

# **The NIH Public Access Plan: An Update**

**American Library Association**

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Complying with Emerging Funder Public Access  
Policies: How Libraries Can Make It All Work

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# No changes to the NIH publications policy

## When and How to Comply

**1** Preparing a manuscript **Address copyright**  
[show me](#)

**2** Accepted for publication **Post it to PubMed Central and track it in My NCBI**  
[show me](#)

**3** Reporting to NIH **Include PMCID in citations**  
[show me](#)

### Overview:

The NIH public access policy requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to PubMed Central immediately upon acceptance for publication. [\[more\]](#)

### Show me specific instructions for my publication



- 1 NIHMS overview
- 2 My NCBI overview
- 3 My Bibliography overview
- 4 Public Access Compliance

Last Updated: Tuesday, March 18, 2014

# U.S. Departments & Agencies with Completed Public Access Plans

## Department of Agriculture (Nov 2014)

<http://www.usda.gov/documents/USDA-Public-Access-Implementation-Plan.pdf>

## Department of Defense (Feb 2015)

[http://www.dtic.mil/dtic/pdf/dod\\_public\\_access\\_plan\\_feb2015.pdf](http://www.dtic.mil/dtic/pdf/dod_public_access_plan_feb2015.pdf)

## Department of Energy (Jul 2014)

[http://energy.gov/sites/prod/files/2014/08/f18/DOE\\_Public\\_Access%20Plan\\_FINAL.pdf](http://energy.gov/sites/prod/files/2014/08/f18/DOE_Public_Access%20Plan_FINAL.pdf)

## Department of Health & Human Services

<http://www.hhs.gov/open/public-access-guiding-principles/index.html>

- **Agency for Health Research & Quality** (Feb 2015)  
<http://www.ahrq.gov/funding/policies/publicaccess/index.html>
- **Assistant Secretary for Preparedness & Response** (Feb 2015)  
<http://www.phe.gov/Preparedness/planning/science/Pages/AccessPlan.aspx>
- **Centers for Disease Control** (Jan 2015)  
[http://www.cdc.gov/od/science/docs/Final-CDC-Public-Access-Plan-Jan-2015\\_508-Compliant.pdf](http://www.cdc.gov/od/science/docs/Final-CDC-Public-Access-Plan-Jan-2015_508-Compliant.pdf)
- **Food & Drug Administration** (Feb 2015)  
<http://www.fda.gov/downloads/ScienceResearch/AboutScienceResearchatFDA/UCM435418.pdf>
- **National Institutes of Health** (Feb 2015)  
<http://grants.nih.gov/grants/NIH-Public-Access-Plan.pdf>

## Department of Transportation (Nov 2015)

<https://www.transportation.gov/open/official-dot-public-access-plan>

## Department of Veterans Affairs (Mar 2015)

[http://www.va.gov/ORO/Docs/Guidance/Plan\\_for\\_Access\\_to\\_Results\\_of\\_VA\\_Funded\\_Rsch\\_02\\_14\\_2014.pdf](http://www.va.gov/ORO/Docs/Guidance/Plan_for_Access_to_Results_of_VA_Funded_Rsch_02_14_2014.pdf)

## National Institute of Standards & Technology (Apr 2015)

<http://www.nist.gov/data/upload/NIST-Plan-for-Public-Access.pdf>

## National Aeronautics and Space Administration (Nov 2014)

[http://science.nasa.gov/media/medialibrary/2014/12/05/NASA\\_Plan\\_for\\_increasing\\_access\\_to\\_results\\_of\\_federally\\_funded\\_research.pdf](http://science.nasa.gov/media/medialibrary/2014/12/05/NASA_Plan_for_increasing_access_to_results_of_federally_funded_research.pdf)

## National Oceanic and Atmospheric Administration (Feb 2015)

[http://docs.lib.noaa.gov/noaa\\_documents/NOAA\\_Research\\_Council/NOAA\\_PARR\\_Plan\\_v5.04.pdf](http://docs.lib.noaa.gov/noaa_documents/NOAA_Research_Council/NOAA_PARR_Plan_v5.04.pdf)

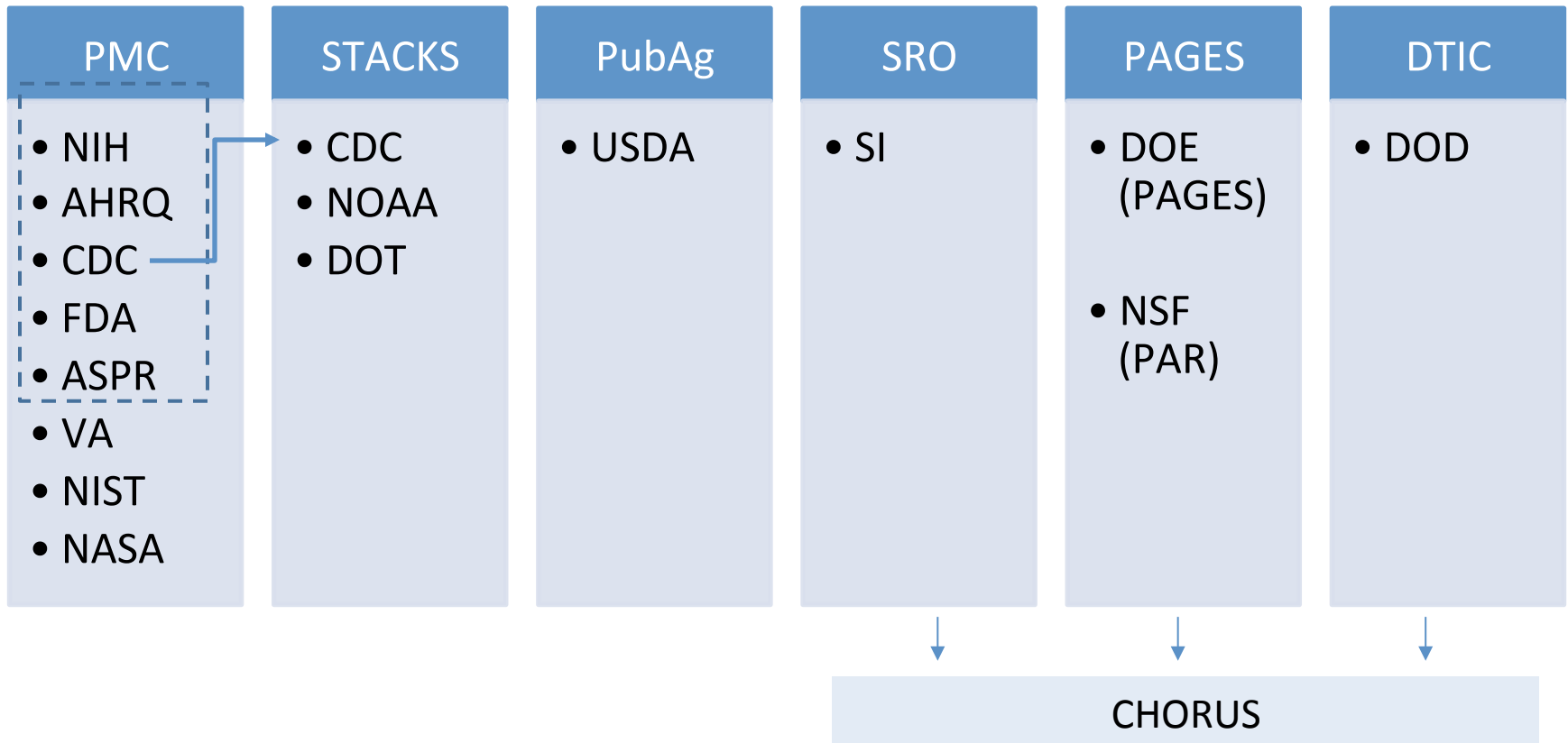
## National Science Foundation (Mar 2015)

<http://www.nsf.gov/pubs/2015/nsf15052/nsf15052.pdf>

## Smithsonian Institution (Aug 2015)

[http://public.media.smithsonianmag.com//file\\_upload\\_plugin/1f143b54-a9f9-4746-bef5-1c76151e3c7a.pdf](http://public.media.smithsonianmag.com//file_upload_plugin/1f143b54-a9f9-4746-bef5-1c76151e3c7a.pdf)

# Department/Agency Public Access Repositories (as of Dec 2014)



# Lessons learned from the NIH Policy

## 1. Libraries train; offices of sponsored research handle policy

- Coordinate with your office of sponsored research

## 2. Support on Publishing Agreements

- **Are your faculty signing away your institution's ability to comply?**  
Coversheets/ Addenda (NIH's Example: [http://publicaccess.nih.gov/nih\\_employee\\_procedures.htm](http://publicaccess.nih.gov/nih_employee_procedures.htm))
- Questions/discussion with publishers

## Author Support

- Mechanics of **compliance and reporting**
- Working with different publishers/ cross university collaborations

## Ensuring compliance

- Checking applications, proposals and reports
- Monitoring institutional compliance (Public Access Compliance Monitor)

See <http://publicaccess.nih.gov/sponsored.htm> for information

# Administration Directives for Scientific Data

- OSTP memorandum on *Increasing Access to the Results of Federally Funded Scientific Research* (aka “Holdren memo”) (February 2013)
- OMB policy on *Open Data Policy-Managing Information as an Asset* (“M-13-13”) (May 2013)

# The NIH Plan – February 2015

<http://sharing.nih.gov>



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## Grants & Funding



### Grants Policy

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NIH Public Access

Research Integrity

## NIH Sharing Policies and Related Guidance on NIH-Funded Research Resources

It is NIH policy that the results and accomplishments of the activities that it funds should be made available to the public. PIs and funding recipient institutions are expected to make the results and accomplishments of their activities available to the research community and to the public at large. The following links highlight selected NIH policies and related guidance on sharing of research resources developed with NIH funding.

- **NEW** [NIH Public Access Plan for Increasing Access to Scientific Publications and Digital Scientific Data from NIH Funded Scientific Research \(02/2015\)](#) (PDF - 474 KB) - This document describes NIH's plans to build upon and enhance its longstanding efforts to increase access to scholarly publications and digital data resulting from NIH-funded research.
- [Genomic Data Sharing \(GDS\) \(8/2014\)](#) Final Genomic Data Sharing (GDS) Policy that provides for the sharing, for research purposes, of large-scale human and non-human genomic data generated from NIH-funded research. Effective for grant applications and contract proposals submitted for January 25, 2015 due date and thereafter.
- [NIH Grants Policy Statement \(Availability of Research Results\) \(10/2013\)](#) - Section of the NIH Grants Policy Statement discussing the availability of research results developed with NIH funding, including publications, data, unique research resources, and intellectual property (inventions and patents).
- [Common Data Element \(CDE\) Resource Portal \(03/2013\)](#) - The Common Data Element (CDE) Resource Portal provides access to NIH-supported CDE initiatives and other tools and resources which can help researchers use common data elements (CDEs) in clinical research, patient registries, and other human subject research in order to improve data quality and opportunities for comparison and combination of data from multiple studies and with electronic health records.
- [Table of NIH Data Sharing Policies \(03/2013\)](#) - This table lists additional data sharing policies in effect at NIH at the NIH, IC, division, and program levels that apply to broad sets of investigators and data.
- [Table of NIH Data Sharing Repositories \(03/2013\)](#) - This table lists various NIH-supported data repositories that accept submissions of appropriate data from NIH-funded investigators and others, as well as including resources that aggregate information about biomedical data and information sharing systems.
- [Data Repositories Resource Guide \(09/2012\)](#) - (MS Word - 30 KB) - This resource guide document is designed to assist the NIH extramural community by identifying examples of data repositories which may be used for sharing data developed under NIH funding programs, consistent with NIH sharing policies.
- [Data Standards and Common Data Elements Resource Guide \(09/2011\)](#) - (MS Word - 29 KB) - This

# Digital Scientific Data Plan

- Describes current policies and procedures and future considerations.
- Maximize access by the general public, without charge, to digital scientific data.
- Protect privacy, proprietary interests, and preserve the balance between the benefits of access/preservation and the costs.



## Next Steps

- Issue draft policy guidance for public comment as appropriate
- Review comments and revise policy guidance as needed
  - Work on implementation for systems, forms, procedures, etc.
  - Identify and address challenges as appropriate
- Issue final policy and implementation guidance, along with education, training, tools, etc.

**- Thank you for your attention -**

Public Access Policy Website:

<http://PublicAccess.nih.gov>

Email: [PublicAccess@nih.gov](mailto:PublicAccess@nih.gov).

NIH Sharing Policies Website: <http://sharing.nih.gov>

Email: [Sharing@nih.gov](mailto:Sharing@nih.gov)