

COMPLYING WITH EMERGING FUNDER PUBLIC ACCESS POLICIES: HOW LIBRARIES CAN MAKE IT ALL WORK

SPARC and ACRL Mid-Winter Forum
ALA Mid-Winter Conference, Boston
January 9th, 2016

Jennifer Hansen, Officer, Knowledge & Research

OUR HISTORY



1994

Bill Gates Sr. starts a small philanthropic foundation at his son's request.



1997

Bill and Melinda read an article about rotavirus and are inspired to act.



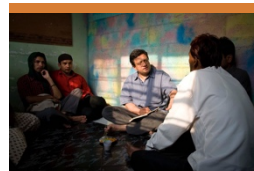
2000

The Bill & Melinda Gates Foundation is created, with a focus on health, education, and libraries.



2001

Our Washington, D.C., office is established with a focus on government-funded partnerships.



2003

We launch a branch office devoted to HIV/AIDS prevention initiative in India.



2006

Warren Buffett pledges Berkshire Hathaway stock valued at \$31 billion.



2007

The foundation opens a Beijing office to focus on global health issues in Asia.



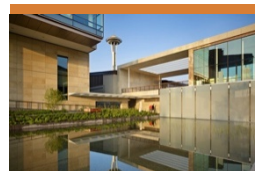
2008

Bill joins Melinda full-time at the foundation.



2010

A team is established in London to work closely with European and African governments, partners and grantees.



2011

The foundation moves to its new permanent home in Seattle.



2012

Our offices in Addis Ababa, Johannesburg, Abuja, and New Delhi bring local perspectives to global work.



2014

Dr. Sue Desmond-Hellmann becomes our new CEO.

OUR GLOBAL REACH AND PRESENCE



1900+

2014 active grantees

\$3.9B

2014 grant payments

1,369

2014 employees worldwide

WHAT WE FOCUS ON

What are
the areas
of greatest
need?



Where can
we have
the greatest
impact?

■ WHY OPEN ACCESS?





■ OPENLY SHARING
INFORMATION
ACCELERATES
OUR IMPACT

OPEN ACCESS POLICY TIMELINE



OPEN ACCESS POLICY* – THE ELEMENTS

ELEMENT 1

DISCOVERABLE
AND
ACCESSIBLE
ONLINE

- Publications will be deposited in a specified repository(s) with proper tagging of metadata.

ELEMENT 2

OPEN ACCESS
TERMS

- All publications shall be published under the Creative Commons Attribution 4.0 Generic License (CC BY 4.0) or an equivalent license.

ELEMENT 3

NO EMBARGO

- All publications shall be available immediately upon their publication, without any embargo period

ELEMENT 4

UNDERLYING
DATA

- The foundation will require that data underlying the published research results be immediately accessible and open.

ELEMENT 5

REASONABLE
FEES

- The foundation will pay the reasonable fees in order to publish on the terms our Open Access Policy.

**As of January 1, 2015 our Open Access policy is effective for all new agreements. The foundation is granting a two year transition period for policy compliancy. As of January 1, 2017, all publications will be required to publish on our open access terms.*

IMPLEMENTATION



MAKING THE POLICY COME ALIVE

Implementation Principles

- Optimize the impact of the research we fund
- Minimize operational impact on foundation staff, grantees/researchers, and the publishers

Our Process

- Small project team
- Two year transition
- Stakeholder engagement



MAKING THE POLICY COME ALIVE

Immediate Changes

- As of January 1, 2015, a non-negotiable open access clause was added to all grant agreements.
- Creation of a centralized budget to pay open access fees

Manual Processing

- Grantees who want to publish on the foundation's open access terms email openaccess@gatesfoundation.org for processing and payment.



CHRONOS

BILL & MELINDA
GATES *foundation*

CHRONOS - BENEFITS

Gates Foundation

- Centralized process to report and measure its funded research.
- Ensure compliance with minimal operational burden and overhead.

Grantees & Researchers

- Authors receive clear guidance on how to comply with the policy.
- Individuals and institutions no longer required to manage APC payments.

Publishers

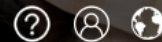
Publishers have a consistent way of receiving payment for articles funded by the Gates Foundation.



Lucas Anderson
lucasanderson@who.com



CHRONOS



FIND YOUR PREFERRED JOURNAL

Start your journal search here

Enter journal title, Subject areas, Publisher or ISSN



GUIDED TOUR



BILL & MELINDA
GATES foundation

Manage your profile

Lorem ipsum dolor sit amet, consectetur
adipiscing elit. Aenean euismod bibendum
laoreet.

GO TO PROFILE >

Compliant journals

Lorem ipsum dolor sit amet, consectetur
adipiscing elit. Aenean euismod bibendum
laoreet.

SEE THE LIST >

View your grants

Lorem ipsum dolor sit amet, consectetur
adipiscing elit. Aenean euismod bibendum
laoreet.

VIEW GRANTS >

Articles pending acceptance

National Geography

Publisher: Elsevier

Journal: Nat Geo

Grant ID: 15384132

Submission date: 05/23/2015

RESUBMIT TO ANOTHER JOURNAL





SELECT YOUR PREFERRED JOURNAL

Search by ISSN, Publisher, Journal name, Subject areas..

BILL & MELINDA
GATES foundation

Refine search criterias

☐ Show compliant only

Publishers

☒ Show all publishers☐ International Union of
Crystallography☐ PLoS☐ Wiley☐ Informa Healthcare

Subject areas

☒ Show all subject areas☐ Agriculture, Aquaculture &
Food Science☐ Life Sciences☐ Physical Sciences &
Engineering☐ Medicine

1

SEARCH

2

SUBMIT

3

SHARE

231

Results on the term "Science"

Cannot find your journal?

REQUEST A JOURNAL TO BE ADDED



You have selected 5 items to comparison

SHOW COMPARED JOURNALS

PLoS One

PLoS / ISSN: 0214-5363 / Subject areas: Social Science and Humanities Collection

Ut elementum ipsum non magna pellentesque ultricies. Quisque gravida commodo auctor. Nullam ornare id sapien eget pharetra. Aenean vulputate arcu eu dui laoreet, sed pharetra felis sollicitudin. Suspendisse potenti. Nunc ac malesuada leo. Nam massa lectus, maximus in tortor viverra, consectetur semper ex. Vestibulum eget urna eget purus tincidunt venenatis. Suspendisse potenti. Proin fermentum enim non blandit volutpat.

[View author guidelines...](#)

PUBLICATION FEE

LICENSE: OA GOLD



PUBLISH HERE >



ADD TO COMPARE

COMPLIANT

[Dashboard](#)[Publish](#)[My account](#)[My grants](#)[My articles](#)[News](#)[Support / Help](#)

BILL & MELINDA
GATES foundation



ISSN

0214-5363

Journal name

Wiley

Subject areas

Social Science and Humanities Collection

[VISIT WEBSITE >](#)**An e-mail has been sent to**

Grant manager : Poul Williams

Email: poulw@gatesfoundation.com

SHARE YOUR WORK

1

SEARCH

2

SUBMIT

3

SHARE

Congratulations!

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Aenean euismod bibendum laoreet. Proin gravida dolor sit amet lacus accumsan et viverra justo commodo. Proin sodales pulvinar tempor. Cum sociis natoque penatibus et magnis dis parturient montes, nascetur ridiculus mus. Nam fermentum, nulla luctus pharetra vulputate, felis tellus mollis orci, sed rhoncus sapien nunc eget odio.

Make this publication compliant[UPDATE PUBLICATION >](#)**Share your work!**

■ WHAT'S NEXT?

- Anticipated launch date of Chronos: July 1, 2016
- Continued stakeholder engagement

CONTACT & INFORMATION

- Jennifer Hansen, Officer, Knowledge & Research
- E-mail jennifer.hansen@gatesfoundation.org
- [Open Access Policy](#)
- E-mail openaccess@gatesfoundation.org