directory of Open	will cover only	
				be listed as one of	Access Journals (http://www.doaj.org/doaj)	direct costs for	
				the authors, and	are assumed to qualify. For publication in a	open access	
				article must	journal not listed there, additional		
				indicate UO	information to allow assessment of whether	publication (not the cost of	
				affiliation.	the journal meets our criteria will be		
				anniation.		reprints, color	
					required as part of the application process.	illustration fees,	
						non-OA page	
						charges, web	
						hosting for self-	
						archiving, etc.)	
						- Reimbursement	
						will be available	
						only in cases	
						where the authors	
						do not have	
						adequate grant or	
						contract funding	
						specifically	
						designated to	
						cover author fees.	
						Authors with	
						funding designated	
						to cover	
						publication costs	
						such as author fees	
						from grants,	
						contracts, or other	
						institutional funds	
						are asked to use	
						those funds first	
						and to allow others	
						to share in the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			benefit of open	
						publications.	
	\$100,000 (CAD), with financial support from the University, the Library and most Faculties.	January, 2010	The Author Fund working group (whose members represent the Office of the Vice-President, Research, the Library and all contributing faculties) will evaluate this initiative periodically, and recommend any changes to the Fund's criteria and administration procedures, when appropriate.	The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, postdoctoral fellows and adjunct professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible.	Eligible open access journals must be listed in the Directory of Open Access Journals or meet the DOAJ's selection criteria. Eligible hybrid and traditional journals must make articles available immediately and allow self- archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books.	The Fund covers only the costs and fees associated with open access publishing. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year. The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication. Applicants must first use funds	 # Articles Approved: 906 # Articles Reimbursed: 906 # Unique Submitting Authors: 543 # Unique Departments: 52 # Unique Journals: 429 # Unique Publishers: 77 NOTES: Through June 30, 2019
						received through other research grants that are	
		1				intended to cover	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						submission fees. Should the grants not allocate sufficient funds to cover such costs, an application can be submitted to this Fund.	
<u>University of</u> <u>Pennsylvania</u> <u>Libraries</u>	\$40,000	May 1, 2016	The Fund will be evaluated annually	Standing Faculty and Associated Faculty (with the exception of Visiting Faculty) of the University of Pennsylvania.	Scholarly articles that are published in open- access journals. The journals must be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship (some exceptions may be made to this last requirement). Publication formats other than journal articles (e.g., monographs or conference proceedings) are eligible according to the same guidelines. Publications funded by the libraries' Open Access Fund must be submitted to Penn's institutional repository,	An author may request up to \$3,000 per article (or alternative publication format) and no more than \$3,000 in any academic year.	 # Articles Approved: 56 # Articles Reimbursed: 41 # Unique Submitting Authors: 47 # Unique Departments: 29 # Unique Journals: 38 # Unique Publishers: Not tracked NOTES: Through June 30, 2019
<u>University of</u> <u>Pittsburgh Library</u> <u>System</u>	No limit	July 1, 2012	None	Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of	The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR	No limit on per- article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible	 # Articles Approved: 360 # Articles Reimbursed: 360 # Unique Submitting Authors: 192 # Unique Departments: 55 # Unique Journals: 147 # Unique Publishers: 89 NOTES: Through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally	Pittsburgh	Be a member of the Open Access Scholarly	fees must be based	
				schools except	Publishers Association or adhere to its Code	on a publication's	
				the six schools in	of Conduct,	standard fee	
				the health		schedule that is	
				sciences: Dental	Have publicly available a standard article	independent of the	
				Medicine, Health	fee schedule.	author's	
				and		institution. Reprint	
				Rehabilitation	The ULS is an institutional member of	fees are not	
				Sciences, Nursing,	Hindawi Publishing Corporation, which	eligible.	
				Medicine,	publishes over 300 Open Access journals in a	U U	
				Pharmacy, and	variety of disciplines. The ULS' membership	Articles for which	
				Public Health.	allows for all authors served by the ULS who	alternative funding	
					publish in Hindawi journals to do so free of	is available are not	
					author charges. There is no need for authors	eligible for	
					to apply to the ULS for publication funds.	reimbursement.	
						This includes	
					Journals with a hybrid open-access model or	articles reporting	
					delayed open-access model are not eligible.	research funded by	
						a gift or a grant	
						from a granting	
						agency,	
						foundation, or	
						other institution	
						(including the	
						University of	
						Pittsburgh itself)	
						that allows granted	
						funds to be used	
						for article	
						processing fees	
						(whether or not	
						the particular grant	
						had budgeted for	
						such fees and	
						whether or not	
						sufficient grant	
						funds remain) and	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor University of Rhode Island	Value \$15,000, split between the Libraries and the Vice President for Research and Economic Development			Who is Eligible? The URI OA Fund is available to full- time faculty of the University of Rhode Island.	Eligible journals must: Be listed in the Directory of Open Access Journals. Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Provide unrestricted access to all peer- reviewed articles in the journal. (Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available open access are not eligible, nor are subscription journals that make articles available open access after a time delay or "embargo.")	Levels articles funded by an institution that itself pays article processing fees on behalf of the author (such as NIH). The maximum dollar amount that the URI OA Fund will reimburse for a single article is \$3,000. The URI OA Fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding	Progress/Success To Date # Articles Approved: 24 # Articles Reimbursed: 24 # Unique Submitting Authors: 16 #Unique Departments: 23 # Unique Journals: 18 # Unique Publishers: 12 NOTES: Through June 30, 2019
				Have publicly available a standard article fee schedule.	agency if they can do so. These funds are intended to be		
					Have a policy to waive fees in cases of economic hardship.	a funding source of last resort and to support open access to articles whose research	
<u>University of</u>	Initial	October,	Extends until	Any UTK faculty	- Article processing fees levied by peer-	was not grant- funded. Funds are awarded	# Articles Approved: 212

SPARC – Open Access Funds in Action

https://sparcopen.org/our-work/oa-funds

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Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Tennessee, Knoxville Office of Research and the University Libraries	allocation of \$20,000, augmented by regular contributions from the Office of Research and Engagement and the University Libraries	2008	funding is exhausted and will be evaluated	member, post- doctoral associate, or currently enrolled graduate student.	reviewed open access publishers such as the Public Library of Science and BioMedCentral. - Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. - Hybrid journals that make only selected articles open access are not included in the pilot project.	on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. -Authors with grant support to cover open access publishing are requested not to apply.	 # Articles Approved: 309 # Articles Reimbursed: 309 # Unique Submitting Authors: 177 #Unique Departments: 48 # Unique Journals: 138 # Unique Publishers: 46 NOTES: Through June 30, 2019
University of Toronto Libraries	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012	January, 2016	The Fund is open to all full-time and part-time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto.	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will either be listed in the Directory of Open Access Journals, be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Journals must provide unfettered access to all peer-reviewed articles and make publicly available a standard article fee schedule. The library reserves the right to refuse a request if the publisher appears to be a "predatory open access publisher".	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who have received a research grant that explicitly includes publication or submission support are required to use those funds before applying to the Open Access Author Fund.	 # Articles Approved: 100 # Articles Reimbursed: 100 # Unique Submitting Authors: 78 #Unique Departments: 45 # Unique Journals: 59 # Unique Publishers: 30 NOTES: Through June 30, 2016, at which time the Fund was discontinued

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			Additionally, those	
						faculty and	
						librarians who	
						have access to	
						departmental or	
						PERA funds should	
						make use of these	
						funds prior to	
						making a request	
						for reimbursement	
						through the Open	
						Access Author	
						Fund.	
						Fund recipients	
						must provide a	
						copy of their	
						article/book	
						chapter for	
						submission to T-	
						Space, the	
						University's	
						Research	
						Repository.	
University of Utah	\$83,994	May, 2011	The Fund closed	University of Utah	a) Journals which are fully Open Access.	The maximum	# Articles Approved: 50
<u>Library</u>			in 2014.	faculty, staff	Content of the entire journal is freely	dollar amount that	# Articles Reimbursed: 37
				members,	available online immediately upon payment	the OAP Fund will	# Unique Submitting
				postdosc, or	of the article processing fee. Fully Open	reimburse for a	Authors: 47
				currently enrolled	Access journals from publishers who have	single article	# Unique Departments: 16
				graduate or	few such journals are also eligible.	during the trial	# Unique Journals: 33
				undergraduate		period is \$3,000.	# Unique Publishers: 26
				students on main	b) Hybrid journals which are not fully Open	Researchers are	
				(excluding law)	Access but which allow individual articles to	expected to	
				campus.	be made freely available online immediately	request funding for	NOTES: Through February,
					upon payment of the submission fee. To be	open access	2014. The Fund closed in
					eligible for funding in this category, the	publication from	2014.
					publisher must plan to make (in the next	their funding	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			, any		subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals.	agency before applying to the OAP Fund.	
<u>University of Virginia</u> <u>Library</u>	\$15,000 per fiscal year	July, 2013	July, 2017	Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries).	 Journal must be peer-reviewed and listed in the Directory of Open Access Journals. Journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'. Hybrid journals will not be eligible for funding at this time. Article must be available immediately upon publication, with no embargo. Other content types such as books are eligible, if they are peer-reviewed. Author must agree to submit a copy of the post-review publication to UVa's institutional repository, Libra. Only publishing fees will be covered. Authors must have exhausted all available grant funds that may be eligible to be used for the article processing fee. 	Eligible individuals may receive up to \$2000 per article, and \$3000 total per year. Funds will be allocated on a first-come, first- served basis.	 # Articles Approved: 36 # Articles Reimbursed: 26 # Unique Submitting Authors: 58 (includes co-authors) # Unique Departments: 8 # Unique Journals: 25 # Unique Publishers: 18 NOTES: Through June 30, 2017. The Fund closed June 30, 2017.
<u>University of</u> <u>Western Ontario</u> <u>Libraries</u>	\$120,000 CAD	February, 2014	May, 2017	At the time of application, an eligible applicant must be: - a current faculty (full-time or part- time) member at Western; or - a current postdoctoral fellow at Western; or	Peer-reviewed journal articles accepted for publication into open access journals that are listed in the Directory of Open Access Journals, or meet the DOAJ's selection criteria. In particular, "hybrid" journals, which normally require a subscription to read, but provide an "open access option" for a supplementary author fee, are not eligible for funding. Books, or book chapters (no textbooks), which are published by a publisher that is a member of the Open Access Scholarly Publishers Association or meet their Code of Conduct.	The Fund will provide up to \$3,000 (Canadian) per article, book, or book chapter. In the case of an article with multiple authors, if both the first and corresponding authors are Western researchers, either one of them but	# Articles Approved: 115 # Articles Reimbursed: 84 # Unique Submitting Authors: 118 # Unique Departments: 44 # Unique Journals: 59 # Unique Publishers: 19 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			Any	 - a current graduate student at Western; or - a current librarian or archivist at Western The applicant must be either the first author or the corresponding 	Funded articles, books, or book chapters will be freely available online immediately upon publication. The journal does not require that the author(s) to transfer copyright to the publisher, and the author(s) retain control over the future use of the work. Optionally, but preferably, the eligible work is published with a license that enables the widest distribution and reuse. The Creative Commons "CC BY" Attribution license (see		
University of	\$50,000,	July 2005	January 21	author of the article.	- Up to 50% for publishing in a fully open	Applicants are eligible for up to two grants per year. - Up to 50% for	# Articles Approved: 37
<u>Wisconsin – Madison</u> <u>Libraries</u>	supported by unrestricted gift funds to library	July 2005	January 31, 2014	 Any University of Wisconsin- Madison faculty and researchers Reimbursement will be limited to one award per fiscal year per author. 	 Op to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. 	 - Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). - Up to 30% for publishing in a journal that provides authors with an open access option. 	 # Articles Approved: 37 # Articles Reimbursed: 37 # Unique Submitting Authors: 30 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 19 NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.
						- Reimbursement will not exceed	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						\$1,500 per article. - Authors must cover the balance of the open access charges through grants or other funds.	
<u>University of</u> <u>Wisconsin–</u> <u>Milwaukee Libraries</u>	Undisclosed	2012		All UWM authors, including students	The UOAP Fund will cover the cost of author submission fees in fully open access peer- reviewed journals as defined by inclusion in the Directory of Open Access Journals and subscription journals that have paid options for open access ("hybrids").	Funding support will cover - In the full amount for one article with an article processing fee under \$1000, and then additional 50%, up to \$1,500 per article, for publishing in a fully open access journal. - 30%, up to \$1,500 per article, for publishing in a hybrid open-access model that provides paid options for open access. - In the case of an article with multiple authors, the article is treated as a whole	 # Articles Approved: 98 # Articles Reimbursed: 98 # Unique Submitting Authors: 78 # Unique Departments: 27 # Unique Journals: 71 # Unique Publishers: 32 NOTES: Through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						regardless of how many authors are from UWM.	
Utah State University Libraries	\$30,000	October, 2011	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Faculty and graduate students	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first- served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed. - Those who publish in fully open access	 # Articles Approved:140 # Articles Reimbursed: 223 # Unique Submitting Authors: 95 # Unique Departments: 21 # Unique Journals: 63 # Unique Publishers: 36 NOTES: Through June 30, 2019
<u>Virginia Tech</u> Libraries	\$50,000 for FY 2014-15, in partnership with the	August, 2012		All faculty, staff, graduate, and undergraduate students are eligible.	Funds are available to underwrite article processing fees for scholarly peer-reviewed articles accepted for publication in an open access or hybrid OA journal.	journals Support is limited to \$1500.00 per article and \$3000.00 per author per year.	# Articles Approved: 521 # Articles Reimbursed: 406 # Unique Submitting Authors: 1771 # Unique Departments: 81

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Office of Senior Vice President and Provost and the Office of the Vice President for Research				A journal's publisher must be a member of the Open Access Scholarly Publishers Association (OASPA) or comply with OASPA's Code of Conduct. For fully OA journals, the journal must be listed in the Directory of Open Access Journals. For hybrid OA journals, the publishers must have reduced institutional subscription prices, or plan to reduce prices this next year, based on the number of open access publications in the journals.	For papers with multiple Virginia Tech co-authors, the program would still subsidize the same total amount of up to \$1,500 per article but it would be prorated among each of the authors. Authors must have no other sources of	# Unique Journals: 186 # Unique Publishers: 50 NOTES: Data through June 30, 2019
Wake Forest University Z. Smith Reynolds Library	\$13,000 (library contribution is half of total fund)	Early 2008	None, although program is regularly assessed and evaluated	Available to WFU Reynolda campus faculty. Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.	Eligible publications include peer-reviewed journal articles and book chapters. Articles and book chapters must be publicly available immediately upon publication. Articles and book chapters may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee). Full OA journal publishers must either be listed in the DOAJ: Directory of Open Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org). Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive,	funding available. - No limit on size of request faculty can make.	 # Articles Approved: 74 # Articles Reimbursed: 71 # Unique Submitting Authors: 49 # Unique Departments: 15 # Unique Journals: 58 # Unique Publishers: 29 NOTES: Data through June 30, 2019

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					WakeSpace.		
<u>Wayne State</u> <u>University Libraries</u>	\$10,000	March, 2016	July, 2018	Funds are available to current full-time Wayne State University Faculty. One award per author per funding year.	Peer-reviewed journal articles and scholarly monographs, and/or their related or supporting data sets, are eligible for support from the fund. Venues for publication must either be members of the Open Access Scholarly Publishers Association (OASPA, http://oaspa.org/), or meet the membership requirements of OASPA, as delineated under OASPA's Membership Criteria (http://oaspa.org/membership/membership- criteria/) and Code of Conduct (http://oaspa.org/membership/code-of- conduct/). Hybrid open access arrangements ("paid open access" or "open choice") may be considered on a case-by-case basis, with the understanding that publishers offering open choice may not meet 100% of the above criteria. The publication must be free of any embargo period which might limit access for a specific time after publication.	The fund seeks to support at least ten projects a year. Applicants to the fund may request amounts up to 1/10th of the total fund [request cap is currently \$1,000]; fees less than that amount are eligible for full funding.	 # Articles Approved: 38 # Articles Reimbursed: 25 # Unique Submitting Authors: 43 # Unique Departments:25 # Unique Journals: 34 # Unique Publishers: 26 NOTES: Data through June 30, 2018. The Fund is closed for the foreseeable future.