SPARC[®]

OPEN ACCESS FUNDS IN ACTION

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
Boston College	\$25,000,	February,	To be	All Boston College	Peer-reviewed articles	Authors who have	# Articles Approved: 13
University Libraries	provided by	2014	determined	faculty, researchers	published in fully open	external funding	# Articles Reimbursed: 13
	the Provost			and currently	access journals and	which can be used	# Unique Submitting Authors: 9
				enrolled students are	accessible immediately on	for publishing fees	# Unique Departments: 5
				eligible to apply for	publication are eligible.	are not eligible.	# Unique Journals: 6
				funding.			# Unique Publishers: 6
					The Directory of Open Access	The annual	
					Journals and the Open	funding limit for	NOTES: Through June 30, 2014
					Access Scholarly Publishers	each author will be	
					Association will be used to	\$3000.	
					vet the journals' quality and		
					open access credentials. The	Authors who	
					watch list of publishers with	receive OA funding	
					suspicious practices will be	must also deposit	
					consulted.	the article in	
						eScholarship@BC.	
					"Hybrid" open access	10	
					journals, are not eligible.		
Brandeis University	\$25,000	October,		LTS funding is	Funding is available for open	In order to include	# Articles Approved: 10
Library & Technology	. ,	2013		available to faculty,	access journals that do not	as many authors as	# Articles Reimbursed: 7
Services				staff and students.	charge a fee for institutions,	possible while	# Unique Submitting Authors: 9
				Only one author per	libraries or readers for access	covering typical	# Unique Departments: 9
				article may apply.	to the content, and do not	costs LTS will	# Unique Journals: 9
				, . , . , . , . ,	have an embargo period for	support 100% of the	# Unique Publishers: 4
					access. This includes:	supported charges	
						with a limit of	NOTES: Through June 30, 2014
					- Members of the Open	\$3000.00	
					Access Scholarly Publishing	+2000.00	
					Association (OASPA)	Only those fees	
					- All Journals in the Directory	associated with	
					of Open Access Journals that	open access	
					allow author to retain	publishing are	
					distribution rights.	eligible for support.	
					- Hybrid journals that allow	Costs for reprints,	
					- HYDRIG JOURNAIS LITAL ALLOW	costs for reprints,	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					authors to retain distribution	color illustration	
					rights.	fees, non-open	
						access page charges,	
						administrative	
						charges and other	
						fees are not	
						supported.	
						Articles whose	
						underlying research	
						was funded by	
						grants that allow	
						funds to be used for	
						open access fees are	
						not eligible for	
		-				funding.	
Brock University	\$10,000	October,	One-time fund	Brock University	The grant covers only the	Up to \$2,500 per	# Articles Approved: 16
<u>Library</u>		2011	established as	faculty members	fees associated with open	article. Authors	# Articles Reimbursed: 16
			part of OA	(full-time and part-	access publishing [costs for	must affirm that	# Unique Submitting Authors: 15
			Week 2011;	time), currently	reprints, color illustration	they have not	# Unique Departments: 9
			renewed for an	registered graduate	fees, non-open access page	received funding or	# Unique Journals: 12
			additional year	students and	charges, administrative	have received	# Unique Publishers: 13
			in 2012	postdoctoral fellows.	charges and other fees are	insufficient funding	
					not applicable.] Articles	to cover OA dissemination costs.	NOTES: Through June 30, 2014
					eligible for this grant are:		
					peer-reviewed articles that have been accepted for	Each eligible applicant is entitled	
					publication in either	to one grant.	
					a fully OA journal.	to one grant.	
Carleton	\$50,000 in	May 1,	The pilot	All Carleton	Articles accepted for	\$2500 per article for	# Articles Approved: 25
Library/Office of the	combined	20012	project will be	University faculty,	publication after March 1,	fully Open Access	# Articles Reimbursed: 25
Vice President	contributions		evaluated in	post-doctoral fellows,	2012 are eligible for this	journals and a cap	# Unique Submitting Authors: 19
(Research &	from the		relation to its	graduate students	program. These must be	on any one author	# Unique Departments: 9
International)	Library and		contribution to	and full-time	peer-reviewed articles that	of \$5000 per year.	# Unique Journals: 19
·,	the Office of		Carleton's	professional staff	have been accepted for		# Unique Publishers: 12
	VP Research		strategic,	who are named as an	publication in journals which		
			academic and	author of a research	are fully "Open Access". That		NOTES: Through June 30, 2014

SPARC – Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Updated October 1, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
			research plans.	article and who have	is, all of the content of the		
			Continuation of	the lead	entire journal is freely and		
			this initiative	responsibility for	globally available online		
			depends on the	submitting this article	immediately upon payment		
			outcome of the	to a peer-reviewed	of the article processing fee,		
			pilot and the	Open Access journal	with minimal or limited		
			availability of	or conference	copyright restrictions.		
			sustainable	proceedings are	Open Access articles are		
			base funding.	eligible to apply for	freely available for		
				funding. Funding will	redistribution and reuse		
				not be made	which means that anyone		
				available if any of the	who has access to the		
				authors of the article	internet may read,		
				are eligible for	download, copy and		
				complete	distribute that article.		
				reimbursement of	In addition, to be eligible,		
				the article processing	authors must be publishing in		
				fees for this article	a journal which:		
				through grants from	is listed in the Directory of		
				a foundation,	Open Access Journals		
				granting agency, or	is a member of the Open		
				other institutions	Access Scholarly Publishers		
				including Carleton.	Association or adhere to its		
					Code of Conduct		
					has a publicly available		
					standard fee schedule		
					has a policy to substantially		
					waive fees in case of		
					economic hardship		
Carnegie Mellon	Up to	May, 2013		Carnegie Mellon	The publisher of the	Maximum funding	# Articles Approved: 12
University Libraries	\$20,000			faculty, research	journal is a member of the	per article is \$1500.	# Articles Reimbursed: 12
				scientists, post-docs,	Open Access Scholarly	Maximum funding	# Unique Submitting Authors: 10
				and graduate	Publishers Association	per author per fiscal	# Unique Departments: 6
				students are eligible	(OASPA) or adheres to the	year is \$3000.	# Unique Journals: 7
				if (a) they are the	OASPA Code of Conduct. The	Unused amounts do	# Unique Publishers: 5
				corresponding author	publisher does not appear on	not roll over to the	
				on the article and (b)	Beall's List of Predatory Open	next year.	NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs).	Access Publishers. OR The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding.		
Colorado State University Libraries	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	 # Articles Approved: 82 # Articles Reimbursed: 51 # Unique Submitting Authors: 61 # Unique Departments: 24 # Unique Journals: 51 # Unique Publishers: 27 NOTES: Through June 30, 2014
Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up	January 2010	All aspects of this program, including the amount of	Any Columbia faculty member, post-doctoral researcher, staff	deposit the article into CSU's digital repository Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-	COAP funds are intended to be a funding source of last resort and to	# Articles Approved: 63 # Articles Reimbursed: 49 # Unique Submitting Authors: 147 # Unique Departments: 38

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	to \$50,000	Established	funding	member, or student	reviewed articles. Only	support open access	# Unique Journals: 31
	for fiscal year		committed to	author. Not currently	articles that are published in	to articles whose	# Unique Publishers: 16
	2011		the program,	available to Columbia	open-access journals are	research was not	
			will be analyzed	affiliates including	eligible. Journals with a	grant funded.	NOTES: Through June 30, 2014
			and reviewed	Barnard College,	hybrid open-access model or	Articles for which	
			on a regular	Teachers College, or	delayed open-access model	other publication	
			basis.	Union Theological	are not eligible.	funding is available	
				Seminary.	Articles submitted for	are not eligible for	
					publication after January 1,	COAP funds. This	
					2010 are eligible for this	includes:	
					program.		
						Articles reporting	
						on grant-funded	
						research where the	
						granted funds can	
						be used for	
						publication fees	
						(whether or	
						not the particular	
						grant had budgeted	
						for such fees and	
						whether or not	
						sufficient grant	
						funds remain);	
						Articles funded by	
						an institution that	
						itself pays	
						publication fees on	
						behalf of the author	
						(such as Wellcome	
						Trust).	
						Authors may receive	
						funding for up to	
						\$3,000 per year for	
						all article-processing	

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any				
						charges. Unused	
						amounts do not roll	
						over to future years.	
						The most an author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for a	
						prorated portion of	
						the publication fee.	
						An example: An	
						article with three	
						authors, two of	
						whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee. Each	
						Columbia author	
						may apply for	
						reimbursement for	
						\$1,000. In the	
						hypothetical case of	
						an eligible journal	
						with a \$6,000	
						publication fee, each	
						Columbia author	
						could apply for	
						reimbursement for a	
						prorated portion of	
						the \$3,000 funding	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						cap per article, or \$1,000.	
Concordia University Libraries/Office of Research	\$50,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full-time and part- time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription- based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer- reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship. The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund. Fund recipients must enable the deposit of their article in Spectrum: Concordia University Research Repository.	 # Articles Approved: 18 # Articles Reimbursed: 18 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 110 # Unique Publishers: 9 NOTES: Data through December 31, 2012
Cornell University Library	\$50,000, with support from the	September, 2009	All aspects of this program, including the	Available to any Cornell faculty, post- doctoral researcher,	Publication and processing fees for scholarly peer- reviewed articles in open-	- Articles for which alternate publication funding	# Articles Approved: 46 # Articles Reimbursed: 46 # Unique Submitting Authors: data

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
	Provost's		amount of	staff member, or	access journals meeting	is available are not	not supplied
	Office		funding	student author.	these requirements:	eligible for COAP	# Unique Departments: data not
			committed to			funds. This includes	supplied
			the program,		* Listed in the Directory of	articles whose	# Unique Journals: 21
			will be analyzed and reviewed		Open Access Journals	research was funded by grants or gifts	# Unique Publishers:15
			on a regular		* Member of the Open	that allow grant	NOTES: Through June 30, 2014
			basis. If the		Access Scholarly Publishers	funds to be used for	
			pilot project		Association or adherent to	article processing	
			proves to be		its Code of Conduct	fees (regardless of	
			valuable to			whether that	
			scholarly		* Provide unfettered access	particular grant had	
			communication,		to all peer-reviewed articles	budgeted for such	
			COAP may		(journals with a hybrid open-	fees).	
			receive		access model or delayed		
			continued		open-access model are not	- Reimbursement	
			funding.		eligible)	cap for a single	
						article is \$3,000.	
					* Publicly available standard		
					article fee schedule.	- Authors may	
						receive	
					* Policy to waive fees in case	reimbursement for	
					of economic hardship.	up to \$3,000 per	
						year for all	
						submission and	
						publication charges.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	
						responsible for a	
						prorated portion of	
						any publishing fees.	
						For example, for an	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			·			article with three	
						authors that is to	
						appear in a journal	
						with a \$3,000	
						publication fee, each	
						author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the authors	
						are Cornellians, each	
						may enter an	
						application for	
						\$1,000. If both	
						apply, \$2,000 will be	
						sent to the journal.	
						If the journal has a	
						\$6,000 publication	
						fee, the \$3,000 per	
						article cap will apply	
						and each Cornell	
						author may only	
						apply for \$1,000.	
Dartmouth College	N/A	Fall, 2009		Dartmouth faculty	Established, scholarly open	The limit for a single	# Articles Approved: 31
<u>Library</u>				and graduate	access journals.	payment is set at	# Articles Reimbursed: 31
				students.	Subscription-based journals	\$3,000. Individuals	# Unique Submitting Authors: 25
					that charge a fee, sometimes	may be supported	# Unique Departments: 10
					called an "author's choice" or	up to \$3,000 per	# Unique Journals: 22
					"open choice" fee, to make	year in journal	# Unique Publishers: 12
					single articles available by	payments, in order	
					open access are not eligible.	to make funding	NOTES: Data through June 30, 2014
					In addition, eligible journals	available to the	
					must:	greatest number of	
						authors. In the case	
					- Be listed in the Directory of	of multiple authors,	
					Open Access Journals	an individual	
					(www.doaj.org)	author's support will	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					 Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct Make their standard fee schedules publicly accessible By policy, waive their fees in cases of financial hardship 	be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number of authors.	
Duke University Libraries, Duke University School of Medicine, and the Office of the Provost	\$35,000 for fiscal year 2012-13	September, 2010	Subject to periodic evaluation	Duke faculty members, post-doc researchers, and graduate or professional students	Articles accepted for publication in a peer- reviewed, open access publication, as determined by: * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct Only journals that are fully open access based on a published schedule of article processing fees are eligible. The fund cannot be used to support "hybrid" open access publishing, where individual	Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per- article reimbursement of \$2,000. Unused funds do not roll over to future years. When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the	 # Articles Approved: 109 # Articles Reimbursed: 83 # Unique Submitting Authors: 74 # Unique Departments: 25 # Unique Journals: 25 # Unique Publishers: 32 NOTES: Through May 31, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.	annual cap. Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds	
Emory University Libraries	\$25,000	September 1, 2012		Emory University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded. In the case of an article or book with multiple authors, each author is responsible for a	The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a hybrid model (some content by fee and some content open access) or delayed open	Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per article or book. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to	 # Articles Approved: 20 # Articles Reimbursed 14 # Unique Submitting Authors 11 # Unique Departments 12 # Unique Journals 15 # Unique Publishers 9 NOTES: Through June 30, 2014

SPARC - Open Access Funds in Action http://www.sparc.arl.org/theme/open-access-funds

Updated October 1, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Florida State University Libraries	\$50,000	December, 2013		prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Florida State University faculty, post-docs, researchers and currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded.	access are not eligible for this fund. Articles and books must be fully available open access at the time of publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible for this fund.	open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund. Maximum reimbursement is \$1,500.00 per article or book. Due to limited funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole Commons.	 # Articles Approved: 9 # Articles Reimbursed: 9 # Unique Submitting Authors: 11 # Unique Departments: 8 # Unique Journals: 7 # Unique Publishers: 7 NOTES: Through June 30, 2014
<u>George Mason</u> <u>University Libraries</u>	\$25,000 annually	October 2012		All Mason faculty members, registered graduate students, and post-doctoral fellows are eligible.	The journal must: Be listed in the Directory of Open Access Journals Hold membership in the Open Access Scholarly Publishers Association or demonstrate its adherence to the OASPA Code of Conduct Publish its standard fee schedules, and Waive its article processing	Individuals eligible to apply for publisher payment of an APF may receive up to \$3,000 per fiscal year, with a \$3,000 cap per article. Fees are pro-rated for multi-authored articles. That is, if more than one	 # Articles Approved: 37 # Articles Reimbursed: 33 # Unique Submitting Authors: 36 # Unique Departments: 14 # Unique Journals: 26 # Unique Publishers: 17 NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					fee in cases of financial	author from Mason	
					hardship, as evidenced by its	applies for funding	
					public policy.	support for the	
					passis pensy.	same article, the	
					Support for a hybrid journal	article processing	
					open access fee will be	fee will be divided	
					considered if:	equally, up to the	
						\$3,000/article cap.	
					the publisher is a non-	+ • / • • • / • • • • • • • • • • • • •	
					profit society or institution,		
					access to the journal's		
					proprietary content is		
					available only through		
					subscription with the society		
					or institution and not		
					through a for-profit		
					aggregator like Proquest,		
					Ebsco, or Elsevier,		
					the fee and its use are		
					clearly indicated on the		
					journal or publisher website,		
					and		
					the fee is waived for		
					authors from developing		
					nations or those with		
					demonstrated financial		
					hardship.		
Grand Valley State	\$25,000/yr	Fall 2011	The Fund will	- Applicant must be a	- Funding is available for	- There is no limit to	# Articles Approved: 17
University Libraries	<i>+_0,000,1</i>		be reviewed	current GVSU tenure-	newly published (within the	the per article	# Articles Reimbursed: 17
Chiversity Eloraries			annually	track, visiting,	past six months), peer	amount that can be	# Unique Submitting Authors: 20
			adjustments	affiliate and adjunct	reviewed articles in open	funded, but an	# Unique Departments: 12
			made as	faculty, staff, or	access or hybrid journals that	author may not	# Unique Journals: 15
			necessary.	currently registered	charge a publication fee	receive more than	# Unique Publishers: 11
			necessary.	graduate student.	associated with the cost	\$3,000 in one year.	
				Braddie Stadent.	making an article freely	ço,ooo in one year.	
				- Applicant must be	available.	- Authors with grant	NOTES: Through June 30, 2013
				listed as one of the		support or external	NOTES. HILOUGH JUIE 50, 2015

SPARC – Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Lotablished	<i>,,</i>	authors, and article	- Costs for reprints, color	funding to cover	
				must indicate GVSU	illustration fees, non-open	publishing fees are	
				affiliation.	access page charges,	ineligible.	
					administrative charges and		
					other fees are not applicable.	- For articles with	
						multiple authors,	
					- Articles must be made	each author is	
					freely available on the web at	responsible for a	
					the time of initial publication,	prorated portion of	
					with no embargo period.	any publishing fees.	
						For example, for an	
					- Eligible journals must be	article with three	
					listed in the Directory of	authors that is to	
					Open Access and Hybrid	appear in a journal	
					Journals or meet the DOAJ's	with a \$3,000	
					selection criteria. The	publication fee, each	
					Libraries has a selective list of	author is	
					open access and hybrid	responsible for	
					publisher fees for quick	\$1,000 of that fee.	
					reference.	If two of the authors	
						are from GVSU, each	
					- Eligible journals must make	may separately	
					their fee schedule publicly	apply for \$1,000. If	
					available online.	both apply, up to	
						\$2,000 of the fees	
						will be reimbursed,	
						with \$1,000 being	
						applied to each	
						person's \$3,000	
						annual award limit.	
Harvard University	\$50,000	Fall, 2009	None	Funds are available	- Reimbursable article-	- \$3,000 per article	# Articles Approved: 39
Library/Office of				for researchers at	processing fees may include		# Articles Reimbursed: 32
<u>Scholarly</u>				Harvard schools that	publication fees (charges	- There is no limit on	# Unique Submitting Authors: 50
Communication				have instituted an	levied on articles accepted	the number of	# Unique Departments: 16
				open-access policy.	for publication, including	articles reimbursed,	# Unique Journals: 39
				Currently, these are:	page charges), and	except that authors	# Unique Publishers: 21
				FAS (including SEAS),	submission fees (charges	may receive	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				HLS, HKS, HGSE.	levied on articles submitted	reimbursement for	NOTES: Data through June 30, 2014
				Faculty, researchers,	for publication). Eligible fees	up to a total of	
				staff, and students	must be based on a	\$3,000 per academic	
				may request	publication's standard fee	year for all article	
				reimbursement for	schedule that is independent	processing fees.	
				articles connected	of the author's institution.	Reimbursement can	
				with their research		cover 100% of fees	
				activities at these	- Articles for which	up to the cap.	
				schools.	alternative funding is	Unused amounts do	
					available are not eligible for	not roll over to	
				- All authors agree to	reimbursement.	future years.	
				post a copy of their		Exceptions to the	
				article in the	- The venue of publication	\$3,000 cap may be	
				institutional	must be an established open-	made based on	
				repository.	access journal, that is, a	availability of funds.	
					journal that does not charge		
					readers or their institutions	- In the case of an	
					for unfettered access to the	article with multiple	
					peer-reviewed articles that it	authors, each	
					publishes. Journals with a	author is	
					hybrid open-access model or	responsible for a	
					delayed open-access model	prorated portion of	
					are not eligible. To be	any publishing fees.	
					eligible, a journal must meet		
					these additional		
					requirements:		
					* Be listed in the Directory		
					of Open Access Journals,		
					* Be a member of the		
					Open Access Scholarly		
					Publishers Association or		
					adhere to its Code of		
					Conduct,		
					* Have publicly available a		
					standard article fee schedule,		
					and		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Latabilatieu	Ally		* Have a policy to	Levels	
					substantially waive fees in		
					case of economic hardship.		
Indiana University-	\$47,000,	July, 2013	The pilot will be	Funds are available	To be eligible, a journal must	No cap on per-	# Articles Approved: 16
Purdue University	with support	00.77 2020	evaluated after	for IUPUI faculty.	meet these additional	article funding.	# Articles Reimbursed: 9
Indianapolis (IUPUI)	from the		two years	for for or facally.	requirements:	Articles for which	# Unique Submitting Authors: 17
Library	IUPUI		the years		• Be listed in the Directory of	alternative funding	# Unique Departments: 15
<u></u>	University				Open Access Journals (unless	is available are not	# Unique Journals: 13
	Library, the				the journal is too new for	eligible for use of	# Unique Publishers: 8
	Office of the				DOAJ eligibility),	the fund. A onetime	
	Vice				• Be a member of the Open	exception to this	NOTES: Data through June 30, 2014
	Chancellor				Access Scholarly Publishers	rule will be made for	
	for Research,				Association or adhere to its	authors who did not	
	IU School of				Code of Conduct,	include	
	Dentistry and				Have publicly available a	dissemination fees	
	the Robert H.				standard article fee schedule.	in the budget of the	
	McKinney					grant or contract.	
	School of				Journals with a hybrid open-	Brancer contract	
	Law				access model or delayed	Articles with co-	
					open-access model are not	authors from other	
					eligible.	institutions will be	
					5	supported at a	
						prorated portion of	
						the article	
						processing fees.	
						Authors from all	
						IUPUI departments	
						and schools are	
						encouraged to	
						apply. Although	
						article processing	
						fees for eligible	
						publications will be	
						distributed on a	
						first-come, first-	
						served basis,	
						disbursement will be	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			monitored to ensure	
						diverse participation	
						across the schools.	
						As an added service,	
						the IUPUI University	
						Library will deposit a	
						copy of funded	
						articles in the	
						IUPUIScholarWorks	
Johns Hopkins	\$72,000	FY 2012		Because the request	Must be published in a	Up to \$1,500 per	# Articles Approved: 44
University Libraries				for support exceeds	peer-reviewed fully Open	article.	# Articles Reimbursed: 44
				the funds available,	Access journal (defined as		# Unique Submitting Authors: 45
				this year the OAPF is	any journal that appears in	Up to \$1,500 per	# Unique Departments: 22
				limiting grants to	the Directory of Open Access	year per author.	# Unique Journals: 203
				Hopkins students,	Journals).		# Unique Publishers: not provided
				postDocs and early-		A copy of all	
				career faculty. The	Cannot be used to pay for	funded articles will	NOTES: Data through June 30, 2014
				applicant must be an	publishing in 'hybrid' Open	be made publicly in	_
				author on the paper	Access journals.	the Johns Hopkins	
				in question.	-	University	
					Must be immediately,	institutional	
					openly accessible at	repository, no later	
					publication; no delayed	than three (3)	
					publishing or embargo	months after	
					periods are accepted.	receiving this award	
						or three (3) months	
						post-publication.	
						Authors will be	
						contacted by	
						JScholarship staff to	
						complete this task.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
MIT Libraries	Pilot funding		This is a pilot	Current MIT faculty	Open-access journals that:	The subsidy is	# Articles Approved: 16
	for FY2011		project subject			limited to \$1,000	# Articles Reimbursed: 16
			to periodic		* Are peer reviewed	per article,	# Unique Submitting Authors: 13
			evaluation		* Are listed in the	regardless of the	# Unique Departments: 8
					Directory of Open Access	number of authors.	# Unique Journals: 8
					Journals		# Unique Publishers: 6
					* Have policies and		
					practices consistent with the		NOTES: Data through June 30, 2014
					Open Access Scholarly		
					Publishers Association Code		
					of Conduct		
					* Make their standard fee		
					schedules publicly accessible		
					* Waive their fees in cases		
					of financial hardship		
					Articles in journals that		
					charge an annual		
					subscription fee, including		
					journals that use a delayed		
					open-access model, or offer		
					an "open choice" option to		
					make a particular article		
					open access are not eligible.		
					Articles reporting on		
					research that was supported		
					by funders that allow		
					research funds to be used for		
					publication fees (e.g. NIH)		
					are not eligible for this		
					funding, whether or not		
					publication costs were		
					specifically included in the		
					grant. This fund is intended		
					to be a last resort for use		
					when no alternative source		
					when no alternative source		

Sponsor	Value	Date Established	Date Limit, lf Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Memorial University Libraries	\$20,000	Established Summer, 2011	Any	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-	of funding is available. The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that	Levels Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no	 # Articles Approved: 101 # Articles Reimbursed: 101 # Unique Submitting Authors: 90 # Unique Departments: 38 # Unique Journals: 53 # Unique Publishers: Not provided
				reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	 a) Journal content is peer- reviewed. b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. 	other funding source to cover APCs will be given priority over those with research funding available for this purpose.	NOTES: Data through June 30, 2014
					c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.)		
					A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication.		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					The fund will not cover open access author's fees in gated or hybrid journals.		
<u>Northwestern</u> <u>University Library</u>	\$20,000	October, 2013		Faculty members, post-doc researchers, graduate or professional students and any staff who are authors of articles accepted for publication in a peer- reviewed, open access publication.	Articles accepted for publication in peer-reviewed journals. Publication will be supported in any peer- reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	The fund will provide \$3,000 per Northwestern researcher per year. As funds allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per-article cap of \$3,000.	 # Articles Approved: 1 # Articles Reimbursed: 1 # Unique Submitting Authors: 1 # Unique Departments: 1 # Unique Journals: 1 # Unique Publishers: 1 NOTES: Data through June 30, 2014
Simon Fraser University Library	\$50,000 annually	January, 2010	None	SFU authors who are faculty, staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover	It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA	 # Articles Approved: 243 # Articles Reimbursed: 243 # Unique Submitting Authors: 162 # Unique Departments: 26 # Unique Journals: 96 # Unique Publishers: 27 NOTES: Data through June 30, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any		optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.	Levels fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder	
						mandates. The amount each SFU author may claim during one fiscal year is limited to \$10,000.	
Southern Illinois University Carbondale Morris Library	\$25,000 for the first year; \$17,000 for year two	Fall 2011	The Fund is a pilot project which will be reviewed annually	Grants are available to faculty, including nontenure track faculty, and graduate students.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: Members of the Open Access Scholarly 	 For fully open access journals: \$2000 per article and per year per author For hybrid journals: \$1500 per article and per year or 50% 	 # Articles Approved: 59 # Articles Reimbursed: 36 # Unique Submitting Authors: 34 # Unique Departments: 16 # Unique Journals: 45 # Unique Publishers: 25 NOTES: Data through June 30, 2014
					 Publishers Association (OASPA) All journals in the 	of the Open Access fee, whichever is less. - Articles whose	
					Directory of Open Access Journals that allow authors to retain distribution rights	underlying research was funded by grants that allow funds to be used for article-processing foos (oppardloss of	
					 Hybrid journals that allow authors to retain distribution rights. 	fees (regardless of whether that particular grant budgeted for such	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					 Journals must not have an embargo period for access. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. 	fees) are not eligible for funding.	
Libraries and Cultural Resources, University of Calgary	\$100,000/yr (CAN)	June 2008	None. The Fund is in its second year and is expected to continue.	University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	 Publication fees for peer- reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid') are not eligible as of June, 2014. Journals/publishers that have differential charges for Creative Commons licenses (e.g. APCs for CC-BY licenses are higher than those for CC- 	 If eligible, the entire fee is covered. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 624 # Articles Reimbursed: 624 # Unique Submitting Authors: 403* # Unique Departments: 80* # Unique Journals: 2085* # Unique Publishers: 44* NOTES: Through June 30, 2014 * indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
UC Berkeley	\$54,000	January 21,	None	UC Berkeley faculty	BY-NC licenses) will not be eligible for coverage. - Deposition of funded articles in the University of Calgary institutional repository is mandatory. - Articles must be made	- Maximum	# Articles Approved: 411
Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)		2008		members, post-docs, and graduate students	freely available at the time of initial publication. No embargo periods. - Article may be published in any open access publication, or hybrid journal offering a paid access option.	reimbursement of \$3000 per article for fully open access publication. - Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year.	 # Articles Reimbursed: 228 # Unique Submitting Authors: 260 # Unique Departments: 37 # Unique Journals: 130 # Unique Publishers: 44 NOTES: Data through March 31, 2014
<u>UC Davis</u>		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post-docs, residents, fellows, and graduate students	 Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any fully open access 	Up to \$1,000 per article.	 # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13

SPARC - Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					publication (no hybrid journals are eligible).		NOTES: Data through March 31, 2014
<u>UC Irvine Libraries</u>	\$15,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Irvine faculty members, post- doctoral scholars, researchers, and staff	 Funds are available to pay open access/ processing fees for: Fully open access journals: fees to publish in journals in which all articles are immediately available open access Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access Open access monographs: fees for publishing open access Open data archiving: fees charged by a data repository for archiving open access data Articles must be made freely available at the time of initial publication. No embargo periods. 	\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open access journals, use the box to the right. The pilot Fund will	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21 NOTES: Data through March 31, 2014
						cover a maximum of	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						\$1500 for any one	
						article in a hybrid	
						open access journal.	
UC Merced	\$15,000	January,	The Fund is a	- UC Merced faculty	Only fully open access	Article Publication	# Articles Approved: 12
		2013	pilot that will		journals (immediately	Charges (APCs) and	# Articles Reimbursed: 11
			be re-evaluated	- UC Merced lecturers	available with no embargo	Open Access Fees	# Unique Submitting Authors: 21
			at the end of	and others with	period) listed in the <u>Directory</u>	(OA Fees) up to	# Unique Departments: 10
			2014/15	academic	of Open Access Journals will	\$3,000/article.	# Unique Journals: 12
			academic year.	appointments	be funded.		# Unique Publishers: 12
				- Currently enrolled			NOTES: Data through March 31, 2014
				UC Merced graduate			
				students			
				Priority will be given			
				to articles with			
				multiple authors			
				from UC Merced, and			
				those that represent			
				collaborative or			
				interdisciplinary			
				research. Authors			
				with grant funds that			
				can be used for open			
				access charges are			
				not eligible.			
UC Riverside		March,	The Fund is a	UC Riverside faculty,	Funds are available to pay	UR-OAF provides UC	# Articles Approved: 5
		2013	pilot that will	post-doctoral	open access fees and article	Riverside authors	# Articles Reimbursed: 4
			be re-evaluated	scholars, researchers	publication charges for fully	reimbursement up	# Unique Submitting Authors: 7
			at the end of	and graduate	open access journals:	to \$1000 per article	# Unique Departments: 5
			2014/15	students	•Fees to publish in	for those publishing	# Unique Journals: 7
			academic year.		journals in which all articles	in fully open access	# Unique Publishers: 7
					are immediately available	journals (in which all	NOTES: Data through March 21, 2014
					 open access Articles must be made 	articles are	NOTES: Data through March 31, 2014
					•Articles must be made freely available at the time of	published as open access).	
					initial publication, with no	allessj.	
					initial publication, with ho		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally				
					embargo periods.	There is a cap of one	
						article per author	
					Articles published in "hybrid	per year. The	
					open access journals" in	person who	
					which only some articles are	completes the	
					open access and a	application form will	
					publication fee is usually paid	be considered the	
					to the publisher do not	applicant for that	
					qualify for these funds.	article.	
<u>UC San Diego</u>	\$20,000	October,	The Fund is a	UC San Diego faculty,	Funds are available to pay	UC San Diego Open	# Articles Approved: 41
		2012	pilot that will	post-docs, residents,	open access fees and article	Access Fund Pilot	# Articles Reimbursed: 36
			be re-evaluated	fellows, and graduate	publication charges for fully	funds will cover a	# Unique Submitting Authors: 60
			at the end of	students and other	open access journals:	maximum of \$1000	# Unique Departments: 23
			2014/15	affiliates are eligible		for any one article in	# Unique Journals: 35
			academic year.	to apply for funds.	- Fees to publish in journals	a fully open access	# Unique Publishers: 20
					in which all articles are	journal - journals in	
					immediately available open	which all articles are	NOTES: Data through March 31, 2014
					access	published open	
						access.	
					- Articles must be made		
					freely available at the time of	\$1000 is the	
					initial publication, with no	maximum any one	
					embargo periods.	applicant may be	
						awarded in one	
					(Funds from the pilot are not	year. The person	
					available to cover color	who completes the	
					charges, page charges,	application form will	
					illustration charges, or	be considered the	
					submission charges.)	applicant for that	
						article.	
UC San Francisco	\$20,000	December	The Fund is a	Any current UCSF	Publications must be made	Open access journal	# Articles Approved: 26
		2012	pilot that will	faculty (salaried),	freely available at the time of	articles processing	# Articles Reimbursed: 22
			be re-evaluated	post-doc, fellow,	initial publication. Embargo	charges (APCs):	# Unique Submitting Authors: 39
			at the end of	resident, graduate	periods for open access will	0 (/	# Unique Departments: 20
			2014/15	student, or	not be covered. OA articles	- Up to \$2,000 per	# Unique Journals: 28
			academic year.	professional student	may be either in Fully OA	article in a fully OA	# Unique Publishers: 15
				(SOD, SOM, SON,	journals or in <u>"hybrid" OA</u>	journal.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				SOP) may apply for funds. Faculty without salary are not eligible. Because we have a limited amount of funds for this pilot, we ask that you only apply for funds if you have no alternative funding source such as grant or departmental funds to cover the open access (OA) publishing fee.	journals - subscription journals whereby authors pay a fee to make their article OA (often referred to as "open choice"). - Journal articles must be published in a peer- reviewed, scholarly journal. - OA journals must be listed in the <u>Directory of Open</u> <u>Access Journals</u> . - The journal must apply policies and practices consistent with the OAPSA's <u>Code of Conduct</u> . - Books must be peer- reviewed. - Theses and dissertations must be associated with the UCSF Graduate Division.	 Up to \$1,000 per article in a hybrid OA journal. Open access books: Contact the Library for more information about fees. Open access to your individual electronic thesis or dissertation in Proquest's database \$95 (fee for one ETD). A maximum of \$2,000 per individual and \$4,000 per lab will be reimbursed for the duration of the pilot. 	NOTES: Data through March 31, 2014
UC Santa Barbara	\$30,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UCSB faculty members, post- doctoral scholars, graduate students, researchers, lecturers, scientists, librarians, and other non-Senate academic	 Fully open access journals fees to publish in journals in which all articles are immediately available open access. Hybrid journals 	The fund will pay up to \$3,000 per publication and has a cap of one publication per author per year. This is a pilot program and is	 # Articles Approved: 19 # Articles Reimbursed: 11 # Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals:14 # Unique Publishers: 10 NOTES: Data through March 31, 2014

SPARC - Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Updated October 1, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Lotabilorica	7	appointees are	(subscription journals with an	subject to funding	
				eligible to participate	open access option) which	restraints.	
				in the pilot. We	offer publication fee		
				request that authors	discounts to UC authors.		
				with funding from			
				external grants or	- Open access monographs -		
				contracts not utilize	fees for publishing open		
				pilot project funds in	access ebooks with reputable		
				order to allow others	publishers.		
				to share in the			
				benefit of open	- Open data archives - fees		
				publications.	charged by a data repository		
					for archiving open access		
					data.		
					- Open access conference		
					proceedings - fees to publish		
					papers in fully open access		
					conference proceedings.		
					Funds are for unpublished		
					research. Publications must		
					be made freely available at		
					the time of initial publication		
					with no embargo periods.		
					Funds from the pilot are not		
					available to cover color		
					charges, page charges,		
					illustration charges, or		
					submisson charges. As with all journals, authors are		
					encouraged to publish their		
					research results in high		
					quality publications.		
UC Santa Cruz	\$15,000	Fall, 2012	The Fund is a	UC Santa Cruz	Article publication charges	UCSC Open Access	# Articles Approved: 23
	÷10,000	1 311, 2012	pilot that will	faculty, researchers,	(APCs) or Open Access Fees	Fund will cover a	# Articles Reimbursed: 15
			be re-evaluated	lecturers, post-docs,	(OA Fees):	maximum of \$3000	# Unique Submitting Authors: 31

SPARC - Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any at the end of 2014/15 academic year.	and currently enrolled graduate students who do not have grant funds that can be used for open access charges are eligible to apply for funds from the UCSC Open Access Fund pilot.	 for previously unpublished articles in fully open access journals (in which all articles are available open access) or in hybrid open access) or in hybrid open access journals (in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access); where articles are made immediately available, with no embargo period. 	for any one article in a fully open access journal - journals in which all articles are published open access. The pilot Fund will cover a maximum of \$1500 for any one article in a hybrid open access journal. Hybrid journals charge readers a subscription fee, but allow authors to pay a fee to make a single article open access.	 # Unique Departments: 13 # Unique Journals: 14 # Unique Publishers: 10 NOTES: Data through March 31, 2014
University of Colorado Boulder	The fund was instituted as	September, 2012		Current CU-Boulder faculty, staff, and	Any journal that provides free, immediate, online access to the full text of all	\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. This fund supports open access to research articles	# Articles Approved: 23 # Articles Reimbursed: 23 # Unique Submitting Authors: 21
<u>Libraries</u>	a pilot in 2012 with an initial \$20,000. An additional			students may request funding up to \$2,000 per year to pay for article-processing or publishing fees for	access to the full text of all research articles and follows accepted best practices for open access publishing is eligible. This includes, but is	research articles where publication fees are not covered by grants or other funding sources.	# Unique Submitting Authors: 21 # Unique Departments: 17 # Unique Journals: 17 # Unique Publishers: 11

SPARC – Open Access Funds in Action <u>http://www.sparc.arl.org/theme/open-access-funds</u>

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	\$34,000 has been added to the fund since then.			full open access journals.	not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be compliant with the Open Access Scholarly Publishers Association's Code of Conduct. "Hybrid" open access journals that provide free online access for only some articles will not be eligible for	Authors are encouraged to include publication fees in grant requests whenever possible. Funding is limited to \$2,000 per article regardless of the number of authors.	NOTES: Through June 30, 2014
University of Florida Provost's Office	\$120,000	July 1, 2010	Funds were exhausted in January, 2013. Replenishment is presently under discussion.	All University of Florida faculty, post- doctoral researchers, staff members, and students.	funding. Publication fees in open- access journals that provide free, immediate, online access to the full text of research articles without restrictions. Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct. A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.	* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions. * Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher. * UFOAP funds are intended to support open access	 # Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40 NOTES: Through January 15, 2013; Fund is longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Lotabilistica				to research articles	
						where publication	
						fees are not covered	
						by grants or other	
						funding sources. In	
						order to encourage	
						inclusion of	
						publication fees in	
						future grant	
						applications, articles	
						resulting from	
						awarded grants that	
						allow for budgeting	
						of article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment of	
						the UFOAP, July 1,	
						2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF authors,	
						each may apply for	
						\$750. For fees	
						exceeding the	
						\$3,000 cap per	
						article, each	
						UF author may apply	
						only for his/her	
						prorated portion not	
						to exceed the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Iowa Libraries	\$50,000, with support from the Office of the Provost	Established	Any	Any University of lowa faculty member (including research /clinical faculty), researcher, graduate or professional student is eligible, but except under extraordinary circumstances, undergraduate students are not.	Funding is available only for open access fees, not page charges, etc. Articles must be published in full open access journals, which allow immediate, free access to all their articles upon publication. The journal title must appear in the Directory of Open Access Journals or the publisher must be a member of the Open Access Scholarly Publishers Association. Alternatively, funding will be considered for articles published in hybrid journals, provided the publisher appears in the Sherpa/RoMEO list of Publishers with Paid Open Access Options, or is a	Levels \$3,000 yearly cap for each researcher, or \$1500 per article for paid-access. Up to \$3,000 for full open access publications; \$1,500 for hybrid open access publications.	 # Articles Approved: 54 # Articles Reimbursed: 41 # Unique Submitting Authors: 45 # Unique Departments: 27 # Unique Journals: 38 # Unique Publishers: 19 NOTES: Through June 30, 2014
					member of the Open Access Scholarly Publishers Association.		
<u>University of Kansas</u> <u>Libraries</u>	\$50,000, with support from the University of Kansas Provost, The University of	October, 2012	Two year pilot	Faculty, graduate students, post-docs, and staff on the Lawrence and Kansas City campuses during year one.	Submitted-for-publication or accepted-for-publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and	Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per article. Funds will not be encumbered for	 # Articles Approved: 44 # Articles Reimbursed: 35 # Unique Submitting Authors: 71 # Unique Departments: 35 # Unique Journals: 52 # Unique Publishers: 23
	Kansas Medical				published by a publisher who holds membership in the	articles that are still being written.	NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Center Executive Vice Chancellor, and the Offices of Research at The University of Kansas and University of Kansas Medical Center				Open Access Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	Already-published articles are ineligible.	
University of Maryland Libraries	\$10,000 initially; additional \$7,500 added mid- year	September, 2013		Any UMD faculty member, post- doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - All journals in the <u>Directory</u> <u>of Open Access Journals</u> that allow authors to retain distribution rights - Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the <u>Code of Conduct</u> - Journals that have publicly available a standard article fee schedule	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	 # Articles Approved: 13 # Articles Reimbursed: 13 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 8 NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					- Journals that have a policy to substantially waive fees in case of economic hardship		
University of Massachusetts Amherst	\$25,000	July, 2014					 # Articles Approved: N/A # Articles Reimbursed: N/A # Unique Submitting Authors: N/A # Unique Departments: N/A # Unique Journals: N/A # Unique Publishers: N/A NOTES: Fund launched July 1, 2014
<u>University of</u> <u>Michigan Library and</u> <u>the Office of the</u> <u>Provost</u>	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012. It is no longer operating.	All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work: *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria: *Retaining Rights: If you will not retain copyright or the	 # Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30 NOTES: Through September 15, 2012; Fund is no longer active
					publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access	right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee. *Publish in fully open journals: An open journal is one for which all peer-	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					publication fee is less than	reviewed content is	
					\$1500.	available freely to	
						readers. If the work	
						will appear in a	
						"hybrid" journal —	
						one for which some	
						of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	
						publication: If access	
						to the published	
						article is not	
						immediate we will	
						fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. If	
						the publisher will	
						delay access longer	
						than 12 months, we	
						will not provide any	
						funding.	
						* Use grant	
						funding wherever	
						possible: If your	
						grant funding could	
						have been applied	
						to publishing	
						charges, we will	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any			fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. We	
						will only do this	
						once for an	
						author/group of	
						authors, since such	
						fees should be	
						included in future	
						grant applications.	
University of	\$20,000	Spring,		Any University of	- Funds are available for	No limit for full OA	
Minnesota Libraries	annually,	2012		Minnesota faculty,	peer-reviewed journal	articles. "Hybrid"	
IVIIIIIIESOLA LIDIALIES	with funds	2012		researchers, post-	articles, scholarly	journals will be	
	from the			docs, graduate	monographs, conference	covered up to 50%.	
	budget of			students, or staff	proceedings, and data sets.	covered up to 50%.	
	the			students, or stan			
					- Funds may be used only to		
	University Libraries and				cover open access publication and submission		
	the Office of				fees.		
	the Vice						
	President of				- Author fees for open access		
	Research				journals (as determined by		
	Research				listing in the Directory of		
					Open Access Journals,		
					membership in the Open		
					Access Scholarly Publishers		
					Association, or adherence to		
					Open Access Scholarly Publishers Association Code		
					of Conduct) will be covered		
					in full. Author fees for		
					"hybrid" journals will be		
					covered up to 50%.		
					- Funds may not be used for		
					publications that do not		
					make works fully openly		
					available immediately upon		
					publication.		
Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
--	---	---------------	----------------	---	--	---	--
		Established	Any			Levels	
					Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of Minnesota's institutional repository) or a recognized discipline repository (e.g., arXiv, AgEcon, PubMedCentral, etc.)		
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library funding)	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library	March 2005	July, 2013	UNC-CH faculty, post- doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	 Award maximum is \$1000 per article. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through July, 2013; Fund is no longer active

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
University Libraries University of North Carolina at Greensboro	\$11,500	Established Fall 2011	Any The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development.	Full-time faculty, full- time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer-reviewed open-access journal.	Levels - Reimbursement will not exceed \$1,000 per article. - Reimbursement will be limited to one award per fiscal-year per output	 # Articles Approved: 14 # Articles Reimbursed: 14 # Unique Submitting Authors: 14 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 9
						author. - Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.	NOTES: Through June 30, 2014
<u>University of</u> <u>Oklahoma - Norman</u>	\$20,000 annually	Late 2013		Any current University of Oklahoma-Norman regular faculty member, post- doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded.	Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible	Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years. Authors agree to	 # Articles Approved: 5 # Articles Reimbursed: 3 # Unique Submitting Authors: 5 # Unique Departments: 4 # Unique Journals: 5 # Unique Publishers: 5 NOTES: Data through June 30, 2014
					through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic	retain their copyright and to post a copy of their work in the University's institutional	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					hardship.	repository, SHAREOK.	
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	December, 2013; currently under evaluation for additional funding	 Applicant must be a UO tenure-related faculty member, non- tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a completed article for publication. Applicant must be listed as one of the authors, and article 	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer- reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must	 Up to 100% of author fee for publishing a peer- reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article. Maximum reimbursement will be \$3,000 per person over the period of this pilot project. Reimbursement will cover only direct 	 # Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12 NOTES: Through July 31, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				must indicate UO affiliation.	be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in journals that are primarily subscription based rather than open access. Journals listed in the Directory of Open Access Journals (http://www.doaj.org/doaj) are assumed to qualify. For publication in a journal not listed there, additional information to allow assessment of whether the journal meets our criteria will be required as part of the application process.	costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self- archiving, etc.) - Reimbursement will be available only in cases where the authors do not have adequate grant or contract funding specifically designated to cover author fees. Authors with funding designated to cover publication costs such as author fees from grants, contracts, or other institutional funds are asked to use those funds first and to allow others to share in the benefit of open publications.	
University of Ottawa	\$100,000 (CAD), with financial	January, 2010	The Author Fund working group (whose	The Fund is open to all full-time and part- time faculty	Eligible open access journals must be listed in the Directory of Open Access	The Fund covers only the costs and fees associated with	# Articles Approved: 580 # Articles Reimbursed: 474 # Unique Submitting Authors: 361

Image: constraint of the support from the University, the Library and most Faculties.members, members, staff, currently registered office of the Office of the University, the Library and most Faculties.members, staff, currently registered addiunct postdoctoral fellows and adjunct university of faculties) will or constraint of addiunct evaluate this initiative evaluate this and most recommend and mark and adjunct graduates studes available immediately addiministrative dupon publicitation (no charges and other evaluate this and and mark and mark and and mark and	
the University, the Library and most Faculties.represent the Office of the Vice-President, Research, the Library and all orthotiding faculties will evaluate this initiative ercommend and and periodically, recommend and may propriate.currently registered graduate students, postdoctoral fellows and adjunct and adjunct with the University of Ottawa. Research centres and and initiative ergommend the Fund's criteria and appropriate.selection criteria. Eligible hybrid and traditional journals must make atricles available immediately and allow self-archiving of the publication (no embargo period imposed). The fee schedule must be professor spen access books.publication (no embargo period imposed). The fee schedule must be publication (no two (2) reimbursements per fiscal year.WOTES: Three administration applicable.NOTES: Three administration appropriate.Affiliated Research centres and eligible.selection criteria. Eligible hybrid and traditional journals must make atricles available immediately and allow self-archiving of the publication (no embargo period imposed). The Fund vill reimburse open access book fees up to a maximum of \$2,500 per publication.MUnique Jou # Unique Du # Unique Du WoTES: Three administration appropriate.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.Applicants must first use funds receivedApplicants must first use funds receivedApplicants must first use funds received <th></th>	
University, the Library and mostOffice of the Vice-President, Research, the Library and all contributing initiative evaluate thisgraduate students, postcorai fellows and adjuncthybrid and traditional journals must make articles available immediately and alow self-archiving of the publicker PDF immediately upon publication (no embargo period imposed).reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not and institutes, and recommend any changes to the Fund's criteria and administration procedures, when appropriate.Office of the postcorai fellows and adjuncthybrid and traditional journals must make articles available immediately and andows self-archiving of the publicker PDF immediately upon publication (no the fee schedule must be publicly available online. The Research Centres and and institutes, and recommend administration procedures, when appropriate.# Unique PuThe Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.# Unique PuApplicants must first use funds receivedApplicants must first use funds received# Onique Pu	
the Library and most Faculties.Vice-President, Research, the Library and all contributing of aculties) will periodically, and mostpostdoctoral fellows and and professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and and and institutes are also eligible.journals must make articles available immediately and allow self-archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access two (2) reimbursements per fiscal year.NOTES: Three page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.NOTES: Three page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.The Fund will reimburse open appropriate.Institutes, and administration procedures, when appropriate.Affiliated Research eligible.Institutes are also eligible.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.	
and most Faculties.Research, the Library and all contributing faculties) will initiative evaluate this initiative and andand adjunct professors affiliated working for University of Ottawa Researchers and and Affiliated Research Institutes, and Affiliated Research Institutes are also eligible.available immediately and alwailable immediately and alwailable immediately and alwailable online. The publication (no embargo period imposed).non-open access page charges, administrative charges and other fees are not applicable.NOTES: Thro administrative charges and other fees are not applicable.Image: State of the Fund's criteria and administration procedures, when appropriate.University of Ottawa Research Entres and administration procedures, when appropriate.and adjunct procedures, when appropriate.non-open access publication (no criteria and administration procedures, when appropriate.non-open access procedures, when appropriate.NOTES: Thro available immediately and available immediately and and so covers open access books.non-open access page charges, administration procedures, when appropriate.Image: State of the Fund's criteria and administration procedures, when appropriate.Image: State of the Fund's criteria and administration procedures, <br< td=""><td>olishers: 74</td></br<>	olishers: 74
Faculties.Library and all contributingprofessors affiliated with the University of Ottawa. Researchers working forallow self-archiving of the publisher PDF immediately upon publication (no embargo period imposed).page charges, administrative charges and other fees are not applicable.Image: https://withinkow.com/self-archiving of the publisher PDF immediately upon publication (no embargo period imposed).fees are not applicable.administrative charges and other fees are not applicable.Image: https://withinkow.com/self-archiving of the publisher PDF immediately upon publication (no embargo period imposed).fees are not applicable.applicable.Image: https://withinkow.com/self-archiving of the publication (noImage charges, administration applicable.atministrative commend and institutes, and Institutes are also eligible.The fee schedule must be publicity available online. The Fund also covers open access books.method (apply for two (2) reimbursements per fiscal year.Image: https://withew.com/self-archiving of the publicationadministration appropriate.image charges, and other descreters and administration appropriate.administration selfiliated Research eligible.Image: https://withew.com/selfiliated selfiliated Research eligible.The Fund will reimburse per fiscal year.Image: https://withew.com/selfiliated appropriate.administration selfiliated Research appropriate.administration selfiliated Research appropriate.Image: https://withew.com/selfiliated selfiliated Research appropriate.administration selfiliated Research<	
contributing faculties) will evaluate this initiative periodically, and criteria and administration procedures, when appropriate.with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes are also eligible.publicher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Researchers or entitled to apply for two (2) reimbursements per fiscal year.administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.The Fund's criteria and administration procedures, when appropriate.Institutes are also eligible.Bioligible.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication.	ugh June 30, 2014
faculties) will evaluate this initiative periodically, and and institutes, and any changes to the Fund's criteria and administration pprocedures, when appropriate.Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible.upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books.charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year.The Fund's or the Fund's criteria and administration procedures, when appropriate.Institutes are also eligible.University of Ottawa Research Institutes are also eligible.University of Ottawa recommend Affiliated Research Institutes are also eligible.University of Ottawa recommend the Fund's criteria and administration procedures, when appropriate.University of Ottawa recommend institutes are also eligible.University of Ottawa recommend the Fund's criteria and administration procedures, when appropriate.University of Ottawa recommend institutes are also eligible.University of Ottawa recommend institutes are also eligible.University of Ottawa recommend socks.University of Ottawa recommend two (2) reimburse open access book fees up to a maximum of \$2,500 per publication.Applicants must first use funds receivedApplicants must first use funds receivedApplicants must first use funds received	
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administration procedures, when appropriate.	
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\$2,500 per publication. Applicants must first use funds received	
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Applicants must first use funds received	
use funds received	
use funds received	
research grants that	
are intended to	
cover publication or	
submission fees.	
Should the grants	
not allocate	
sufficient funds to	
cover such costs, an	
application can be	
submitted to this	
Fund.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
<u>University of</u>	No limit	July 1,	None	Funds are available	The venue of publication	No limit on per-	# Articles Approved: 121
<u>Pittsburgh Library</u>		2012		for faculty, staff,	must be an established open-	article	# Articles Reimbursed: 121
<u>System</u>				postdoctoral	access journal, that is, a	reimbursement	# Unique Submitting Authors: 113
				associates, and	journal that does not charge	fees. Reimbursable	# Unique Departments: 61
				students served by	readers or their institutions	article processing	# Unique Journals: 75
				the ULS and the	for unfettered access to the	fees may include	# Unique Publishers: 4
				BARCO Law Library.	peer-reviewed articles that it	publication fees	
				This includes those at	publishes. To be eligible, a	(charges levied on	
				all of the University	journal must meet these	articles accepted for	NOTES: Through June 30, 2014
				of Pittsburgh schools	additional requirements:	publication,	
				except the six schools		including page	
				in the health	 Be listed in the Directory of 	charges). Eligible	
				sciences: Dental	Open Access Journals (unless	fees must be based	
				Medicine, Health and	the journal is too new for	on a publication's	
				Rehabilitation	DOAJ eligibility) OR	standard fee	
				Sciences, Nursing,		schedule that is	
				Medicine, Pharmacy,	 Be a member of the Open 	independent of the	
				and Public Health.	Access Scholarly Publishers	author's institution.	
					Association or adhere to its	Reprint fees are not	
					Code of Conduct,	eligible.	
					 Have publicly available a 	Articles for which	
					standard article fee schedule.	alternative funding	
						is available are not	
					The ULS is an institutional	eligible for	
					member of Hindawi	reimbursement. This	
					Publishing Corporation,	includes articles	
					which publishes over 300	reporting research	
					Open Access journals in a	funded by a gift or a	
					variety of disciplines. The	grant from a	
					ULS' membership allows for	granting agency,	
					all authors served by the ULS	foundation, or other	
					who publish in Hindawi	institution (including	
					journals to do so free of	the University of	
					author charges. There is no	Pittsburgh itself)	
					need for authors to apply to	that allows granted	
					the ULS for publication funds.	funds to be used for	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Latabilatieu	Ану			article processing	
					Journals with a hybrid open-	fees (whether or not	
					access model or delayed	the particular grant	
					open-access model are not	had budgeted for	
					eligible.	such fees and	
						whether or not	
						sufficient grant	
						funds remain) and	
						articles funded by	
						an institution that	
						itself pays article	
						processing fees on	
						behalf of the author	
						(such as NIH).	
University of Rhode	\$15,000, split	January,	The Fund will	The URI OA Fund is	Eligible journals must:	The maximum dollar	# Articles Approved: 0
Island	between the	2014	be evaluated	available to full-time		amount that the URI	# Articles Reimbursed: 0
	Libraries and		after the 2014-	faculty of the	Be listed in the Directory of	OA Fund will	# Unique Submitting Authors: 4
	the Vice		2015 academic	University of Rhode	Open Access Journals.	reimburse for a	#Unique Departments: 3
	President for		year.	Island.		single article is	# Unique Journals: 3
	Research and				Be a member of the Open	\$3,000.	# Unique Publishers: 3
	Economic				Access Scholarly Publishers		
	Development				Association or adhere to its	The URI OA Fund is a	NOTES: Through June 30, 2014
					Code of Conduct.	limited resource	
						intended to support	
					Provide unrestricted access	open access	
					to all peer-reviewed articles	publishing across	
					in the journal. (Subscription-	the university. We	
					based journals that charge a	expect researchers	
					fee, sometimes called an	to request funding	
					"author's choice" or "open	for open access	
					choice" fee, to make single	publication from	
					articles available open access	their funding agency	
					are not eligible, nor are	if they can do so.	
					subscription journals that	These funds are	
					make articles available open	intended to be a	
					access after a time delay or	funding source of	
					"embargo.")	last resort and to	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					Have publicly available a standard article fee schedule. Have a policy to waive fees in cases of economic hardship.	support open access to articles whose research was not grant-funded.	
University of Tennessee, Knoxville Office of Research and the University Libraries	\$107,000, split between Office of Research and the Libraries	October, 2008	Extends until funding is exhausted and will be evaluated	Any UTK faculty member, post- doctoral associate, or currently enrolled graduate student.	 Article processing fees levied by peer-reviewed open access publishers such as the Public Library of Science and BioMedCentral. Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. Hybrid journals that make only selected articles open access are not included in the pilot project. 	Funds are awarded on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. -Authors with grant support to cover open access publishing are requested not to apply.	 # Articles Approved: 117 # Articles Reimbursed: 116 # Unique Submitting Authors: 68 #Unique Departments: 31 # Unique Journals: 69 # Unique Publishers: 33 NOTES: Through June 30, 2014
<u>University of Toronto</u> <u>Libraries</u>	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012		The Fund is open to all full-time and part- time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto.	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who have received a research grant that explicitly includes publication or	 # Articles Approved: 54 # Articles Reimbursed: 54 # Unique Submitting Authors: 49 #Unique Departments: 27 # Unique Journals: 20 # Unique Publishers: 16 NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		LStabilished	Ану		will either be listed in the	submission support	
					Directory of Open	are required to use	
					Access Journals, be a	those funds before	
					member of the Open Access	applying to the	
					Scholarly Publishers	Open Access Author	
					Association or adhere to its	Fund.	
					Code of Conduct. Journals	Tunu.	
					must provide unfettered	Additionally, those	
					access to all peer-reviewed	faculty and	
					articles and make publicly	librarians who have	
					available a standard article	access to	
					fee schedule.	departmental or	
					lee schedule.	PERA funds should	
					The library reserves the right	make use of these	
					to refuse a request if the	funds prior to	
					publisher appears to be a	making a request for	
					"predatory open access	reimbursement	
					publisher".	through the Open	
					publisher .	Access Author Fund.	
						Access Author Fund.	
						Fund recipients	
						must provide a copy	
						of their article/book chapter for	
						submission to T-	
						Space, the	
						University's Research	
University of Utah	\$83,994	May 2011	The Fund closed	Linivorsity of Litat	a) lournals which are fully	Repository. The maximum dollar	# Articles Approved: 50
	ədə,994	May, 2011	in 2014.	University of Utah	a) Journals which are fully Open Access. Content of the	amount that the	# Articles Approved: 50 # Articles Reimbursed: 37
<u>Library</u>			III 2014.	faculty, staff	-	OAP Fund will	
				members, postdosc,	entire journal is freely	reimburse for a	# Unique Submitting Authors: 47
				or currently enrolled	available online immediately		# Unique Departments: 16
				graduate or	upon payment of the article	single article during	# Unique Journals: 33 # Unique Publishers: 26
				undergraduate	processing fee. Fully Open	the trial period is	# Unique Publishers: 26
				students on main	Access journals from	\$3,000. Researchers	
				(excluding law)	publishers who have few	are expected to	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>University of</u> <u>Wisconsin – Madison</u> <u>Libraries</u>	\$50,000, supported by unrestricted gift funds to library	July 2005	January 31, 2014	campus. - Any University of Wisconsin-Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author.	such journals are also eligible. b) Hybrid journals which are not fully Open Access but which allow individual articles to be made freely available online immediately upon payment of the submission fee. To be eligible for funding in this category, the publisher must plan to make (in the next subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals. - Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. - Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc.	request funding for open access publication from their funding agency before applying to the OAP Fund. - Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). - Up to 30% for publishing in a journal that provides authors with an open access option. - Reimbursement will not exceed \$1,500 per article.	NOTES: Through February, 2014 # articles approved: 37 # articles reimbursed: 37 # unique submitting authors: 30 # unique departments: 21 # unique journals: 22 # unique publishers: 19 NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
Utah State University Libraries	\$30,000	Established October, 2011	Any All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Faculty and graduate students	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	Levels - Authors must cover the balance of the open access charges through grants or other funds. There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first- served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed Those who publish	 # Articles Approved: 28 # Articles Reimbursed: 16 # Unique Submitting Authors: 29 # Unique Departments: 12 # Unique Journals: 20 # Unique Publishers: 12 NOTES: Through June 30, 2014
<u>Virginia Tech</u> <u>Libraries</u>	\$50,000 for FY 2014-15, in partnership with the	August, 2012		All faculty, staff, graduate, and undergraduate students are eligible.	Funds are available to underwrite article processing fees for scholarly peer- reviewed articles accepted for publication in an open	in fully open access journals Support is limited to \$1500.00 per article and \$3000.00 per author per year. For papers with multiple	 # Articles Approved: 55 # Articles Reimbursed: 46 # Unique Submitting Authors: 117 # Unique Departments: 38 # Unique Journals: 38

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Office of	Latabilaneu			access or hybrid OA journal.	Virginia Tech co-	# Unique Publishers: 22
	Senior Vice President and Provost and the Office of the Vice President for Research				A journal's publisher must be a member of the Open Access Scholarly Publishers Association (OASPA) or comply with OASPA's Code of Conduct. For fully OA journals, the journal must be listed in the Directory of Open Access Journals.	authors, the program would still subsidize the same total amount of up to \$1,500 per article but it would be prorated among each of the authors. Authors must have no other sources of funding available.	NOTES: Data through June 30, 2014
					For hybrid OA journals, the publishers must have reduced institutional subscription prices, or plan to reduce prices this next year, based on the number of open access publications in the journals.		
Wake Forest University Z. Smith Reynolds Library	\$13,000 (library contribution is half of total fund)	Early 2008	None, although program is regularly assessed and evaluated	Available to WFU Reynolda campus faculty. Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards)	Eligible publications include peer-reviewed journal articles and book chapters. Articles and book chapters must be publicly available immediately upon publication. Articles and book chapters	- No limit on size of request faculty can make.	 # Articles Approved: 21 # Articles Reimbursed: 21 # Unique Submitting Authors: 19 # Unique Departments: 11 # Unique Journals: 20 # Unique Publishers: 15 NOTES: Data through June 30, 2014
				are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.	may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					additional fee).		
					Full OA journal publishers		
					must either be listed in the		
					DOAJ: Directory of Open		
					Access Journals		
					(http://www.doaj.org) or be		
					members of the Open Access		
					Scholarly Publishers		
					Association		
					(<u>http://oaspa.org</u>).		
					Copies of the final published		
					version of funded		
					publications must be		
					archived		
					and made publicly available		
					through WFU's institutional		
					digital archive,		
					WakeSpace.		