SPARC[®]

OPEN ACCESS FUNDS IN ACTION

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
	625.000		Any			Levels	
Boston College	\$25,000,	February,	To be	All Boston College	Peer-reviewed articles	Authors who have	# Articles Approved: 13
University Libraries	provided by	2014	determined	faculty, researchers	published in fully open	external funding	# Articles Reimbursed: 13
	the Provost			and currently	access journals and	which can be used	# Unique Submitting Authors: 9
				enrolled students are	accessible immediately on	for publishing fees	# Unique Departments: 5
				eligible to apply for	publication are eligible.	are not eligible.	# Unique Journals: 6
				funding.			# Unique Publishers: 6
					The Directory of Open Access	The annual	
					Journals and the Open	funding limit for	NOTES: Through June 30, 2014
					Access Scholarly Publishers	each author will be	
					Association will be used to	\$3000.	
					vet the journals' quality and		
					open access credentials. The	Authors who	
					watch list of publishers with	receive OA funding	
					suspicious practices will be	must also deposit	
					consulted.	the article in	
						eScholarship@BC.	
					"Hybrid" open access		
					journals, are not eligible.		
Brandeis University	\$25,000	October,		LTS funding is	Funding is available for open	In order to include	# Articles Approved: 18
Library & Technology		2013		available to faculty,	access journals that do not	as many authors as	# Articles Reimbursed: 16
<u>Services</u>				staff and students.	charge a fee for institutions,	possible while	# Unique Submitting Authors: 13
				Only one author per	libraries or readers for access	covering typical	# Unique Departments: 9
				article may apply.	to the content, and do not	costs LTS will	# Unique Journals: 14
					have an embargo period for	support 100% of the	# Unique Publishers: 8
					access. This includes:	supported charges	
						with a limit of	NOTES: Through June 30, 2015
					- Members of the Open	\$3000.00	
					Access Scholarly Publishing		
					Association (OASPA)	Only those fees	
					- All Journals in the Directory	associated with	
					of Open Access Journals that	open access	
					allow author to retain	publishing are	
					distribution rights.	eligible for support.	
					- Hybrid journals that allow	Costs for reprints,	
					authors to retain distribution	color illustration	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					rights.	fees, non-open access page charges, administrative charges and other fees are not supported. Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding.	
Brock University Library	\$10,000	October, 2011	One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	Brock University faculty members (full-time and part- time), currently registered graduate students and postdoctoral fellows.	The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal.	Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA dissemination costs. Each eligible applicant is entitled to one grant.	 # Articles Approved: 16 # Articles Reimbursed: 16 # Unique Submitting Authors: 15 # Unique Departments: 9 # Unique Journals: 12 # Unique Publishers: 13 NOTES: Through June 30, 2014
Carleton Library/Office of the Vice President (Research & International)	\$50,000 in combined contributions from the Library and the Office of VP Research; the Library has	May 1, 20012	The pilot project will be evaluated in relation to its contribution to Carleton's strategic, academic and research plans.	All Carleton University faculty, post-doctoral fellows, graduate students and full-time professional staff who are named as an author of a research article and who have	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of the content of the	\$2500 per article for fully Open Access journals and a cap on any one author of \$5000 per year.	 # Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 29 # Unique Departments: 12 # Unique Journals: 26 # Unique Publishers: 26 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	committed an additional \$25,000 as of summer, 2015		Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable base funding.	the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the authors of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation, granting agency, or other institutions including Carleton.	entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be publishing in a journal which: is listed in the Directory of Open Access Journals is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct has a publicly available standard fee schedule has a policy to substantially waive fees in case of economic hardship		
Carnegie Mellon University Libraries	Open-ended, with \$45,131 disbursed through June, 2015	May, 2013		Carnegie Mellon faculty, research scientists, post-docs, and graduate students are eligible if (a) they are the corresponding author on the article and (b) they have no money	The publisher of the journal is a member of the Open Access Scholarly Publishers Association (OASPA) or adheres to the OASPA Code of Conduct. The publisher does not appear on Beall's List of Predatory Open Access Publishers.	Maximum funding per article is \$1500. Maximum funding per author per fiscal year is \$3000. Unused amounts do not roll over to the next year.	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 25 # Unique Departments: 13 # Unique Journals: 20 # Unique Publishers: 13 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,	from funders that allow grant funds to be used for Article Processing Charges (APCs).	OR The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding.		
<u>Colorado State</u> <u>University Libraries</u>	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must deposit the article into CSU's digital repository	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	 # Articles Approved: 141 # Articles Reimbursed: 79 # Unique Submitting Authors: 89 # Unique Departments: 31 # Unique Journals: 73 # Unique Publishers: 32 NOTES: Through June 30, 2015
Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up to \$50,000	January 2010	All aspects of this program, including the amount of funding	Any Columbia faculty member, post-doctoral researcher, staff member, or student	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer- reviewed articles. Only	COAP funds are intended to be a funding source of last resort and to support open access	 # Articles Approved: 140 # Articles Reimbursed: 70 # Unique Submitting Authors: 193 # Unique Departments: 41 # Unique Journals: 41

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	for fiscal year		committed to	author. Not currently	articles that are published in open-access journals are	to articles whose	# Unique Publishers: 17
	2011		the program, will be analyzed and reviewed on a regular basis.	available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program.	research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain);	NOTES: Through June 30, 2015
						Articles funded by an institution that itself pays publication fees on behalf of the author (such as Wellcome Trust).	
						Authors may receive funding for up to \$3,000 per year for all article-processing charges. Unused	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						amounts do not roll	
						over to future years.	
						The most an author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for a	
						prorated portion of	
						the publication fee.	
						An example: An	
						article with three	
						authors, two of	
						whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee.	
						Each Columbia	
						author	
						may apply for	
						reimbursement for	
						\$1,000. In the	
						hypothetical case of	
						an eligible journal	
						with a \$6,000	
						publication fee,	
						each Columbia	
						author could apply	
						for reimbursement	
						for a prorated	
						portion of the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						\$3,000 funding cap per article, or \$1,000.	
Concordia University Libraries/Office of Research	\$50,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full-time and part- time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription- based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer- reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship. The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund. Fund recipients must enable the deposit of their article in Spectrum: Concordia University Research Repository.	 # Articles Approved: 18 # Articles Reimbursed: 18 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 110 # Unique Publishers: 9 NOTES: Data through December 31, 2012
<u>Cornell University</u> <u>Library</u>	\$50,000, with support	September, 2009	All aspects of this program,	Available to any Cornell faculty, post-	Publication and processing fees for scholarly peer-	 Articles for which alternate 	# Articles Approved: 90 # Articles Reimbursed: 85

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	from the		including the	doctoral researcher,	reviewed articles in open-	publication funding	# Unique Submitting Authors: 65
	Provost's		amount of	staff member, or	access journals meeting	is available are not	# Unique Departments: 34
	Office		funding	student author.	these requirements:	eligible for COAP	# Unique Journals: 38
			committed to			funds. This includes	# Unique Publishers:20
			the program,		* Listed in the Directory of	articles whose	
			will be analyzed		Open Access Journals	research was funded	NOTES: Through June 30, 2015
			and reviewed			by grants or gifts	
			on a regular		* Member of the Open	that allow grant	
			basis. If the		Access Scholarly Publishers	funds to be used for	
			pilot project		Association or adherent to	article processing	
			proves to be		its Code of Conduct	fees (regardless of	
			, valuable to			whether that	
			scholarly		* Provide unfettered access	particular grant had	
			communication,		to all peer-reviewed articles	budgeted for such	
			COAP may		(journals with a hybrid open-	fees).	
			receive		access model or delayed		
			continued		open-access model are not	- Reimbursement	
			funding.		eligible)	cap for a single	
			\$50,000 was		0	article is \$3,000.	
			added to the		* Publicly available standard		
			fund in July,		article fee schedule.	- Authors may	
			2014			receive	
			2011		* Policy to waive fees in case	reimbursement for	
					of economic hardship.	up to \$3,000 per	
					or containe narasinp.	year for all	
						submission and	
						publication charges.	
						publication charges.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	
						responsible for a	
						prorated portion of	
						any publishing fees.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			For example, for an	
						article with three	
						authors that is to	
						appear in a journal	
						with a \$3,000	
						publication fee,	
						each author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the authors	
						are Cornellians, each	
						may enter an	
						application for	
						\$1,000. If both	
						apply, \$2,000 will be	
						sent to the journal.	
						If the journal has a	
						\$6,000 publication	
						fee, the \$3,000 per	
						article cap will apply	
						and each Cornell	
						author may only	
						apply for \$1,000.	
Dartmouth College	N/A	Fall, 2009		Dartmouth faculty	Established, scholarly open	The limit for a single	# Articles Approved: 45
<u>Library</u>				and graduate	access journals.	payment is set at	# Articles Reimbursed: 45
				students.	Subscription-based journals	\$3,000. Individuals	# Unique Submitting Authors: 35
					that charge a fee, sometimes	may be supported	# Unique Departments: 11
					called an "author's choice" or	up to \$3,000 per	# Unique Journals: 29
					"open choice" fee, to make	year in journal	# Unique Publishers: 14
					single articles available by	payments, in order	
					open access are not eligible.	to make funding	NOTES: Data through June 30, 2015
					In addition, eligible journals	available to the	
					must:	greatest number of	
						authors. In the case	
					- Be listed in the Directory of	of multiple authors,	
					Open Access Journals	an individual	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					(www.doaj.org)	author's support will	
						be set at a prorated	
					- Be a member of the Open	portion of the	
					Access Scholarly Publishers	publication fee,	
					Association or adhere to its	which will be set by	
					Code of Conduct	dividing the fee by	
						the number of	
					 Make their standard fee 	authors.	
					schedules publicly accessible		
					- By policy, waive their fees		
					in cases of financial hardship		
Duke University	\$35,000	September,	Subject to	Duke faculty	Articles accepted for	Individual authors	# Articles Approved: 137
Libraries, Duke		2010	periodic	members, post-doc	publication in a peer-	may receive a	# Articles Reimbursed: 114
University School of			evaluation	researchers, and	reviewed, open access	maximum of \$3,000	# Unique Submitting Authors: 123
Medicine, and the				graduate or	publication, as determined	reimbursement of	# Unique Departments: 33
Office of the Provost				professional students	by:	article processing	# Unique Journals: 81
					* Listing in the Directory of	fees in an academic	# Unique Publishers: 17
					* Listing in the Directory of Open Access Journals	year, with a maximum per-	NOTES: Through June 30, 2015
					* Membership in the Open	article	NOTES. Through Julie 30, 2015
					Access Scholarly Publishers	reimbursement of	
					Association	\$2.000. Unused	
					* Have policies and	funds do not roll	
					practices consistent with the	over to future years.	
					Open Access Scholarly		
					Publishers Association Code	When there are	
					of Conduct	multiple eligible	
						Duke authors for an	
					Only journals that are fully	article, each author	
					open access based on a	is responsible for a	
					published schedule of article	prorated portion of	
					processing fees are eligible.	article processing	
					The fund cannot be used to	fees and can be	
					support "hybrid" open access	reimbursed for that	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.	amount, up to the annual cap. Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds	
Emory University Libraries	\$25,000	September 1, 2012		Emory University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded. In the case of an article or book with multiple authors, each author is	The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a hybrid model (some content by fee and some content	Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per article or book. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not	 # Articles Approved: 54 # Articles Reimbursed 37 # Unique Submitting Authors 48 # Unique Departments 22 # Unique Journals 30 # Unique Publishers 14 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Florida State University Libraries	\$25,000	December, 2013	Any	responsible for a prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Florida State University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded.	open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible	directly related to open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund. Maximum reimbursement is \$1,500.00 per article or book. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole Commons.	 # Articles Approved: 25 # Articles Reimbursed: 24 # Unique Submitting Authors: 31 # Unique Departments: 17 # Unique Journals: 19 # Unique Publishers: 15 NOTES: Through June 30, 2015
<u>George Mason</u> <u>University Libraries</u>	\$25,000 annually	October 2012		All Mason faculty members, registered graduate students, and post-doctoral fellows are eligible.	for this fund. The journal must: Be listed in the Directory of Open Access Journals Hold membership in the Open Access Scholarly Publishers Association or demonstrate its adherence to the OASPA Code of Conduct Publish its standard fee schedules, and	Individuals eligible to apply for publisher payment of an APF may receive up to \$3,000 per fiscal year, with a \$3,000 cap per article. Fees are pro-rated for multi-authored articles. That is, if	 # Articles Approved: 37 # Articles Reimbursed: 33 # Unique Submitting Authors: 36 # Unique Departments: 14 # Unique Journals: 26 # Unique Publishers: 17 NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,		Waive its article processing	more than one	
					fee in cases of financial	author from Mason	
					hardship, as evidenced by its	applies for funding	
					public policy.	support for the	
						same article, the	
					Support for a hybrid journal	article processing	
					open access fee will be	fee will be divided	
					considered if:	equally, up to the	
						\$3,000/article cap.	
					the publisher is a non-		
					profit society or institution,		
					access to the journal's		
					proprietary content is		
					available only through		
					subscription with the society		
					or institution and not		
					through a for-profit		
					aggregator like Proquest,		
					Ebsco, or Elsevier,		
					the fee and its use are		
					clearly indicated on the		
					journal or publisher website,		
					and		
					the fee is waived for		
					authors from developing		
					nations or those with		
					demonstrated financial		
					hardship.		
Grand Valley State	\$35,000/yr	Fall 2011	The Fund will	- Applicant must be a	- Funding is available for	- There is no limit to	# Articles Approved: 50
University Libraries			be reviewed	current GVSU tenure-	newly published (within the	the per article	# Articles Reimbursed: 50
			annually	track, visiting,	past six months), peer	amount that can be	# Unique Submitting Authors: 86
			adjustments	affiliate and adjunct	reviewed articles in open	funded, but an	# Unique Departments: 28
			made as	faculty, staff, or	access or hybrid journals that	author may not	# Unique Journals: 45
			necessary.	currently registered	charge a publication fee	receive more than	# Unique Publishers: 25
				graduate student.	associated with the cost	\$3,000 in one year.	
					making an article freely		
				 Applicant must be 	available.	 Authors with grant 	NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,	listed as one of the		support or external	
				authors, and article	- Costs for reprints, color	funding to cover	
				must indicate GVSU	illustration fees, non-open	publishing fees are	
				affiliation.	access page charges,	ineligible.	
					administrative charges and		
					other fees are not applicable.	- For articles with	
						multiple authors,	
					- Articles must be made	each author is	
					freely available on the web at	responsible for a	
					the time of initial publication,	prorated portion of	
					with no embargo period.	any publishing fees.	
						For example, for an	
					- Eligible journals must be	article with three	
					listed in the Directory of	authors that is to	
					Open Access and Hybrid	appear in a journal	
					Journals or meet the DOAJ's	with a \$3,000	
					selection criteria. The	publication fee,	
					Libraries has a selective list of	each author is	
					open access and hybrid	responsible for	
					publisher fees for quick	\$1,000 of that fee.	
					reference.	If two of the authors	
						are from GVSU, each	
					- Eligible journals must make	may separately	
					their fee schedule publicly	apply for \$1,000. If	
					available online.	both apply, up to	
						\$2,000 of the fees	
						will be reimbursed,	
						with \$1,000 being	
						applied to each	
						person's \$3,000	
						annual award limit.	
Harvard University	\$50,000	Fall, 2009	None	Funds are available	- Reimbursable article-	- \$3,000 per article	# Articles Approved: 68
Library/Office of	,	,	-	for researchers at	processing fees may include		# Articles Reimbursed: 57
Scholarly				Harvard schools that	publication fees (charges	- There is no limit on	# Unique Submitting Authors: 59
Communication				have instituted an	levied on articles accepted	the number of	# Unique Departments: 23
				open-access policy.	for publication, including	articles reimbursed,	# Unique Journals: 31
				Currently, these are:	page charges), and	except that authors	# Unique Publishers: 17

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				FAS (including SEAS),	submission fees (charges	may receive	
				HLS, HKS, HGSE.	levied on articles submitted	reimbursement for	NOTES: Data through June 30, 2015
				Faculty, researchers,	for publication). Eligible fees	up to a total of	
				staff, and students	must be based on a	\$3,000 per academic	
				may request	publication's standard fee	year for all article	
				reimbursement for	schedule that is independent	processing fees.	
				articles connected	of the author's institution.	Reimbursement can	
				with their research		cover 100% of fees	
				activities at these	- Articles for which	up to the cap.	
				schools.	alternative funding is	Unused amounts do	
					available are not eligible for	not roll over to	
				- All authors agree to	reimbursement.	future years.	
				post a copy of their		Exceptions to the	
				article in the	- The venue of publication	\$3,000 cap may be	
				institutional	must be an established open-	made based on	
				repository.	access journal, that is, a	availability of funds.	
					journal that does not charge		
					readers or their institutions	- In the case of an	
					for unfettered access to the	article with multiple	
					peer-reviewed articles that it	authors, each	
					publishes. Journals with a	author is	
					hybrid open-access model or	responsible for a	
					delayed open-access model	prorated portion of	
					are not eligible. To be	any publishing fees.	
					eligible, a journal must meet		
					these additional		
					requirements:		
					* Be listed in the Directory		
					of Open Access Journals,		
					* Be a member of the		
					Open Access Scholarly		
					Publishers Association or		
					adhere to its Code of		
					Conduct,		
					* Have publicly available a		
					standard article fee schedule,		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					and		
					* Have a policy to		
					substantially waive fees in		
					case of economic hardship.		
Indiana University-	\$47,000	July, 2013	The pilot will be	Funds are available	To be eligible, a journal must	No cap on per-	# Articles Approved: 45
Purdue University	initially, with		evaluated after	for IUPUI faculty.	meet these additional	article funding.	# Articles Reimbursed: 27
Indianapolis (IUPUI)	support from		two years		requirements:	Articles for which	# Unique Submitting Authors: 36
Library	the IUPUI				• Be listed in the Directory of	alternative funding	# Unique Departments: 29
	University				Open Access Journals (unless	is available are not	# Unique Journals: 33
	Library, the				the journal is too new for	eligible for use of	# Unique Publishers: 17
	Office of the				DOAJ eligibility),	the fund. A onetime	
	Vice				• Be a member of the Open	exception to this	NOTES: Data through June 30, 2015
	Chancellor				Access Scholarly Publishers	rule will be made for	
	for Research,				Association or adhere to its	authors who did not	
	IU School of				Code of Conduct,	include	
	Dentistry and				Have publicly available a	dissemination fees	
	the Robert H.				standard article fee schedule.	in the budget of the	
	McKinney					grant or contract.	
	School of				Journals with a hybrid open-	A 11 1 11	
	Law; a				access model or delayed	Articles with co-	
	second 2-				open-access model are not	authors from other	
	year funding				eligible.	institutions will be	
	cycle of					supported at a	
	\$50,000					prorated portion of the article	
	began in mid-2015					processing fees.	
	1110-2015					Authors from all	
						IUPUI departments	
						and schools are	
						encouraged to	
						apply. Although	
						article processing	
						fees for eligible	
						publications will be	
						distributed on a	
						first-come, first-	
						served basis,	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			disbursement will be	
						monitored to ensure	
						diverse participation	
						across the schools.	
						As an added service,	
						the IUPUI University	
						Library will deposit a	
						copy of funded	
						articles in the	
						IUPUIScholarWorks	
Johns Hopkins	\$72,000	FY 2012	January, 2015	Because the request	Must be published in a	Up to \$1,500 per	# Articles Approved: 44
University Libraries				for support exceeds	peer-reviewed fully Open	article.	# Articles Reimbursed: 44
				the funds available,	Access journal (defined as		# Unique Submitting Authors: 45
				this year the OAPF is	any journal that appears in	Up to \$1,500 per	# Unique Departments: 24
				limiting grants to	the Directory of Open Access	year per author.	# Unique Journals: 24
				Hopkins students,	Journals).		# Unique Publishers: 13
				postDocs and early-		A copy of all	
				career faculty. The	Cannot be used to pay for	funded articles will	NOTES: The Fund closed in January,
				applicant must be an	publishing in 'hybrid' Open	be made publicly in	2015
				author on the paper	Access journals.	the Johns Hopkins	
				in question.		University	
					Must be immediately,	institutional	
					openly accessible at	repository, no later	
					publication; no delayed	than three (3)	
					publishing or embargo	months after	
					periods are accepted.	receiving this award	
						or three (3) months	
						post-publication.	
						Authors will be	
						contacted by	
						JScholarship staff to	
						complete this task.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
MIT Libraries	Pilot funding		This is a pilot	Current MIT faculty	Open-access journals that:	The subsidy is	# Articles Approved: 31
	for FY2011		project subject			limited to \$1,000	# Articles Reimbursed: 30
			to periodic		* Are peer reviewed	per article,	# Unique Submitting Authors: 23
			evaluation		* Are listed in the	regardless of the	# Unique Departments: 17
					Directory of Open Access	number of authors.	# Unique Journals: 21
					Journals		# Unique Publishers: 9
					* Have policies and		
					practices consistent with the		NOTES: Data through July 20, 2015
					Open Access Scholarly		
					Publishers Association Code		
					of Conduct		
					* Make their standard fee		
					schedules publicly accessible		
					* Waive their fees in cases		
					of financial hardship		
					Articles in journals that		
					charge an annual		
					subscription fee, including		
					journals that use a delayed		
					open-access model, or offer		
					an "open choice" option to		
					make a particular article		
					open access are not eligible.		
					Articles reporting on		
					research that was supported		
					by funders that allow		
					research funds to be used for		
					publication fees (e.g. NIH)		
					are not eligible for this		
					funding, whether or not		
					publication costs were		
					specifically included in the		
					grant. This fund is intended		
					to be a last resort for use		
					when no alternative source		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor Memorial University Libraries	Value \$125,000 in 2015/16. Values are in Canadian dollars. This funding covers both OA memberships and APCs.			Who is Eligible? All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer- reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	of funding is available. The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria: a) Journal content is peer- reviewed. b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. c) Author retains copyright over his or her work. (e.g. The journal uses Creative		Progress/Success To Date # Articles Approved: 234 # Articles Reimbursed: 210 # Unique Submitting Authors: 166 # Unique Departments: 34 # Unique Journals: 133 # Unique Publishers: 37 NOTES: Data through June 30, 2015
					Commons licensing or similar.) A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication.		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Northwestern University Library	\$20,000	October, 2013		Faculty members, post-doc researchers, graduate or professional students and any staff who are authors of articles accepted for publication in a peer- reviewed, open access publication.	The fund will not cover open access author's fees in gated or hybrid journals. Articles accepted for publication in peer-reviewed journals. Publication will be supported in any peer- reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	The fund will provide \$3,000 per Northwestern researcher per year. As funds allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per-article cap of \$3,000.	 # Articles Approved: 11 # Articles Reimbursed: 11 # Unique Submitting Authors: 10 # Unique Departments: 11 # Unique Journals: 11 # Unique Publishers: 8 NOTES: Data through June 30, 2015
Simon Fraser University Library	\$50,000 annually	January, 2010	None	SFU authors who are faculty, staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover	It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA	 # Articles Approved: 303 # Articles Reimbursed: 303 # Unique Submitting Authors: 197 # Unique Departments: 25 # Unique Journals: 112 # Unique Publishers: 35 NOTES: Data through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.	fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder	
						mandates. The amount each SFU author may claim during one fiscal year is limited to \$10,000.	
Southern Illinois University Carbondale Morris Library	\$26,000 for 2014-15	Fall 2011	The Fund is a pilot project which will be reviewed annually	Grants are available to faculty, including nontenure track faculty, and graduate students.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: Members of the Open Access Scholarly 	For fully open access journals: \$2000 per article and per year per author For hybrid journals: \$1500 per article and per year or 50%	 # Articles Approved: 79 # Articles Reimbursed: 42 # Unique Submitting Authors: 46 # Unique Departments: 23 # Unique Journals: 58 # Unique Publishers: 30 NOTES: Data through June 30, 2015
					Publishers Association (OASPA) All journals in the Directory of Open Access Journals that allow authors to retain distribution rights 	of the Open Access fee, whichever is less. - Articles whose underlying research was funded by grants that allow funds to be used for	
					 Hybrid journals that allow authors to retain distribution rights. 	article-processing fees (regardless of whether that particular grant budgeted for such	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					 Journals must not have an embargo period for access. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. 	fees) are not eligible for funding.	
Texas Woman's University	\$30,000 annually from the Provost	Fall 2015		Current full-time TWU faculty (clinical or tenure track).	To be eligible for support, a work must be: - A peer-reviewed journal article, scholarly monograph, conference proceeding, or data set. - Published in a journal or platform that is openly available immediately upon publication after charges are paid. - Published in a traditional subscription based or 'hybrid' journal that offers an open access option. - Accepted for publication at the time of application for funding support. - Not eligible for funding by a grant that would cover	Fund provides awards of up to \$3000/article per author/contact, person/lead or author group in an open access journal. Funds will be distributed by semester: Fall (August - December), Spring (January - April), Summer (May - July).	 # Articles Approved: 10 # Articles Reimbursed: 9 # Unique Submitting Authors: 10 # Unique Departments: 6 # Unique Journals: 9 # Unique Publishers: 7 NOTES: Through December 31, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Libraries and Cultural Resources, University of Calgary	\$100,000/yr (CAN)	June 2008	None. The Fund is in its second year and is expected to continue.	University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	 publication charges. The publication must have a standard article fee schedule publicly posted. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. The article must be available immediately upon publication. Publication fees for peer- reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid") are not eligible as of June, 2014. Journals/publishers that have differential charges for Creative Commons licenses 	 If eligible, the entire fee is covered. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 784 # Articles Reimbursed: 713 # Unique Submitting Authors: 571* # Unique Departments: 89* # Unique Journals: 365* # Unique Publishers: 62* NOTES: Through June 30, 2015 * indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
UC Berkeley Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$54,000; an additional \$44,000 in funding was added in September, 2014	Established	Any	UC Berkeley faculty members, post-docs, and graduate students	 are higher than those for CC- BY-NC licenses) will not be eligible for coverage. Deposition of funded articles in the University of Calgary institutional repository is mandatory. Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any open access publication, Hybrid journals offering a paid access option are not eligible as of September, 2014. 	- Maximum reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. - Authors must stipulate that they have no other available funding	# Articles Approved: 451 # Articles Reimbursed: 256 # Unique Submitting Authors: 422 # Unique Departments: 72 # Unique Journals: 231 # Unique Publishers: 44 NOTES: Data through June 30, 2015
						(e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year.	
<u>UC Davis</u>		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post-docs, residents, fellows, and graduate	 Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in 	Up to \$1,000 per article.	 # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				students	any fully open access publication (no hybrid journals are eligible).		NOTES: Data through March 31, 2014. The Fund is no longer operative.
<u>UC Irvine Libraries</u>	\$15,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Irvine faculty members, post- doctoral scholars, researchers, and staff	Funds are available to pay open access/ processing fees for: - Fully open access journals: fees to publish in journals in which all articles are immediately available open access - Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access - Open access monographs: fees for publishing open access ebooks with reputable publishers - Open data archiving: fees charged by a data repository for archiving open access data Articles must be made freely available at the time of initial publication. No embargo periods.	\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open access journals, use the box to the right.	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21 NOTES: Data through March 31, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
UC Merced	\$15,000	January, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	 UC Merced faculty UC Merced lecturers and others with academic appointments Currently enrolled UC Merced graduate students Priority will be given to articles with multiple authors from UC Merced, and those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are 	Only fully open access journals (immediately available with no embargo period) listed in the <u>Directory</u> <u>of Open Access Journals</u> will be funded.	The pilot Fund will cover a maximum of \$1500 for any one article in a hybrid open access journal. Article Publication Charges (APCs) and Open Access Fees (OA Fees) up to \$3,000/article.	 # Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12 NOTES: Data through March 31, 2014. The Fund is no longer operative.
<u>UC Riverside</u>		March, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	not eligible. UC Riverside faculty, post-doctoral scholars, researchers and graduate students	Funds are available to pay open access fees and article publication charges for fully open access journals: •Fees to publish in journals in which all articles are immediately available open access	UR-OAF provides UC Riverside authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are	 # Articles Approved: 5 # Articles Reimbursed: 4 # Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7 NOTES: Data through March 31,

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any		•Articles must be made	Levels published as open	2014. The Fund is no longer
					freely available at the time of	access).	operative.
					initial publication, with no	accessj.	operative.
					embargo periods.	There is a cap of one	
					embargo periods.	article per author	
					Articles published in "hybrid	per year. The	
					open access journals" in	person who	
					which only some articles are	completes the	
					open access and a	application form will	
					publication fee is usually paid	be considered the	
					to the publisher do not	applicant for that	
					qualify for these funds.	article.	
UC San Diego	\$20,000	October,	The Fund is a	UC San Diego faculty,	Funds are available to pay	UC San Diego Open	# Articles Approved: 41
	Υ <u></u>	2012	pilot that will	post-docs, residents,	open access fees and article	Access Fund Pilot	# Articles Reimbursed: 36
		2012	be re-evaluated	fellows, and graduate	publication charges for fully	funds will cover a	# Unique Submitting Authors: 60
			at the end of	students and other	open access journals:	maximum of \$1000	# Unique Departments: 23
			2014/15	affiliates are eligible	open access journals.	for any one article in	# Unique Journals: 35
			academic year.	to apply for funds.	- Fees to publish in journals	a fully open access	# Unique Publishers: 20
			academic year.		in which all articles are	journal - journals in	
					immediately available open	which all articles are	NOTES: Data through March 31,
					access	published open	2014. The Fund is no longer
						access.	operative.
					- Articles must be made	uccess.	operative.
					freely available at the time of	\$1000 is the	
					initial publication, with no	maximum any one	
					embargo periods.	applicant may be	
						awarded in one	
					(Funds from the pilot are not	year. The person	
					available to cover color	who completes the	
					charges, page charges,	application form will	
					illustration charges, or	be considered the	
					submission charges.)	applicant for that	
					,	article.	
UC San Francisco	\$20,000	December	The Fund is a	Any current UCSF	Publications must be made	Open access journal	# Articles Approved: 26
	. ,	2012	pilot that will	faculty (salaried),	freely available at the time of	articles processing	# Articles Reimbursed: 22
			be re-evaluated	post-doc, fellow,	initial publication. Embargo	charges (APCs):	# Unique Submitting Authors: 39
			at the end of	resident, graduate	periods for open access will		# Unique Departments: 20

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
Sponsor	Value	Date Established	Date Limit, If Any 2014/15 academic year.	Who is Eligible? student, or professional student (SOD, SOM, SON, SOP) may apply for funds. Faculty without salary are not eligible. Because we have a limited amount of funds for this pilot, we ask that you only apply for funds if you have no alternative funding source such as grant or departmental funds to cover the open access (OA) publishing fee.	What is Eligible? not be covered. OA articles may be either in Fully OA journals or in <u>"hybrid" OA</u> journals - subscription journals whereby authors pay a fee to make their article OA (often referred to as "open choice"). - Journal articles must be published in a peer- reviewed, scholarly journal. - OA journals must be listed in the <u>Directory of Open</u> <u>Access Journals</u> . - The journal must apply policies and practices consistent with the OAPSA's <u>Code of Conduct</u> . - Books must be peer- reviewed. - Theses and dissertations must be associated with the	Reimbursement Levels- Up to \$2,000 per article in a fully OA journal Up to \$1,000 per article in a hybrid OA journal.Open access books: - Contact the Library for more information about fees.Open access to your individual electronic thesis or dissertation in Proquest's database - \$95 (fee for one ETD).A maximum of \$2,000 per individual and \$4,000 per lab will be reimbursed for the duration of the pilot.	# Unique Journals: 28 # Unique Publishers: 15 NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC Santa Barbara	\$30,000	Fall, 2012	The Fund is a pilot that will	UCSB faculty members, post-	UCSF Graduate Division. - Fully open access journals	The fund will pay up to \$3,000 per	# Articles Approved: 19 # Articles Reimbursed: 11
			be re-evaluated at the end of 2014/15	doctoral scholars, graduate students, researchers,	 Fees to publish in journals in which all articles are immediately available open 	publication and has a cap of one publication per	# Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals:14

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any				# Unique Dublisherry 10
			academic year.	lecturers, scientists,	access.	author per year.	# Unique Publishers: 10
				librarians, and other	Lubrid iourpole	This is a pilot	NOTES: Data through March 21
				non-Senate academic	- Hybrid journals	program and is	NOTES: Data through March 31,
				appointees are	(subscription journals with an	subject to funding	2014. The Fund is no longer
				eligible to participate	open access option) which	restraints.	operative.
				in the pilot. We	offer publication fee		
				request that authors	discounts to UC authors.		
				with funding from	0		
				external grants or	- Open access monographs -		
				contracts not utilize	fees for publishing open		
				pilot project funds in	access ebooks with reputable		
				order to allow others	publishers.		
				to share in the	Onen dete enskives for		
				benefit of open	- Open data archives - fees		
				publications.	charged by a data repository		
					for archiving open access		
					data.		
					- Open access conference		
					proceedings - fees to publish		
					papers in fully open access		
					conference proceedings.		
					Funds are for unpublished		
					research. Publications must		
					be made freely available at		
					the time of initial publication		
					with no embargo periods.		
					Funds from the pilot are not		
					available to cover color		
					charges, page charges,		
					illustration charges, or		
					submisson charges. As with		
					all journals, authors are		
					encouraged to publish their		
					research results in high		
					quality publications.		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
	645.000	Established	Any			Levels	
UC Santa Cruz	\$15,000	Fall, 2012	June, 2014.	UC Santa Cruz	Article publication charges	UCSC Open Access	# Articles Approved: 23
				faculty, researchers,	(APCs) or Open Access Fees	Fund will cover a	# Articles Reimbursed: 15
				lecturers, post-docs,	(OA Fees):	maximum of \$3000	# Unique Submitting Authors: 31
				and currently	 for previously unpublished 	for any one article in	# Unique Departments: 13
				enrolled graduate	articles	a fully open access	# Unique Journals: 14
				students who do not		journal - journals in	# Unique Publishers: 10
				have grant funds that	- in fully open access journals	which all articles are	
				can be used for open	(in which all articles are	published open	NOTES: Data through June 30, 2014.
				access charges are	available open access) or in	access.	The Fund is no longer operative.
				eligible to apply for	hybrid open access journals		
				funds from the UCSC	(in which the default is for	The pilot Fund will	
				Open Access Fund	articles to only be available	cover a maximum of	
				pilot.	to subscribers, but authors	\$1500 for any one	
					may pay to make individual	article in a hybrid	
					articles open access);	open access journal.	
						Hybrid journals	
					- where articles are made	charge readers a	
					immediately available, with	subscription fee, but	
					no embargo period.	allow authors to pay	
						a fee to make a	
						single article open	
						access.	
						\$3000 is the	
						maximum any one	
						applicant may be	
						awarded in one	
						year. The person	
						who completes the	
						application form will	
						be considered the	
						applicant for that	
						article.	
University of	The fund was	September,		Current CU-Boulder	Any journal that provides	This fund supports	# Articles Approved: 34
Colorado Boulder	instituted as	2012		faculty, staff, and	free, immediate, online	open access to	# Articles Reimbursed: 34
Libraries	a pilot in	2012		students may request	access to the full text of all	research articles	# Unique Submitting Authors: 32
	2012 with an			· ·			
	2012 with an			funding up to \$2,000	research articles and follows	where publication	# Unique Departments: 20

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	initial			per year to pay for	accepted best practices for	fees are not covered	# Unique Journals: 24
	\$20,000. An			article-processing or	open access publishing is	by grants or other	# Unique Publishers: 14
	additional			publishing fees for	eligible. This includes, but is	funding sources.	
	\$34,000 has			full open access	not limited to, journals listed	Authors are	NOTES: Through June 30, 2015
	been added			journals.	in the Directory of Open	encouraged to	
	to the fund				Access Journals. At a	include publication	
	since then.				minimum, journals must be	fees in grant	
					compliant with the Open	requests whenever	
					Access Scholarly Publishers	possible.	
					Association's Code of		
					Conduct.	Funding is limited to	
						\$2,000 per article	
					"Hybrid" open access	regardless of the	
					journals that provide free	number of authors.	
					online access for only some		
					articles will not be eligible for		
					funding.		
University of Florida	\$120,000	July 1,	Funds were	All University of	Publication fees in open-	* Up to \$3,000	# Articles Approved: 198
Provost's Office		2010	exhausted in	Florida faculty, post-	access journals that provide	per article will be	# Articles Reimbursed: 198
			January, 2013.	doctoral researchers,	free, immediate, online	provided to pay	# Unique Submitting Authors: 158
			Replenishment	staff members, and	access to the full text of	publication fees in	# Unique Departments: 62
			is presently	students.	research articles without	open-access	# Unique Journals: 105
			under		restrictions.	journals which	# Unique Publishers: 40
			discussion.			provide free,	
					Eligible journals include	immediate, online	NOTES: Through January 15, 2013;
					those listed in the Directory	access to the full	Fund is longer active
					of Open Access Journals, or	text of research	
					publishers that hold	articles without	
					membership in the Open	restrictions.	
					Access Scholarly Publishers		
					Association, and/or are	* Up to \$1,500	
					compliant with the	per article will be	
					Association's	provided to pay for	
					Code of Conduct.	selecting a paid	
						open access option	
					A smaller stipend will be paid	from a	
					for selecting a paid open	hybrid publisher.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					access option from a hybrid		
					publisher.	* UFOAP funds	
						are intended to	
						support open access	
						to research articles	
						where publication	
						fees are not covered	
						by grants or other	
						funding sources. In	
						order to encourage	
						inclusion of	
						publication fees in	
						future grant	
						applications, articles	
						resulting from	
						awarded grants that	
						allow for budgeting	
						of article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment of	
						the UFOAP, July 1,	
						2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF authors,	
						each may apply for	
						\$750. For fees	
						exceeding the	
						\$3,000 cap per	
						article, each	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
University of Iowa Libraries	\$75,000, with support from the Office of the Provost	Established	Any	Any University of lowa faculty member (including research /clinical faculty), researcher, graduate or professional student is eligible, but except under extraordinary circumstances, undergraduate students are not.	Funding is available only for open access fees, not page charges, etc. Articles must be published in full open access journals, which allow immediate, free access to all their articles upon publication. The journal title must appear in the Directory of Open Access Journals or the publisher must be a member of the Open Access Scholarly Publishers Association. Alternatively, funding will be considered for articles published in hybrid journals, provided the publisher appears in the Sherpa/RoMEO list of Publishers with Paid Open Access Options, or is a member of the Open Access Scholarly Publishers	Levels UF author may apply only for his/her prorated portion not to exceed the \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access. Up to \$3,000 for full open access publications; \$1,500 for hybrid open access publications.	# Articles Approved: 107 # Articles Reimbursed: 84 # Unique Submitting Authors: 80 # Unique Departments: 37 # Unique Journals: 73 # Unique Publishers: 28 NOTES: Through June 30, 2015
University of Kansas	\$50,000,	October,	Two year pilot	Faculty, graduate	Association. Submitted-for-publication or	Funding covers costs	# Articles Approved: 61
<u>Libraries</u>	with support from the University of	2012		students, post-docs, and staff on the Lawrence and Kansas	accepted-for-publication peer-reviewed articles in open access journals. An	of article processing fees only (no page or color charges), up	# Articles Reimbursed: 61 # Unique Submitting Authors: 96 # Unique Departments: 45

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Provost, The University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The University of Kansas and University of	Established	Any	year one.	journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	Levels Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.	# Unique Publishers: 31 NOTES: Through June 30, 2015
University of Maryland Libraries	Kansas Medical Center \$10,000 initially; additional \$7,500 added mid- year	September, 2013		Any UMD faculty member, post- doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - All journals in the <u>Directory</u> <u>of Open Access Journals</u> that allow authors to retain distribution rights - Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the Code of Conduct	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	 # Articles Approved: 13 # Articles Reimbursed: 13 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 8 NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					 Journals that have publicly available a standard article fee schedule Journals that have a policy to substantially waive fees in case of economic hardship 		
University of Massachusetts Amherst	\$25,000	July, 2014		Any current UMA faculty member, post-doctoral researcher, staff member, or graduate student author who has no other source of funding for open access publication charges. Authors whose grants include funding for publication charges, or who have support from other venues, must apply those funds first.	Eligible publications and publishing venues must be fully and immediately open access. Publications in "hybrid open-access" (which also charge subscription fees) or "delayed open-access" journals and monographs are not eligible. Journals or monograph publishers must be members of the Open Access Scholarly Publishers Association, OR demonstrably adhere to the OASPA Code of Conduct. Journals or monograph publishers must have a standard article fee schedule, publicly accessible, and a policy to waive fees in cases of economic hardship or economic equity.	Funding is available annually for any one author up to \$3000. Funding is available for any one paper up to a maximum of \$3000. Support for non-UMA collaboratively authored papers will be available on a prorated basis. All works will be deposited on UMA Amherst ScholarWorks.	 # Articles Approved: 13 # Articles Reimbursed: 13 # Unique Submitting Authors: 13 # Unique Departments: 10 # Unique Journals: 8 # Unique Publishers: 6 NOTES: Through January 30, 2016
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in	All University of Michigan faculty, post-doctoral researchers, graduate	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply,	Up to \$3000 per submission. However, if some requirements are	# Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			May, 2012. It is	and professional	but the Library prefers to	not met, then a	# Unique Journals: 58
			no longer	students, staff	support work:	smaller portion will	# Unique Publishers: 30
			operating.	members, and		be provided based	
				students.	*where the author retains	on the following	NOTES: Through September 15, 2012;
					copyright.	criteria:	Fund is no longer active
					*which will appear in fully	*Retaining Rights:	
					open journals, and is	If you will not retain	
					accessible immediately upon	copyright or the	
					publication.	right to distribute the work we will	
					*for which grant funding	fund the lesser of	
					could not be applied to	\$1000 or 50% of the	
					publishing costs.	publisher's open	
					Paguer 10 00000	access fee.	
					*that the author commits		
					to depositing in open access	*Publish in fully	
					repositories (e.g. Deep Blue).	, open journals: An	
						open journal is one	
					*for which the open access	for which all peer-	
					publication fee is less than	reviewed content is	
					\$1500.	available freely to	
						readers. If the work	
						will appear in a	
						"hybrid" journal —	
						one for which some	
						of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Any			publication: If access	
						•	
						to the published article is not	
						immediate we will	
						fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. If	
						the publisher will	
						delay access longer	
						than 12 months, we	
						will not provide any	
						funding.	
						* Use grant	
						funding wherever	
						possible: If your	
						grant funding could	
						have been applied	
						to publishing	
						charges, we will	
						fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. We	
						will only do this	
						once for an	
						author/group of	
						authors, since such	
						fees should be	
						included in future	
						grant applications.	
University of	\$20,000	Spring,		Any University of	- Funds are available for	No limit for full OA	# Articles Approved: 42
Minnesota Libraries	annually,	2012		Minnesota faculty,	peer-reviewed journal	articles. "Hybrid"	# Articles Reimbursed: 42
	with funds			researchers, post-	articles, scholarly	journals will be	# Unique Submitting Authors: 41
	from the			docs, graduate	monographs, conference	covered up to 50%.	# Unique Departments: 28
	budget of the			students, or staff	proceedings, and data sets.		# Unique Journals: 29
	University			,	- Funds may be used only to		# Unique Publishers: 12
	Libraries and				cover open access		,

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	the Office of		,		publication and submission		NOTES: Through June 30, 2015
	the Vice				fees.		
	President of				- Author fees for open access		
	Research				journals (as determined by		
					listing in the Directory of		
					Open Access Journals,		
					membership in the Open		
					Access Scholarly Publishers		
					Association, or adherence to		
					Open Access Scholarly		
					Publishers Association Code		
					of Conduct) will be covered		
					in full. Author fees for		
					"hybrid" journals will be		
					covered up to 50%.		
					- Funds may not be used for		
					publications that do not		
					make works fully openly		
					available immediately upon		
					publication.		
					Authors receiving funds must		
					deposit a copy of the		
					publication in an approved		
					open access repository, such		
					as the University Digital		
					Conservancy (the University		
					of Minnesota's institutional		
					repository) or a recognized		
					discipline repository (e.g.,		
					arXiv, AgEcon,		
					PubMedCentral, etc.)		
University of North	\$20,000	March	July, 2013	UNC-CH faculty, post-	Publishing charges related to	- Award maximum is	# Articles Approved: 82
Carolina-Chapel Hill	From the	2005		doctoral researchers,	journals offering free	\$1000 per article.	# Articles Reimbursed: 71
(Sponsored by the	Vice			and graduate or	immediate open access to		# Unique Submitting Authors: 63
Office of the Vice	Chancellor			professional students	articles. Journals offering	- Authors must	# Unique Departments: 12*
Chancellor for	for Research;			without grant funds	"open choice" or "hybrid"	exhaust other	# Unique Journals: 47

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
Research and	\$8,000			to cover publication	options are eligible provided	funding avenues	# Unique Publishers: 19
Economic	From Health			fees	the articles are immediately	(e.g., grants) before	
Development until	Sciences				accessible.	turning to the Fund.	NOTES: Data through July, 2013;
fall 2009;	Library						Fund is no longer active
subsequently	\$8,000 from						
continued via library	University						
funding)	Library						
University Libraries	\$11,500	Fall 2011	The Fund is a	Full-time faculty, full-	- The article must be	- Reimbursement	# Articles Approved: 20
University of North			pilot project by	time EPA employees,	published in a peer-reviewed	will not exceed	# Articles Reimbursed: 20
Carolina at			the University	and graduate	open-access journal.	\$1,000 per article.	# Unique Submitting Authors: 19
Greensboro			Libraries and	students are eligible			# Unique Departments: 14
			the Office of	to apply		- Reimbursement	# Unique Journals: 13
			Research &			will be limited to	# Unique Publishers: 12
			Economic			one award per	
			Development.			fiscal-year per	
						author.	NOTES: Through June 30, 2015
						- Authors are	
						expected to exhaust	
						all other grant or	
						contract funding	
						sources available to	
						them before	
						applying for support	
						from the Open	
						Access fund.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>University of</u> <u>Oklahoma - Norman</u>	\$20,000 annually	Late 2013		Any current University of Oklahoma-Norman regular faculty member, post- doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded.	Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic hardship.	Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years. Authors agree to retain their copyright and to post a copy of their work in the University's institutional repository,	 # Articles Approved: 14 # Articles Reimbursed: 14 # Unique Submitting Authors: 14 # Unique Departments: 4 # Unique Journals: 9 # Unique Publishers: 6 NOTES: Data through June 30, 2015
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	June, 2014	 Applicant must be a UO tenure-related faculty member, non- tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a completed article for publication. Applicant must be 	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer- reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer	SHAREOK. - Up to 100% of author fee for publishing a peer- reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article. - Maximum reimbursement will be \$3,000 per person over the period of this pilot project.	 # Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12 NOTES: The Fund has been retired as of June, 2014.

SPARC – Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u> Updated April 7, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally	listed as one of the	reviewed scholarly	Reimbursement will	
				authors, and article	monographs. Articles must	cover only direct	
				must indicate UO	be made freely available on	costs for open	
				affiliation.	the web at the time of initial	access publication	
				anniation.	publication (no embargo	(not the cost of	
					periods). At this time	reprints, color	
					funding is not available for	illustration fees,	
					-		
					articles published using an	non-OA page	
					"open choice" option in	charges, web	
					journals that are primarily	hosting for self-	
					subscription based rather	archiving, etc.)	
					than open access.		
						- Reimbursement	
					Journals listed in the	will be available only	
					Directory of Open Access	in cases where the	
					Journals	authors do not have	
					(http://www.doaj.org/doaj)	adequate grant or	
					are assumed to qualify. For	contract funding	
					publication in a journal not	specifically	
					listed there, additional	designated to cover	
					information to allow	author fees.	
					assessment of whether the	Authors with	
					journal meets our criteria will	funding designated	
					be required as part of the	to cover publication	
					application process.	costs such as author	
						fees from grants,	
						contracts, or other	
						institutional funds	
						are asked to use	
						those funds first and	
						to allow others to	
						share in the benefit	
						of open	
						publications.	
University of Ottawa	\$100,000	January,	The Author	The Fund is open to	Eligible open access journals	The Fund covers	# Articles Approved: 580

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	(CAD), with	2010	Fund working	all full-time and part-	must be listed in the	only the costs and	# Articles Reimbursed: 474
	financial		group (whose	time faculty	Directory of Open Access	fees associated with	# Unique Submitting Authors: 361
	support from		members	members, staff,	Journals or meet the DOAJ's	open access	# Unique Departments: 59
	the		represent the	currently registered	selection criteria. Eligible	publishing. Costs for	# Unique Journals: 313
	University,		Office of the	graduate students,	hybrid and traditional	reprints, color	# Unique Publishers: 74
	the Library		Vice-President,	postdoctoral fellows	journals must make articles	illustration fees,	
	and most		Research, the	and adjunct	available immediately and	non-open access	NOTES: Through June 30, 2014
	Faculties.		Library and all	professors affiliated	allow self-archiving of the	page charges,	_
			contributing	with the University of	publisher PDF immediately	administrative	
			faculties) will	Ottawa. Researchers	upon publication (no	charges and other	
			evaluate this	working for	embargo period imposed).	fees are not	
			initiative	University of Ottawa	The fee schedule must be	applicable.	
			periodically,	Research Centres and	publicly available online. The	Researchers are	
			and	Institutes, and	Fund also covers open access	entitled to apply for	
			recommend	Affiliated Research	books.	two (2)	
			any changes to	Institutes are also		reimbursements per	
			the Fund's	eligible.		fiscal year.	
			criteria and			-	
			administration			The Fund will	
			procedures,			reimburse open	
			when			access book fees up	
			appropriate.			to a maximum of	
						\$2,500 per	
						publication.	
						•	
						Applicants must first	
						use funds received	
						through other	
						research grants that	
						are intended to	
						cover publication or	
						submission fees.	
						Should the grants	
						not allocate	
						sufficient funds to	
						cover such costs, an	
						application can be	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						submitted to this Fund.	
University of <u>Pittsburgh Library</u> <u>System</u>	No limit	July 1, 2012	None	Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of Pittsburgh schools except the six schools in the health sciences: Dental Medicine, Health and Rehabilitation Sciences, Nursing, Medicine, Pharmacy, and Public Health.	The venue of publication must be an established open- access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a	No limit on per- article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible fees must be based on a publication's standard fee schedule that is independent of the author's institution. Reprint fees are not eligible.	 # Articles Approved: 121 # Articles Reimbursed: 121 # Unique Submitting Authors: 113 # Unique Departments: 61 # Unique Journals: 75 # Unique Publishers: 4 NOTES: Through June 30, 2014
					 Have publicly available a standard article fee schedule. The ULS is an institutional member of Hindawi Publishing Corporation, which publishes over 300 Open Access journals in a variety of disciplines. The ULS' membership allows for all authors served by the ULS who publish in Hindawi journals to do so free of author charges. There is no 	Articles for which alternative funding is available are not eligible for reimbursement. This includes articles reporting research funded by a gift or a grant from a granting agency, foundation, or other institution (including the University of Pittsburgh itself)	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					need for authors to apply to	that allows granted	
					the ULS for publication funds.	funds to be used for	
						article processing	
					Journals with a hybrid open-	fees (whether or not	
					access model or delayed	the particular grant	
					open-access model are not	had budgeted for	
					eligible.	such fees and	
						whether or not	
						sufficient grant	
						funds remain) and	
						articles funded by	
						an institution that	
						itself pays article	
						processing fees on	
						behalf of the author	
						(such as NIH).	
University of Rhode	\$15,000, split	January,	The Fund will	The URI OA Fund is	Eligible journals must:	The maximum dollar	# Articles Approved: 4
Island	between the	2014	be evaluated	available to full-time		amount that the URI	# Articles Reimbursed: 4
	Libraries and		after the 2014-	faculty of the	Be listed in the Directory of	OA Fund will	# Unique Submitting Authors: 2
	the Vice		2015 academic	University of Rhode	Open Access Journals.	reimburse for a	#Unique Departments: 2
	President for		year.	Island.		single article is	# Unique Journals: 3
	Research and				Be a member of the Open	\$3,000.	# Unique Publishers: 3
	Economic				Access Scholarly Publishers		
	Development				Association or adhere to its	The URI OA Fund is a	NOTES: Through June 30, 2015
					Code of Conduct.	limited resource	
						intended to support	
					Provide unrestricted access	open access	
					to all peer-reviewed articles	publishing across	
					in the journal. (Subscription-	the university. We	
					based journals that charge a	expect researchers	
l					fee, sometimes called an	to request funding	
					"author's choice" or "open	for open access	
					choice" fee, to make single	publication from	
					articles available open access	their funding agency	
					are not eligible, nor are	if they can do so.	
					subscription journals that	These funds are	
					make articles available open	intended to be a	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					access after a time delay or "embargo.")	funding source of last resort and to support open access	
					Have publicly available a standard article fee schedule.	to articles whose research was not grant-funded.	
					Have a policy to waive fees in cases of economic hardship.		
University of Tennessee, Knoxville Office of Research and the University Libraries	Initial allocation of \$20,000, augmented by regular contributions from the Office of Research and Engagement and the University Libraries	October, 2008	Extends until funding is exhausted and will be evaluated	Any UTK faculty member, post- doctoral associate, or currently enrolled graduate student.	 Article processing fees levied by peer-reviewed open access publishers such as the Public Library of Science and BioMedCentral. Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. Hybrid journals that make only selected articles open access are not included in the pilot project. 	Funds are awarded on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. -Authors with grant support to cover open access publishing are requested not to	 # Articles Approved: 151 # Articles Reimbursed: 151 # Unique Submitting Authors: 91 #Unique Departments: 34 # Unique Journals: 81 # Unique Publishers: 37 NOTES: Through June 30, 2015
University of Toronto Libraries	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012		The Fund is open to all full-time and part- time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students,	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not	apply. The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who have received a	 # Articles Approved: 54 # Articles Reimbursed: 54 # Unique Submitting Authors: 49 #Unique Departments: 27 # Unique Journals: 20 # Unique Publishers: 16 NOTES: Through June 30, 2014

SPARC – Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u> Updated April 7, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				fellows affiliated with		explicitly includes	
				the University of	Eligible Open Access journals	publication or	
				Toronto.	will either be listed in the	submission support	
					Directory of Open	are required to use	
					Access Journals, be a	those funds before	
					member of the Open Access	applying to the	
					Scholarly Publishers	Open Access Author	
					Association or adhere to its	Fund.	
					Code of Conduct. Journals		
					must provide unfettered	Additionally, those	
					access to all peer-reviewed	faculty and	
					articles and make publicly	, librarians who have	
					available a standard article	access to	
					fee schedule.	departmental or	
						PERA funds should	
					The library reserves the right	make use of these	
					to refuse a request if the	funds prior to	
					publisher appears to be a	making a request for	
					"predatory open access	reimbursement	
					publisher".	through the Open	
						Access Author Fund.	
						Fund recipients	
						must provide a copy	
						of their article/book	
						chapter for	
						submission to T-	
						Space, the	
						University's	
						Research	
						Repository.	
University of Utah	\$83,994	May, 2011	The Fund closed	University of Utah	a) Journals which are fully	The maximum dollar	# Articles Approved: 50
Library		-	in 2014.	faculty, staff	Open Access. Content of the	amount that the	# Articles Reimbursed: 37
				members, postdosc,	entire journal is freely	OAP Fund will	# Unique Submitting Authors: 47
				or currently enrolled	available online immediately	reimburse for a	# Unique Departments: 16
				graduate or	upon payment of the article	single article during	# Unique Journals: 33
				undergraduate	processing fee. Fully Open	the trial period is	# Unique Publishers: 26

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	students on main (excluding law) campus.	Access journals from publishers who have few such journals are also eligible. b) Hybrid journals which are not fully Open Access but which allow individual articles to be made freely available online immediately	\$3,000. Researchers are expected to request funding for open access publication from their funding agency before applying to the OAP Fund.	NOTES: Through February, 2014
					upon payment of the submission fee. To be eligible for funding in this category, the publisher must plan to make (in the next subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals.		
<u>University of Virginia</u> <u>Library</u>	\$15,000 per fiscal year	July, 2013		Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries).	 Journal must be peer- reviewed and listed in the Directory of Open Access Journals. Journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'. Hybrid journals will not be eligible for funding at this time. Article must be available immediately upon publication, with no embargo. 	Eligible individuals may receive up to \$2000 per article, and \$3000 total per year. Funds will be allocated on a first- come, first-served basis.	 # Articles Approved: 20 # Articles Reimbursed: 20 # Unique Submitting Authors: 32 (includes co-authors) # Unique Departments: 6 # Unique Journals: 13 # Unique Publishers: 9 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Wisconsin – Madison Libraries	\$50,000, supported by unrestricted gift funds to library	July 2005	January 31, 2014	- Any University of Wisconsin-Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author.	 books are eligible, if they are peer-reviewed. Author must agree to submit a copy of the post-review publication to UVa's institutional repository, Libra. Only publishing fees will be covered. Authors must have exhausted all available grant funds that may be eligible to be used for the article processing fee. Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. 	 Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). Up to 30% for publishing in a journal that provides authors with an open access option. Reimbursement will not exceed \$1,500 per article. Authors must cover the balance of the open access charges through grants or other 	<pre># articles approved: 37 # articles reimbursed: 37 # unique submitting authors: 30 # unique departments: 21 # unique journals: 22 # unique publishers: 19 NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.</pre>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						funds.	
Utah State University Libraries	\$30,000	October, 2011	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Faculty and graduate students	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first- served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed. - Those who publish in fully open access journals	 # Articles Approved: 46 # Articles Reimbursed: 33 # Unique Submitting Authors: 50 # Unique Departments: 17 # Unique Journals: 39 # Unique Publishers: 28 NOTES: Through June 30, 2015
<u>Virginia Tech</u> Libraries	\$50,000 for FY 2014-15, in partnership with the Office of Senior Vice President and Provost and the	August, 2012		All faculty, staff, graduate, and undergraduate students are eligible.	Funds are available to underwrite article processing fees for scholarly peer- reviewed articles accepted for publication in an open access or hybrid OA journal. A journal's publisher must be a member of the Open Access Scholarly Publishers	Support is limited to \$1500.00 per article and \$3000.00 per author per year. For papers with multiple Virginia Tech co- authors, the program would still subsidize the same total amount of up	 # Articles Approved: 106 # Articles Reimbursed: 94 # Unique Submitting Authors: 117 # Unique Departments: 71 # Unique Journals: 60 # Unique Publishers: 29 NOTES: Data through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Office of the Vice President for Research				Association (OASPA) or comply with OASPA's Code of Conduct. For fully OA journals, the journal must be listed in the Directory of Open Access Journals.	to \$1,500 per article but it would be prorated among each of the authors. Authors must have no other sources of funding available.	
					For hybrid OA journals, the publishers must have reduced institutional subscription prices, or plan to reduce prices this next year, based on the number of open access publications in the journals.		
<u>Wake Forest</u> <u>University Z. Smith</u> <u>Reynolds Library</u>	\$13,000 (library contribution is half of total fund)	Early 2008	None, although program is regularly assessed and evaluated	Available to WFU Reynolda campus faculty. Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.	Eligible publications include peer-reviewed journal articles and book chapters. Articles and book chapters must be publicly available immediately upon publication. Articles and book chapters may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee).	- No limit on size of request faculty can make.	 # Articles Approved: 27 # Articles Reimbursed: 27 # Unique Submitting Authors: 23 # Unique Departments: 13 # Unique Journals: 24 # Unique Publishers: 18 NOTES: Data through June 30, 2015
					Full OA journal publishers must either be listed in the DOAJ: Directory of Open		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org).		
					Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive, WakeSpace.		