OPEN ACCESS FUNDS IN ACTION

SPARC*

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Decton College	Ne cot		Any	All Dector	Door reviewed articles published in fully		# Articles Approved: 52
Boston College University Libraries	No set amount	February, 2014		All Boston College faculty, researchers and currently enrolled students are eligible to apply for funding.	Peer-reviewed articles published in fully open access journals and accessible immediately on publication are eligible. The Directory of Open Access Journals and the Open Access Scholarly Publishers Association will be used to vet the journals' quality and open access credentials, as will articles in the academic press about predatory practices. "Hybrid" open access journals, are not eligible.	 Authors who have external funding which can be used for publishing fees are not eligible. The annual funding limit for each author will be \$3000. Authors who receive OA funding must also deposit the article in 	 # Articles Approved: 52 # Articles Reimbursed: 46 # Unique Submitting Authors: 36 # Unique Departments: 12 # Unique Journals: 32 # Unique Publishers: 18 NOTES: Through June 30, 2018
Brandeis University Library & Technology Services	\$25,000	October, 2013		LTS funding is available to faculty, staff and students. Only one author per article may apply.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: Members of the Open Access Scholarly Publishing Association (OASPA) All Journals in the Directory of Open Access Journals that allow author to retain distribution rights. Hybrid journals that allow authors to retain distribution rights. 	eScholarship@BC. In order to include as many authors as possible while covering typical costs LTS will support 100% of the supported charges with a limit of \$3000.00 Only those fees associated with open access publishing are eligible for	 # Articles Approved: 18 # Articles Reimbursed: 16 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 14 # Unique Publishers: 8 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Brock University Library	\$10,000	Established	Any One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	The fund is open to Brock Faculty, Librarians, Staff, Graduate Students, Postdoctoral Fellows.	The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either	support. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding. Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA	# Articles Approved: 46 # Articles Reimbursed: 33 # Unique Submitting Authors: 40 # Unique Departments: 11 # Unique Journals: 39 # Unique Publishers: 20
					a fully OA journal.	dissemination costs. Each eligible applicant is entitled to one grant.	NOTES: Through June 30, 2018
Carleton Library/Office of the Vice President (Research & International)	\$50,000 in combined contributions from the Library and	May 1, 20012	The pilot project will be evaluated in relation to its contribution to	All Carleton University faculty, post- doctoral fellows,	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of	\$2500 per article for fully Open Access journals and a cap on any one author of	# Articles Approved: 48 # Articles Reimbursed: 48 # Unique Submitting Authors: 35 # Unique Departments: 12

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 19, 2018

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
Sponsor	Valuethe Office ofVP Research;the Libraryhascommittedan additional\$25,000 as ofsummer,2015	Date Established	Any Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of	Who is Eligible? graduate students and full-time professional staff who are named as an author of a research article and who have the lead	What is Eligible? the content of the entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be	Reimbursement Levels \$5000 per year.	Progress/Success To Date # Unique Journals: 28 # Unique Publishers: 20 NOTES: Through June 30, 2016
			sustainable base funding.	responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made	publishing in a journal which: is listed in the Directory of Open Access Journals is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct has a publicly available standard fee schedule has a policy to substantially waive fees in case of economic hardship		
				available if any of the authors of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation,			
				granting agency, or other institutions			

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				including Carleton.			
<u>Carnegie Mellon</u> <u>University Libraries</u>	Open-ended, with \$45,131 disbursed through June, 2015	May, 2013		Carnegie Mellon faculty, research scientists, post- docs, and graduate students are eligible if (a) they are the corresponding author on the article and (b) they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs).	 The publisher of the journal is a member of the Open Access Scholarly Publishers Association (OASPA) or adheres to the OASPA Code of Conduct. The publisher does not appear on Beall's List of Predatory Open Access Publishers. OR The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding. 	Maximum funding per article is \$1500. Maximum funding per author per fiscal year is \$3000. Unused amounts do not roll over to the next year.	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 25 # Unique Departments: 13 # Unique Journals: 20 # Unique Publishers: 13 NOTES: Through June 30, 2015
<u>Colorado State</u> <u>University Libraries</u>	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must deposit the article into CSU's digital repository	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	 # Articles Approved: 185 # Articles Reimbursed: 92 # Unique Submitting Authors: 143 # Unique Departments: 34 # Unique Journals: 108 # Unique Publishers: 39 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>Columbia University</u> <u>Libraries/Information</u> <u>Services</u>	Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011	January 2010	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program.	COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain); Articles funded by an institution that itself pays publication fees on behalf of the	 # Articles Approved: 109* # Articles Reimbursed: 90 # Unique Submitting Authors: 243 # Unique Departments: 54 # Unique Journals: 59 # Unique Publishers: 21 *The cumulative number of articles approved reflects a downward revision to the FY14–15 figure previously reported, based on a review and correction of the data. NOTES: Through June 30, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			author (such as	
						Wellcome Trust).	
						Authors may	
						receive funding for	
						up to	
						\$3,000 per year for	
						all	
						article-processing	
						charges. Unused	
						amounts do not	
						roll over to future	
						years. The most an	
						author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with	
						multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for	
						a prorated portion	
						of the publication	
						fee. An example:	
						An article with	
						three authors, two	
						of whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee.	
						Each Columbia	
						author	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Concordia University Libraries/Office of Research	\$40,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full- time and part- time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship. The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	may apply for reimbursement for \$1,000. In the hypothetical case of an eligible journal with a \$6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000. The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund.	# Articles Approved: 134 # Articles Reimbursed: 134 # Unique Submitting Authors: 80 # Unique Departments: 18 # Unique Journals: 68 # Unique Publishers: 33 NOTES: Data through April 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor Cornell University Library	Value \$50,000, with support from the Provost's Office		Date Limit, If Any All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project	Who is Eligible? Available to any Cornell faculty, post-doctoral researcher, staff member, or student author affiliated with Cornell's Ithaca campus.	What is Eligible? Publication and processing fees for scholarly peer-reviewed articles in open-access journals meeting these requirements: * Listed in the Directory of Open Access Journals * Member of the Open Access Scholarly Publishers Association or adherent to its Code of Conduct * Provide unfettered access to all peer-		Progress/Success To Date # Articles Approved: 152 # Articles Reimbursed: 152 # Unique Submitting Authors: 109 # Unique Departments: 42 # Unique Journals: 66 # Unique Publishers:24 NOTES: Through June 30, 2017
			proves to be valuable to scholarly communication, COAP may receive continued funding. \$50,000 was added to the fund in July, 2014		 reviewed articles (journals with a hybrid open-access model or delayed open-access model are not eligible) * Publicly available standard article fee schedule. * Policy to waive fees in case of economic hardship. COAP funds may also be applied to publication and processing fees for book chapters and books. 	article processing fees (regardless of whether that particular grant had budgeted for such fees). - Reimbursement cap for a single article is \$3,000. - Authors may receive reimbursement for up to \$3,000 per year for all submission and publication	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						charges.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	
						responsible for a	
						prorated portion of	
						any publishing	
						fees. For example,	
						for an article with	
						three authors that	
						is to appear in a	
						journal with a	
						\$3,000 publication	
						fee, each author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the	
						authors are	
						Cornellians, each	
						may enter an	
						application for	
						\$1,000. If both	
						apply, \$2,000 will	
						be sent to the	
						journal. If the	
						journal has a	
						\$6,000 publication	
						fee, the \$3,000 per	
						article cap will	
						apply and each	
						Cornell author may	
						only apply for	
						\$1,000.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Dartmouth College Library	N/A	Fall, 2009		Dartmouth faculty and graduate students.	Established, scholarly open access journals. Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available by open access are not eligible. In addition, eligible journals must: - Be listed in the Directory of Open Access Journals (www.doaj.org) - Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct - Make their standard fee schedules publicly accessible - By policy, waive their fees in cases of financial hardship	The limit for a single payment is set at \$3,000. Individuals may be supported up to \$3,000 per year in journal payments, in order to make funding available to the greatest number of authors. In the case of multiple authors, an individual author's support will be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number	 # Articles Approved: 141 # Articles Reimbursed: 141 # Unique Submitting Authors: 95 # Unique Departments: 21 # Unique Journals: 86 # Unique Publishers: 36 NOTES: Data through June 30, 2018
Duke University Libraries, Duke University School of Medicine, and the Office of the Provost	\$35,000	September, 2010	Subject to periodic evaluation	Duke faculty members, post- doc researchers, and graduate or professional students	Articles accepted for publication in a peer- reviewed, open access publication, as determined by: * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct Only journals that are fully open access based on a published schedule of article	of authors. Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per-article reimbursement of \$2,000. Unused funds do not roll over to future	# Articles Approved: 288 # Articles Reimbursed: 204 Unique Submitting Authors: 325 # Unique Departments: 45 # Unique Journals: 113 # Unique Publishers: 35 NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					processing fees are eligible. The fund cannot be used to support "hybrid" open access publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.	years. When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the annual cap. Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds	
Emory University Libraries	\$25,000	September 1, 2012		Emory University faculty, post- docs, researchers and currently enrolled graduate and	The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher	Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per	 # Articles Approved: 146 # Articles Reimbursed 102 # Unique Submitting Authors 185 # Unique Departments 39 # Unique Journals 75 # Unique Publishers 27

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				undergraduate	must be a member of Open Access Scholarly	article or book.	NOTES: Through June 30,
				students are	Publisher's Association (OASPA) or meet its	Funds may be used	2018
				eligible to apply	Code of Conduct.	for open access	
				for funds for		publishing and	
				open access	Journals or books with a hybrid model (some	processing fees,	
				fees for articles	content by fee and some content open	including open	
				and books	access) or delayed open access are not	access page	
				connected with	eligible for this fund. Articles and books	charges. Funds	
				their research	must be fully available open access at the	may not be used	
				activities at	time of publication.	for reprints, color	
				Emory.		illustration fees,	
				Preference is		non-OA page	
				given to authors		charges,	
				who have not		permissions fees,	
				been previously		web hosting for	
				funded.		self-archiving, or	
						other expenses not	
				In the case of an		directly related to	
				article or book		open access fees.	
				with multiple		Articles or books	
				authors, each		for which	
				author is		alternative funding	
				responsible for		is available are not	
				a prorated		eligible for	
				portion of any		reimbursement	
				publishing fees.		from this fund.	
				Due to limited			
				funds, any given			
				author is limited			
				to one fund			
				reimbursement			
				per fiscal year.			
Florida State	\$25,000	December,	June, 2017	Florida State	To be eligible, journal publishers must be	Maximum	# Articles Approved: 48
University Libraries		2013	-, -	University	listed in the Directory of Open Access	reimbursement is	# Articles Reimbursed: 47
				faculty, post-	Journals (DOAJ) and book publishers may be	\$1,500.00 per	# Unique Submitting
				docs,	listed in the Directory of Open Access Books	article or book.	Authors: 42
	1			researchers and	(DOAB). Also, a publisher must be a member	Due to limited	# Unique Departments: 22

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded.	of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible for this fund.	funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole Commons.	# Unique Journals: 27 # Unique Publishers: 16 NOTES: Through June 30, 2016. The fund was discontinued in 2017 and re- purposed to focus on mini- grants for OER and digital humanities.
<u>George Mason</u> <u>University Libraries</u>	\$25,000 annually	October 2012		All Mason faculty members, registered graduate students, and post-doctoral fellows are eligible.	The journal must: Be listed in the Directory of Open Access Journals Hold membership in the Open Access Scholarly Publishers Association or demonstrate its adherence to the OASPA Code of Conduct Publish its standard fee schedules, and Waive its article processing fee in cases of financial hardship, as evidenced by its public policy. Support for a hybrid journal open access fee will be considered if: the publisher is a non-profit society or institution, access to the journal's proprietary content is available only through subscription with the society or institution and not through a for-profit aggregator like Proquest, Ebsco, or Elsevier, the fee and its use are clearly indicated on the journal or publisher website, and	Individuals eligible to apply for publisher payment of an APF may receive up to \$3,000 per fiscal year, with a \$3,000 cap per article. Fees are pro-rated for multi-authored articles. That is, if more than one author from Mason applies for funding support for the same article, the article processing fee will be divided equally, up to the \$3,000/article cap.	 # Articles Approved: 114 # Articles Reimbursed: 114 # Unique Submitting Authors: 82* # Unique Departments: 28* # Unique Journals: 60* # Unique Publishers: 28* NOTES: Through June 30, 2017 * approximate

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,		the fee is waived for authors from		
					developing nations or those with		
					demonstrated financial hardship.		
Grand Valley State	\$35,000/yr	Fall 2011	The Fund will	- Applicant must	- Funding is available for newly published	- There is no limit	# Articles Approved: 112
University Libraries			be reviewed	be a current	(within the past six months), peer reviewed	to the per article	# Articles Reimbursed: 104
			annually	GVSU tenure-	articles in open access or hybrid journals that	amount that can	# Unique Submitting
			adjustments	track, visiting,	charge a publication fee associated with the	be funded, but an	Authors: 113
			made as	affiliate and	cost making an article freely available.	author may not	# Unique Departments: 32
			necessary.	adjunct faculty,		receive more than	# Unique Journals: 96
				staff, or	- Costs for reprints, color illustration fees,	\$3,000 in one year.	# Unique Publishers: 35
				currently	non-open access page charges,		
				registered	administrative charges and other fees are	- Authors with	
				graduate	not applicable.	grant support or	NOTES: Through June 30,
				student.		external funding to	2018
					- Articles must be made freely available on	cover publishing	
				- Applicant must	the web at the time of initial publication,	fees are ineligible.	
				be listed as one	with no embargo period.		
				of the authors,		- For articles with	
				and article must	 Eligible journals must be listed in the 	multiple authors,	
				indicate GVSU	Directory of Open Access and Hybrid	each author is	
				affiliation.	Journals or meet the DOAJ's selection	responsible for a	
					criteria. The Libraries has a selective list of	prorated portion of	
					open access and hybrid publisher fees for	any publishing	
					quick reference.	fees. For example,	
						for an article with	
					 Eligible journals must make their fee 	three authors that	
					schedule publicly available online.	is to appear in a	
						journal with a	
						\$3,000 publication	
						fee, each author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the	
						authors are from	
						GVSU, each may	
						separately apply	
						for \$1,000. If both	

SPARC – Open Access Funds in Action

https://sparcopen.org/our-work/oa-funds

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Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Ally			apply, up to \$2,000	
						of the fees will be	
						reimbursed, with	
						\$1,000 being	
						applied to each	
						person's \$3,000	
						annual award limit.	
Harvard University	\$50,000	Fall, 2009	None	Funds are	- Reimbursable article-processing fees may	- \$3,000 per article	# Articles Approved: 383
Library/Office of	\$50,000	Fall, 2009	None	available for		- \$5,000 per article	# Articles Reimbursed: 164
				researchers at	include publication fees (charges levied on	- There is no limit	
<u>Scholarly</u>					articles accepted for publication, including		# Unique Submitting
Communication				Harvard schools	page charges), and submission fees (charges	on the number of	Authors: 326
				that have	levied on articles submitted for publication).	articles	# Unique Departments: 74
				instituted an	Eligible fees must be based on a publication's	reimbursed, except	# Unique Journals: 149
				open-access	standard fee schedule that is independent of	that authors may	# Unique Publishers: 53
				policy.	the author's institution.	receive	
				Currently, these	Austria - Consulting also weating from the size	reimbursement for	NOTES: Data through June
				are: FAS	- Articles for which alternative funding is	up to a total of	30, 2018
				(including	available are not eligible for reimbursement.	\$3,000 per	
				SEAS), HLS, HKS,		academic year for	
				HGSE. Faculty,	- The venue of publication must be an	all article	
				researchers,	established open-access journal, that is, a	processing fees.	
				staff, and	journal that does not charge readers or their	Reimbursement	
				students may	institutions for unfettered access to the	can cover 100% of	
				request	peer-reviewed articles that it publishes.	fees up to the cap.	
				reimbursement	Journals with a hybrid open-access model or	Unused amounts	
				for articles	delayed open-access model are not eligible.	do not roll over to	
				connected with	To be eligible, a journal must meet these	future years.	
				their research	additional requirements:	Exceptions to the	
				activities at		\$3,000 cap may be	
				these schools.	* Be listed in the Directory of Open Access Journals,	made based on availability of	
				- All authors	* Be a member of the Open Access	funds.	
				agree to post a	Scholarly Publishers Association or adhere to		
				copy of their	its Code of Conduct,	- In the case of an	
				article in the	* Have publicly available a standard article	article with	
				institutional	fee schedule, and	multiple authors,	
				repository.	* Have a policy to substantially waive fees	each author is	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					in case of economic hardship.	responsible for a prorated portion of any publishing fees.	
Indiana University- Purdue University Indianapolis (IUPUI) Library	\$47,000 initially, with support from the IUPUI University Library, the Office of the Vice Chancellor for Research, IU School of Dentistry and the Robert H. McKinney School of Law; a second 2- year funding cycle of \$50,000 began in mid-2015	July, 2013	The pilot will be evaluated after two years	Funds are available for IUPUI faculty.	To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility), • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. Journals with a hybrid open-access model or delayed open-access model are not eligible.	No cap on per- article funding. Articles for which alternative funding is available are not eligible for use of the fund. A onetime exception to this rule will be made for authors who did not include dissemination fees in the budget of the grant or contract. Articles with co- authors from other institutions will be supported at a prorated portion of the article processing fees. Authors from all IUPUI departments and schools are encouraged to apply. Although article processing fees for eligible publications will be distributed on a	 # Articles Approved: 133 # Articles Reimbursed: 119 # Unique Submitting Authors: 84 # Unique Departments: 42 # Unique Journals: 76 # Unique Publishers: 27 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Johns Hopkins University Libraries	\$72,000	FY 2012	January, 2015	Because the request for support exceeds the funds available, this year the OAPF is limiting grants to Hopkins students, postDocs and early-career faculty. The applicant must be an author on the paper in question.	 Must be published in a peer-reviewed fully Open Access journal (defined as any journal that appears in the Directory of Open Access Journals). Cannot be used to pay for publishing in 'hybrid' Open Access journals. Must be immediately, openly accessible at publication; no delayed publishing or embargo periods are accepted. 	first-come, first- served basis, disbursement will be monitored to ensure diverse participation across the schools. As an added service, the IUPUI University Library will deposit a copy of funded articles in the IUPUIScholarWorks Up to \$1,500 per article. Up to \$1,500 per year per author. A copy of all funded articles will be made publicly in the Johns Hopkins University institutional repository, no later than three (3) months after receiving this award or three (3) months post- publication. Authors will be contacted by JScholarship staff	# Articles Approved: 44 # Articles Reimbursed: 44 # Unique Submitting Authors: 45 # Unique Departments: 24 # Unique Journals: 24 # Unique Publishers: 13 NOTES: The Fund closed in January, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						to complete this task.	
Kent State University Libraries	\$115,000 from FY2013/14 through 2016/17	September, 2013	None	The Open- Access Fund is available to any Kent State University faculty, postdoc, staff member, or student who is the lead or corresponding author.	 Eligible Publications are open-access journals that: are approved by the applicants' Academic Unit Are peer reviewed Are listed in the <u>Directory of Open Access Journals</u> Have policies and practices consistent with the <u>Open Access Scholarly Publishers Association Code of Conduct</u> Make their standard fee schedules publicly accessible Waive their APCs in cases of the authors' financial hardship 	Funding allowance for a single article is capped at \$2000. Authors may receive funding for up to \$3,000 per year for all APCs. Unused amounts do not roll over to future years.	# Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 35 # Unique Departments: 12 # Unique Journals: 38 # Unique Publishers: 11 NOTES: Data through December 31, 2016
Louisiana State University Libraries	\$30,000	January, 2018		Authors applying for funds along with the primary authors of the publications must be LSU employees or students. Preference is given to research or scholarship primarily conducted by LSU employees or students.	 Peer-reviewed articles submitted to an open access journal yet not already published are eligible. Journals must meet the following criteria: Be an entirely open access journal that provides unfettered access to all peer-reviewed articles. Journals with a hybrid or delayed open access model are not eligible. Be published by a member of the Open Access Scholarly Publishers Association OR adhere to its Code of Conduct. Have a standard article fee schedule publicly posted. Be listed in the Directory of Open Access 	Funds may be used to pay article processing charges up to \$1,500 per article. Color, page, or image charges are not eligible. A requesting author may be awarded funding once a calendar year.	 # Articles Approved: 12 # Articles Reimbursed: 7 # Unique Submitting Authors: 12 # Unique Departments: 10 # Unique Journals: 10 # Unique Publishers: 5 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,	Researchers are expected to exhaust other funding sources and to use appropriate research grant funds to pay publication charges.	Journals. Exceptions to a DOAJ listing may be made if the journal meets other criteria and the OA fund reviewers verify journal credibility. LSU Libraries will upload the published version of each funded article to LSU Digital Commons, LSU's institutional repository.		
<u>MIT Libraries</u>	Pilot funding for FY2011		This is a pilot project subject to periodic evaluation	Current MIT faculty	Open-access journals that: * Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible. Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.	The subsidy is limited to \$1,000 per article, regardless of the number of authors.	 # Articles Approved: 106 # Articles Reimbursed: 95 # Unique Submitting Authors: 82 # Unique Departments: 30 # Unique Journals: 43 # Unique Publishers: 22 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>Libraries</u>	\$199,000 in 2016/17. Values are in Canadian dollars. This funding covers both OA memberships and APCs.	Summer, 2011	None	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated	The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria: a) Journal content is peer-reviewed. b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.) A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication. The fund will not cover open access author's	Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover APCs will be given priority over those with research funding available for this purpose.	# Articles Approved: 254 # Articles Reimbursed: 254 # Unique Submitting Authors: 179 # Unique Departments: 35 # Unique Journals: 140 # Unique Publishers: 39 NOTES: Data through June 30, 2016
				researcher.	fees in gated or hybrid journals.		
	\$20,000	October, 2013		Faculty	Articles accepted for publication in peer-	The fund will	# Articles Approved: 117 # Articles Reimbursed: 117
University Library		2013		members, post- doc	reviewed journals. Publication will be supported in any peer-reviewed journal that	provide \$3,000 per Northwestern	# Articles Reimbursed: 117 # Unique Submitting
Į				researchers,	is listed in the Directory of Open Access	researcher per	Authors: 122

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				professional students and any staff who are authors of articles accepted for publication in a peer-reviewed, open access publication.	the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per- article cap of \$3,000.	# Unique Journals: 65 # Unique Publishers: 31 NOTES: Data through June 30, 2018
Penn State University Libraries	\$20,000 during initial pilot; \$20,000 assigned again for FY 2016/17	February 2015. Criteria for awards was established by the Libraries Publishing Board, which oversees the process and determines what applications are funded.	Pilot requested in a proposal in August 2014; approved February 2015	A Penn State scholar at any Penn State location is eligible (faculty, staff, student). Undergrad students eligible with concurrence of a faculty member. First time applicants get preference for funding if appropriate. Requirement to deposit a copy in ScholarSphere repository.	 Peer-reviewed journal articles in fully open access publications that are considered by the Board in their investigation to have strong scholarly merit. Eligible publications must provide free, immediate, online access to the full text of the research article upon publication without restrictions. Access may be provided by an available pre-print or post-print version of the article. Eligible journals may include those listed in the Directory of Open Access Journals or publishers that are generally compliant with the Open Access Scholarly Publishers Association's Code of Conduct. Journals must be peer-reviewed. Journals must make their standard fee schedules publicly accessible. "Hybrid" open-access publishing, such as subscription-based journals that make some articles available after an author pays fees, are not eligible for funding. Any journals or publishers whose legitimacy is in doubt or are listed in Beall's List of predatory scholarly open-access publishers 	Award is capped at \$2000 per article, one article per individual per fiscal year. For co- authored submissions, awards are pro- rated depending on how many of the authors have a Penn State affiliation.	# Articles Approved: 38 # Articles Reimbursed: 30 # Unique Submitting Authors: 36 # Unique Departments: 22 # Unique Journals: 26 # Unique Publishers: N/A NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					require greater scrutiny by authors and the University Libraries. Ultimately, the eligibility of the journal is the purview of the Penn State Libraries Publishing Board. Authors are encouraged to include the following statement: "Publication of this article was funded in part by The Pennsylvania State University Libraries Open Access Publishing Fund."		
Simon Fraser University Library	\$50,000 annually	January, 2010	None	SFU authors who are faculty, staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.	It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder mandates. The amount each SFU author may claim during one fiscal year is	 # Articles Approved: 593 # Articles Reimbursed: 593 # Unique Submitting Authors: 362 # Unique Departments: 31 # Unique Journals: 200 # Unique Publishers: 60 NOTES: Data through June 30, 2018

\$26,000 for 2014-15	Fall 2011	The Fund is a pilot project which will be reviewed annually	Grants are available to faculty, including nontenure track faculty, and graduate students.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: Members of the Open Access Scholarly Publishers Association (OASPA) 	limited to \$10,000. - For fully open access journals: \$2000 per article and per year per author For hybrid	# Articles Approved: 99 # Articles Reimbursed: 88 # Unique Submitting Authors: 59 # Unique Departments: 22 # Unique Journals: 67
	Fall 2011	pilot project which will be reviewed	available to faculty, including nontenure track faculty, and graduate	journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes:	access journals: \$2000 per article and per year per author	# Articles Reimbursed: 88 # Unique Submitting Authors: 59 # Unique Departments: 22
					- For hybrid journals: \$1500 per article and per year	# Unique Publishers: 35
				 All journals in the Directory of Open Access Journals that allow authors to retain distribution rights 	or 50% of the Open Access fee, whichever is less.	NOTES: Data through June 30, 2018
			 Hybrid journals that allow authors to retain distribution rights. 	 Articles whose underlying research was funded by grants 		
			 Journals must not have an embargo period for access. 	be used for article- processing fees		
			- Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.	(regardless of whether that particular grant budgeted for such fees) are not eligible for funding.		
\$15,000 for the current fiscal year	2016		Applicants must be a current Temple University faculty member OR a current postdoctoral fallow/creducto	 The publication must take the form of a peer-reviewed journal article. Publications in "hybrid" open access journals will not be supported. The journal must be listed in the Directory of Open Access Journals (DOAL). 	- Each applicant may request up to \$1,500 total per fiscal year. This amount may be split across multiple	 # Articles Approved: 24 # Articles Reimbursed: 19 # Unique Submitting Authors: 18 # Unique Departments: 12 # Unique Journals: 20 # Unique Publishers: 11
tł	ne current	ne current	ne current	be a current be a current Temple University faculty member OR a current	Image: second	retain distribution rights.research was funded by grants that allow funds to be used for article- processing fees (regardless of whether that particular grant budgeted for such fees) are not eligible for funding.15,000 for he current scal year2016Applicants must be a current Temple University faculty member OR a current postdoctoral fellow/graduate- The publication must take the form of a peer-reviewed journal article Each applicant may request up to \$1,500 total per fiscal year. This amount may be split across multiple applications so

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				faculty member listed as a co- author. Applicants with external grant funding that could cover, either in whole or in part, the cost of any publication and processing fees are ineligible. Applicants must agree to deposit a copy of their publication in our Digital Library.	 The publisher must be a member of the Open Access Scholarly Publishing Association (OASPA), or clearly follow the membership criteria of the organization. Because the Libraries already cover 50% of the APC for BioMed Central journals, these journals are not eligible. Funding will cover publication and processing fees only. Funds may not be used for reprints, color illustration fees, non-open access page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. 	available. - For articles with multiple Temple authors, the per article payment is capped at \$3,000. - Fees are pro- rated for multi- authored articles. Co-authors from outside of Temple are not supported. If an article includes non- Temple authors, the APC will be divided equally among all authors and then the Temple authors' portion will be funded. For example, if the APC is \$2000, and there are four authors, two of whom are from Temple, the authors can apply for \$1000 from the fund (\$500 each).	NOTES: Data through June 30, 2018
<u>Texas Woman's</u> <u>University</u>	\$30,000 annually from the Provost	Fall 2015		Current full- time TWU faculty (clinical or tenure track).	To be eligible for support, a work must be: - A peer-reviewed journal article, scholarly monograph, conference proceeding, or data set.	Fund provides awards of up to \$3000/article per author/contact, person/lead or	 # Articles Approved: 12 # Articles Reimbursed: 10 # Unique Submitting Authors: 12 # Unique Departments: 7

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					 Published in a journal or platform that is openly available immediately upon publication after charges are paid. Published in a traditional subscription based or 'hybrid' journal that offers an open access option. Accepted for publication at the time of application for funding support. Not eligible for funding by a grant that would cover publication charges. 	author group in an open access journal. Funds will be distributed by semester: Fall (August - December), Spring (January - April), Summer (May - July).	# Unique Journals: 12 # Unique Publishers: 9 NOTES: Through June 30, 2016
					- The publication must have a standard article fee schedule publicly posted. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. The article must be available immediately upon publication.		
<u>University of Arizona</u>	\$131,000 disbursed through June, 2016, with support from the Office of the Senior Vice President for Research and Discovery and the University	April 2014	None	UA faculty, researchers, staff, post-docs, and students	Funds may be applied to peer-reviewed articles within an open access journal. The journal must: - Be published by a member organization of the Open Access Scholarly Publishers Association or adhere to its code of conduct. - Have a standard article fee schedule publicly posted. - Be listed in the Directory of Open Access Journals. We may make an exception if the journal meets other criteria and the faculty	The fund covers author charges up to \$3,000 per article (when available). If the article is written with authors from other institutions, funding is prorated to cover UA authors only. We may make an exception if the	 # Articles Approved: 169 # Articles Reimbursed: 144 # Unique Submitting Authors: 123 # Unique Departments: 55 # Unique Journals: 95 # Unique Publishers: 40 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Libraries and Cultural Resources, University of Calgary	\$100,000/yr (CAN)	June 2008	None. The Fund is in its second year and is expected to continue.	University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	 Publication fees for peer-reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid') are not eligible as of June, 2014. Journals/publishers that have differential charges for CC-BY licenses are higher than those for CC-BY-NC licenses) will not be eligible for coverage. Deposition of funded articles in the University of Calgary institutional repository is mandatory. 	 If eligible, the entire fee is covered. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 1,375 # Articles Reimbursed: 1,375 # Unique Submitting Authors: 898* # Unique Departments: N/A # Unique Journals: 407* # Unique Publishers: 89* NOTES: Through June 30, 2018 * Indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope
UC Berkeley Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$54,000; an additional \$44,000 in funding was added in September, 2014	January 21, 2008	None	UC Berkeley faculty members, post- docs, and graduate students	 BRII will provide funding to pay Berkeley authors' OA article processing charges (APCs), or OA scholarly book (monograph) or chapter subvention fee. Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any open access publication, Hybrid journals offering a paid access option are not eligible as of September, 	 Maximum reimbursement of \$3000 per article for fully open access publication. Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. 	 # Articles Approved: 370 # Articles Reimbursed: 370 # Unique Submitting Authors: 297 # Unique Departments: 58 # Unique Journals: 159 # Unique Publishers: 53 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					2014.	- Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund.	
						 Authors are limited to \$6,000 in BRI funds each year. 	
						- BRII will fund up to \$2500 for OA journal articles or chapters within OA scholarly books.	
						- BRII will offer \$7500 apiece in publishing fees for up to three OA scholarly books.	
<u>UC Davis</u>		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post- docs, residents, fellows, and graduate	 Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any fully open access publication (no hybrid journals are eligible). 	Up to \$1,000 per article.	 # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13 NOTES: Data through March 31, 2014. The Fund is no
				graduate students			longer operative.

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
Sponsor UC Irvine Libraries	Value \$15,000	Date Established Fall, 2012	Date Limit, If Any The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	Who is Eligible? UC Irvine faculty members, post- doctoral scholars, researchers, and staff	What is Eligible? Funds are available to pay open access/ processing fees for: - Fully open access journals: fees to publish in journals in which all articles are immediately available open access - Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access - Open access monographs: fees for publishing open access ebooks with reputable publishers - Open data archiving: fees charged by a data repository for archiving open access data Articles must be made freely available at the time of initial publication. No embargo periods.	Reimbursement Levels\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To	<pre>Progress/Success To Date # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21 NOTES: Data through March 31, 2014. The Fund is no longer operative.</pre>
						humanities. To search for open access journals, use the box to the right.	

UC Merced S	\$15,000					cover a maximum of \$1500 for any	
		January, 2013	The Fund is a pilot that will be re-evaluated	- UC Merced faculty	Only fully open access journals (immediately available with no embargo period) listed in the <u>Directory of Open Access Journals</u> will be	one article in a hybrid open access journal. Article Publication Charges (APCs) and Open Access Fees	# Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting
			at the end of 2014/15 academic year.	 UC Merced lecturers and others with academic appointments Currently enrolled UC Merced graduate students Priority will be given to articles with multiple 	funded.	(OA Fees) up to \$3,000/article.	Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12 NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC Riverside		March,	The Fund is a	authors from UC Merced, and those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are not eligible. UC Riverside	Funds are available to pay open access fees	UR-OAF provides	# Articles Approved: 5

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 19, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		2013	pilot that will be re-evaluated at the end of 2014/15 academic year.	faculty, post- doctoral scholars, researchers and graduate students	 and article publication charges for fully open access journals: •Fees to publish in journals in which all articles are immediately available open access •Articles must be made freely available at the time of initial publication, with no embargo periods. Articles published in "hybrid open access journals" in which only some articles are open access and a publication fee is usually paid to the publisher do not qualify for these funds. 	UC Riverside authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are published as open access). There is a cap of one article per author per year. The person who completes the application form will be considered the applicant for that article.	 # Articles Reimbursed: 4 # Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7 NOTES: Data through March 31, 2014. The Fund is no longer operative.
<u>UC San Diego</u>	\$20,000	October, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC San Diego faculty, post- docs, residents, fellows, and graduate students and other affiliates are eligible to apply for funds.	 Funds are available to pay open access fees and article publication charges for fully open access journals: Fees to publish in journals in which all articles are immediately available open access Articles must be made freely available at the time of initial publication, with no embargo periods. (Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submission charges.) 	UC San Diego Open Access Fund Pilot funds will cover a maximum of \$1000 for any one article in a fully open access journal - journals in which all articles are published open access. \$1000 is the maximum any one applicant may be awarded in one	 # Articles Approved: 41 # Articles Reimbursed: 36 # Unique Submitting Authors: 60 # Unique Departments: 23 # Unique Journals: 35 # Unique Publishers: 20 NOTES: Data through March 31, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						year. The person who completes the	
						application form	
						will be considered	
						the applicant for	
						that article.	
UC San Francisco	\$100,000	December	Renewed	UCSF faculty in	Publications must be made freely available at	Open access	# Articles Approved: 126
		2012	annually	any of the six	the time of initial publication. Embargo	journal articles	# Articles Reimbursed: 108
				faculty title	periods for open access will not be covered.	processing charges	# Unique Submitting
				series listed	OA article must be in a fully OA journal.	(APCs):	Authors: 100
				<u>here</u> may			# Unique Departments: 45
				sponsor an	- Journal articles must be published in a	- Up to \$2,000 per	# Unique Journals: 66
				application.	peer-reviewed, scholarly journal.	article in a fully OA	# Unique Publishers: 23
				Others may		journal.	NOTES: Data through
				apply on behalf	- OA journals must be listed in the <u>Directory</u>	0	NOTES: Data through
				of the sponsor so long as the	of Open Access Journals.	Open access books:	October 17, 2017
				faculty member		DOOKS.	
				is an author on		- Up to \$5,000 per	
				the publication.	- The journal must apply policies and	book.	
					practices consistent with the OAPSA's <u>Code</u> of <u>Conduct</u> .		
					or conduct.	A maximum of one	
						funded article per	
					- Journal articles must be published under an	faculty member	
					unrestricted CC BY or CC BY-NC license.	per calendar year,	
						and one	
					- Books must be peer-reviewed.	application per	
						article. Books will	
						be funded once	
	400.000					every two years.	
UC Santa Barbara	\$30,000	Fall, 2012	The Fund is a	UCSB faculty	- Fully open access journals	The fund will pay	# Articles Approved: 19
			pilot that will	members, post-	Feeste wyhliek is is weste is which all	up to \$3,000 per	# Articles Reimbursed: 11
			be re-evaluated	doctoral	- Fees to publish in journals in which all	publication and	# Unique Submitting
			at the end of	scholars,	articles are immediately available open	has a cap of one	Authors: 26
			2014/15 academic year.	graduate students,	access.	publication per author per year.	# Unique Departments: 13 # Unique Journals:14
			acauennic year.	researchers,	- Hybrid journals (subscription journals with	This is a pilot	# Unique Publishers: 10
	1		l	rescurences,	ingena journais (subscription journais With		" onique i ubilitiers. 10

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 19, 2018

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Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Ally	lecturers,	an open access option) which offer	program and is	
				scientists,	publication fee discounts to UC authors.	subject to funding	NOTES: Data through March
				· ·		restraints.	31, 2014. The Fund is no
				librarians, and	Once another the fore for	restraints.	-
				other non-	- Open access monographs - fees for		longer operative.
				Senate	publishing open access ebooks with		
				academic	reputable publishers.		
				appointees are			
				eligible to	- Open data archives - fees charged by a data		
				participate in	repository for archiving open access data.		
				the pilot. We			
				request that	- Open access conference proceedings - fees		
				authors with	to publish papers in fully open access		
				funding from	conference proceedings.		
				external grants			
				or contracts not	Funds are for unpublished research.		
				utilize pilot	Publications must be made freely available at		
				project funds in	the time of initial publication with no		
				order to allow	embargo periods. Funds from the pilot are		
				others to share	not available to cover color charges, page		
				in the benefit of	charges, illustration charges, or submisson		
				open	charges. As with all journals, authors are		
				publications.	encouraged to publish their research results		
					in high quality publications.		
<u>UC Santa Cruz</u>	\$15,000	Fall, 2012	June, 2014.	UC Santa Cruz	Article publication charges (APCs) or Open	UCSC Open Access	# Articles Approved: 23
				faculty,	Access Fees (OA Fees):	Fund will cover a	# Articles Reimbursed: 15
				researchers,	 for previously unpublished articles 	maximum of \$3000	# Unique Submitting
				lecturers, post-		for any one article	Authors: 31
				docs, and	- in fully open access journals (in which all	in a fully open	# Unique Departments: 13
				currently	articles are available open access) or in	access journal -	# Unique Journals: 14
				enrolled	hybrid open access journals (in which the	journals in which	# Unique Publishers: 10
				graduate	default is for articles to only be available to	all articles are	
				students who	subscribers, but authors may pay to make	published open	NOTES: Data through June
				do not have	individual articles open access);	access.	30, 2014. The Fund is no
				grant funds that	· · · · · · · · · · · · · · · · · · ·		longer operative.
				can be used for	- where articles are made immediately	The pilot Fund will	
				open access	available, with no embargo period.	cover a maximum	
				charges are		of \$1500 for any	

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			Any	eligible to apply for funds from the UCSC Open Access Fund pilot.		one article in a hybrid open access journal. Hybrid journals charge readers a subscription fee, but allow authors to pay a fee to make a single article open access. \$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.	
<u>University of</u> <u>Colorado Boulder</u> <u>Libraries</u>	The fund was instituted as a pilot in 2012 with an initial \$20,000. An additional \$34,000 has been added to the fund since then.	September, 2012		Current CU- Boulder faculty, staff, and students may request funding up to \$2,000 per year to pay for article- processing or publishing fees for full open access journals.	Any journal that provides free, immediate, online access to the full text of all research articles and follows accepted best practices for open access publishing is eligible. This includes, but is not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be compliant with the Open Access Scholarly Publishers Association's Code of Conduct. "Hybrid" open access journals that provide free online access for only some articles will not be eligible for funding.	This fund supports open access to research articles where publication fees are not covered by grants or other funding sources. Authors are encouraged to include publication fees in grant requests whenever possible. Funding is limited	# Articles Approved: 136 # Articles Reimbursed: 136 # Unique Submitting Authors: 114 # Unique Departments: 30 # Unique Journals: 62 # Unique Publishers: 34 NOTES: Through June 30, 2018

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
						to \$2,000 per	
						article regardless	
						of the number of	
						authors.	
<u>University of Florida</u> <u>Provost's Office</u>	\$120,000	July 1, 2010	Funds were exhausted in January, 2013. Replenishment is presently under discussion.	All University of Florida faculty, post-doctoral researchers, staff members, and students.	Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions. Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the	* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online	 # Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40
					Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct.	access to the full text of research articles without restrictions.	NOTES: Through January 15, 2013; Fund is longer active
					A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.	* Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher.	
						* UFOAP funds are intended to support open access to research articles where publication fees are not covered by grants or other funding	
						sources. In order to encourage inclusion of	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			·			publication fees in	
						future grant	
						applications,	
						articles resulting	
						from awarded	
						grants that allow	
						for budgeting of	
						article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment	
						of the UFOAP, July	
						1, 2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF	
						authors, each may	
						apply for \$750. For	
						fees exceeding the	
						\$3,000 cap per	
						article, each	
						UF author may	
						apply only for	
						his/her prorated	
						portion not to	
						exceed the \$3,000	
						yearly cap for each	
						researcher, or	
						\$1500 per article	
						for paid-access.	
University of Iowa	\$75,000,	April, 2013		- Current UI	- The publications must be peer-reviewed,	Up to \$3,000 for a	# Articles Approved: 189

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Libraries	with support from the Office of the Provost		,	faculty, post- doc, resident, or staff member who has had an article, book chapter, or book accepted for publication. - Currently UI- enrolled student or graduate student who has had an article, book chapter, or book accepted for publication.	 and allow free and immediate access to all the content upon publication. Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication. Authors must have exhausted all available grant funds that may be used for article publishing charges. Preference is given to authors who have not been previously funded. University of Iowa Libraries will submit your open access publication to <u>Iowa Research</u> 	journal article or books chapter, up to \$5,000 for a monograph.	 # Articles Reimbursed: 158 # Unique Submitting Authors: 153 # Unique Departments: ~63 # Unique Journals: 125 # Unique Publishers: 41 NOTES: Through June 30, 2017
<u>University of Kansas</u> <u>Libraries</u>	\$50,000, with support from the University of Kansas Provost, The University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The	October, 2012	Two year pilot	Faculty, graduate students, post- docs, and staff on the Lawrence and Kansas City campuses during year one.	Online. Submitted-for-publication or accepted-for- publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per article. Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.	 # Articles Approved: 172 # Articles Reimbursed: 112 # Unique Submitting Authors: 223 # Unique Departments: 70 # Unique Journals: 171 # Unique Publishers: 66 NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Maryland Libraries	University of Kansas and University of Kansas Medical Center \$25,000	September, 2013	Any	Any UMD faculty member, post- doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - All journals in the <u>Directory of Open Access</u> <u>Journals</u> that allow authors to retain distribution rights - Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the <u>Code of</u> <u>Conduct</u> - Journals that have publicly available a standard article fee schedule - Journals that have a policy to substantially	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	 # Articles Approved: 124 # Articles Reimbursed: 121 # Unique Submitting Authors: 108 # Unique Departments: 43 # Unique Journals: 69 # Unique Publishers: 30 NOTES: Through June 30, 2018
<u>University of</u> <u>Massachusetts</u> <u>Amherst</u>	\$25,000	July, 2014		Any current UMA faculty member, post- doctoral researcher, staff member, or graduate student author who has no other source of	waive fees in case of economic hardship Eligible publications and publishing venues must be fully and immediately open access. Publications in "hybrid open-access" (which also charge subscription fees) or "delayed open-access" journals and monographs are not eligible. Journals or monograph publishers must be members of the Open Access Scholarly Publishers Association, OR demonstrably	Funding is available annually for any one author up to \$3000. Funding is available for any one paper up to a maximum of \$3000. Support for non-UMA	 # Articles Approved: 46 # Articles Reimbursed: 43 # Unique Submitting Authors: 47 # Unique Departments: 23 # Unique Journals: 29 # Unique Publishers: 18 NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				funding for open access publication charges. Authors whose grants include funding for publication charges, or who have support from other venues, must apply those funds first	adhere to the OASPA Code of Conduct. Journals or monograph publishers must have a standard article fee schedule, publicly accessible, and a policy to waive fees in cases of economic hardship or economic equity.	collaboratively authored papers will be available on a prorated basis. All works will be deposited on UMA Amherst ScholarWorks.	
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012. It is no longer operating.	funds first. All University of Michigan faculty, post- doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work: *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access publication fee is less than \$1500.	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria: *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee. *Publish in fully open journals: An	 # Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30 NOTES: Through September 15, 2012; Fund is no longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						open journal is one	
						for which all peer-	
						reviewed content	
						is available freely	
						to readers. If the	
						work will appear in	
						a "hybrid" journal	
						- one for which	
						some of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	
						publication: If	
						access to the	
						published article is	
						not immediate we	
						will fund the lesser	
						of \$1500 or 50% of	
						the publisher's fee.	
						If the publisher will	
						delay access longer	
						than 12 months,	
						we will not provide	
						any funding.	
						* Use grant	
						funding wherever	
						possible: If your	
						grant funding	
						could have been	

		Date Date Limit, If Established Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
with from budg Unive Libra the C the V Presi	D,000 Spring nually, 2012 h funds m the dget of the iversity raries and Office of	pring,	Any University of Minnesota faculty, researchers, post-docs, graduate students, or staff	 Funds are available for peer-reviewed journal articles, scholarly monographs, conference proceedings, and data sets. Funds may be used only to cover open access publication and submission fees. Author fees for open access journals (as determined by listing in the Directory of Open Access Scholarly Publishers Association, or adherence to Open Access Scholarly Publishers Association, or adherence to Open Access Scholarly Publishers Association Code of Conduct) will be covered in full. Author fees for "hybrid" journals will be covered up to 50%. Funds may not be used for publications that do not make works fully openly available immediately upon publication. Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of 		# Articles Approved: 128 # Articles Reimbursed: 128 # Unique Submitting Authors: 116 # Unique Departments: 50 # Unique Journals: 84 # Unique Publishers: 24 NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					AgEcon, PubMedCentral, etc.)		
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library funding)	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library	March 2005	July, 2013	UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	 Award maximum is \$1000 per article. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through July, 2013; Fund is no longer active
University Libraries University of North Carolina at Greensboro	\$11,500	Fall 2011	The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development.	Full-time faculty, full-time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer- reviewed open-access journal.	 Reimbursement will not exceed \$1,000 per article. Reimbursement will be limited to one award per fiscal-year per author. Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund. 	 # Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 40 # Unique Departments: 15 # Unique Journals: 34 # Unique Publishers: not tracked NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>University of</u> <u>Oklahoma - Norman</u>	\$20,000 annually	Late 2013	Any	Any current University of Oklahoma- Norman regular faculty member, post- doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded.	Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic hardship.	Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years. Authors agree to retain their copyright and to post a copy of their work in the University's institutional repository, SHAREOK.	 # Articles Approved: 82 # Articles Reimbursed: 73 # Unique Submitting Authors: 75 # Unique Departments:21 # Unique Journals: 35 # Unique Publishers: 18 NOTES: Data through June 30, 2018
<u>University of Oregon</u> <u>Libraries, Office of</u> <u>the Provost</u>	Up to \$50,000	Spring 2009	June, 2014	- Applicant must be a UO tenure- related faculty member, non- tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peer-reviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must be made freely available on the web at the time of initial publication (no embargo periods). At this time funding is not available for articles published using an "open choice" option in	 Up to 100% of author fee for publishing a peer- reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article. Maximum reimbursement will be \$3,000 per person over the 	 # Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12 NOTES: The Fund has been retired as of June, 2014.

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				student ready	journals that are primarily subscription	period of this pilot	
				to submit a	based rather than open access.	project.	
				completed		Reimbursement	
				article for	Journals listed in the Directory of Open	will cover only	
				publication.	Access Journals (http://www.doaj.org/doaj)	direct costs for	
					are assumed to qualify. For publication in a	open access	
				- Applicant must	journal not listed there, additional	publication (not	
				be listed as one	information to allow assessment of whether	the cost of	
				of the authors,	the journal meets our criteria will be	reprints, color	
				and article must	required as part of the application process.	illustration fees,	
				indicate UO		non-OA page	
				affiliation.		charges, web	
						hosting for self-	
						archiving, etc.)	
						- Reimbursement	
						will be available	
						only in cases	
						where the authors	
						do not have	
						adequate grant or	
						contract funding	
						specifically	
						designated to	
						cover author fees.	
						Authors with	
						funding designated	
						to cover	
						publication costs	
						such as author fees	
						from grants,	
						contracts, or other	
						institutional funds	
						are asked to use	
						those funds first	
						and to allow others	
						to share in the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						benefit of open publications.	
University of Ottawa	\$100,000 (CAD), with financial support from the University, the Library and most Faculties.	January, 2010	The Author Fund working group (whose members represent the Office of the Vice-President, Research, the Library and all contributing faculties) will evaluate this initiative periodically, and recommend any changes to the Fund's criteria and administration procedures, when appropriate.	The Fund is open to all full- time and part- time faculty members, staff, currently registered graduate students, postdoctoral fellows and adjunct professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible.	Eligible open access journals must be listed in the Directory of Open Access Journals or meet the DOAI's selection criteria. Eligible hybrid and traditional journals must make articles available immediately and allow self- archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books.	The Fund covers only the costs and fees associated with open access publishing. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year. The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication. Applicants must first use funds received through other research grants that are intended to cover publication or	 # Articles Approved: 622 # Articles Reimbursed: 512 # Unique Submitting Authors: 389 # Unique Departments: 85 # Unique Journals: 341 # Unique Publishers: 83 NOTES: Through June 30, 2016

SPARC – Open Access Funds in Action https://sparcopen.org/our-work/oa-funds

Updated November 19, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			submission fees. Should the grants not allocate sufficient funds to cover such costs, an application can be submitted to this Fund.	
<u>University of</u> <u>Pennsylvania</u> <u>Libraries</u>	\$40,000	May 1, 2016	The Fund will be evaluated annually	Standing Faculty and Associated Faculty (with the exception of Visiting Faculty) of the University of Pennsylvania.	Scholarly articles that are published in open- access journals. The journals must be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship (some exceptions may be made to this last requirement). Publication formats other than journal articles (e.g., monographs or conference proceedings) are eligible according to the same guidelines. Publications funded by the libraries' Open Access Fund must be submitted to Penn's institutional repository,	An author may request up to \$3,000 per article (or alternative publication format) and no more than \$3,000 in any academic year.	 # Articles Approved: 27 # Articles Reimbursed: 17 # Unique Submitting Authors: 27 # Unique Departments: 18 # Unique Journals: 25 # Unique Publishers: Not tracked NOTES: Through June 30, 2017
<u>University of</u> <u>Pittsburgh Library</u> <u>System</u>	No limit	July 1, 2012	None	Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of	The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR	No limit on per- article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible	 # Articles Approved: 218 # Articles Reimbursed: 218 # Unique Submitting Authors: 173 # Unique Departments: 68 # Unique Journals: 137 # Unique Publishers: 29 NOTES: Through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any	Pittsburgh schools except the six schools in the health sciences: Dental Medicine, Health and	 Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, Have publicly available a standard article fee schedule. 	Levels fees must be based on a publication's standard fee schedule that is independent of the author's institution. Reprint	
				Rehabilitation Sciences, Nursing, Medicine, Pharmacy, and Public Health.	The ULS is an institutional member of Hindawi Publishing Corporation, which publishes over 300 Open Access journals in a variety of disciplines. The ULS' membership allows for all authors served by the ULS who publish in Hindawi journals to do so free of author charges. There is no need for authors to apply to the ULS for publication funds.	fees are not eligible. Articles for which alternative funding is available are not eligible for reimbursement.	
					Journals with a hybrid open-access model or delayed open-access model are not eligible.	This includes articles reporting research funded by a gift or a grant from a granting agency, foundation, or	
						other institution (including the University of Pittsburgh itself) that allows granted funds to be used for article	
						processing fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain) and	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						articles funded by an institution that itself pays article processing fees on behalf of the author (such as NIH).	
University of Rhode Island	\$15,000, split between the Libraries and the Vice President for Research and Economic Development	January, 2014	The Fund will be evaluated after the 2014- 2015 academic year.	The URI OA Fund is available to full- time faculty of the University of Rhode Island.	Eligible journals must: Be listed in the Directory of Open Access Journals. Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Provide unrestricted access to all peer- reviewed articles in the journal. (Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available open access are not eligible, nor are subscription journals that make articles available open access after a time delay or "embargo.") Have publicly available a standard article fee schedule. Have a policy to waive fees in cases of economic hardship.	The maximum dollar amount that the URI OA Fund will reimburse for a single article is \$3,000. The URI OA Fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding agency if they can do so. These funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant- funded.	 # Articles Approved: 14 # Articles Reimbursed: 14 # Unique Submitting Authors: 11 #Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 8 NOTES: Through June 30, 2018
University of	Initial	October,	Extends until	Any UTK faculty	- Article processing fees levied by peer-	Funds are awarded	# Articles Approved: 212

SPARC – Open Access Funds in Action

https://sparcopen.org/our-work/oa-funds

Updated November 19, 2018

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Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Tennessee, Knoxville Office of Research and the University Libraries	allocation of \$20,000, augmented by regular contributions from the Office of Research and Engagement and the University Libraries	2008	funding is exhausted and will be evaluated	member, post- doctoral associate, or currently enrolled graduate student.	reviewed open access publishers such as the Public Library of Science and BioMedCentral. - Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. - Hybrid journals that make only selected articles open access are not included in the pilot project.	on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. -Authors with grant support to cover open access publishing are requested not to apply.	# Articles Approved: 251 # Articles Reimbursed: 251 # Unique Submitting Authors: 133 #Unique Departments: 42 # Unique Journals: 119 # Unique Publishers: 46 NOTES: Through June 30, 2018
University of Toronto Libraries	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012	January, 2016	The Fund is open to all full- time and part- time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto.	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will either be listed in the Directory of Open Access Journals, be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Journals must provide unfettered access to all peer-reviewed articles and make publicly available a standard article fee schedule. The library reserves the right to refuse a request if the publisher appears to be a "predatory open access publisher".	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who have received a research grant that explicitly includes publication or submission support are required to use those funds before applying to the Open Access Author Fund.	 # Articles Approved: 100 # Articles Reimbursed: 100 # Unique Submitting Authors: 78 #Unique Departments: 45 # Unique Journals: 59 # Unique Publishers: 30 NOTES: Through June 30, 2016, at which time the Fund was discontinued

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			Additionally, those	
						faculty and	
						librarians who	
						have access to	
						departmental or	
						PERA funds should	
						make use of these	
						funds prior to	
						making a request	
						for reimbursement	
						through the Open	
						Access Author	
						Fund.	
						Fund recipients	
						must provide a	
						copy of their	
						article/book	
						chapter for	
						submission to T-	
						Space, the	
						University's	
						Research	
						Repository.	
University of Utah	\$83,994	May, 2011	The Fund closed	University of	a) Journals which are fully Open Access.	The maximum	# Articles Approved: 50
Library			in 2014.	Utah faculty,	Content of the entire journal is freely	dollar amount that	# Articles Reimbursed: 37
				staff members,	available online immediately upon payment	the OAP Fund will	# Unique Submitting
				postdosc, or	of the article processing fee. Fully Open	reimburse for a	Authors: 47
				currently	Access journals from publishers who have	single article	# Unique Departments: 16
				enrolled	few such journals are also eligible.	during the trial	# Unique Journals: 33
				graduate or		period is \$3,000.	# Unique Publishers: 26
				undergraduate	b) Hybrid journals which are not fully Open	Researchers are	
				students on	Access but which allow individual articles to	expected to	
				main (excluding	be made freely available online immediately	request funding for	NOTES: Through February,
				law) campus.	upon payment of the submission fee. To be	open access	2014. The Fund closed in
					eligible for funding in this category, the	publication from	2014.
					publisher must plan to make (in the next	their funding	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals.	agency before applying to the OAP Fund.	
<u>University of Virginia</u> <u>Library</u>	\$15,000 per fiscal year	July, 2013	July, 2017	Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries).	 Journal must be peer-reviewed and listed in the Directory of Open Access Journals. Journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'. Hybrid journals will not be eligible for funding at this time. Article must be available immediately upon publication, with no embargo. Other content types such as books are eligible, if they are peer-reviewed. Author must agree to submit a copy of the post-review publication to UVa's institutional repository, Libra. Only publishing fees will be covered. Authors must have exhausted all available grant funds that may be eligible to be used for the article processing fee. 	Eligible individuals may receive up to \$2000 per article, and \$3000 total per year. Funds will be allocated on a first-come, first- served basis.	 # Articles Approved: 36 # Articles Reimbursed: 26 # Unique Submitting Authors: 58 (includes co-authors) # Unique Departments: 8 # Unique Journals: 25 # Unique Publishers: 18 NOTES: Through June 30, 2017. The Fund closed June 30, 2017.
<u>University of</u> <u>Western Ontario</u> <u>Libraries</u>	\$120,000 CAD	February, 2014	May, 2017	At the time of application, an eligible applicant must be: - a current faculty (full- time or part- time) member at Western; or - a current postdoctoral fellow at	Peer-reviewed journal articles accepted for publication into open access journals that are listed in the Directory of Open Access Journals, or meet the DOAJ's selection criteria. In particular, "hybrid" journals, which normally require a subscription to read, but provide an "open access option" for a supplementary author fee, are not eligible for funding. Books, or book chapters (no textbooks), which are published by a publisher that is a member of the Open Access Scholarly Publishers Association or meet their Code of Conduct.	The Fund will provide up to \$3,000 (Canadian) per article, book, or book chapter. In the case of an article with multiple authors, if both the first and corresponding authors are Western researchers, either one of them but	 # Articles Approved: 115 # Articles Reimbursed: 84 # Unique Submitting Authors: 118 # Unique Departments: 44 # Unique Journals: 59 # Unique Publishers: 19 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Linitary of	¢10.000			Western; or - a current graduate student at Western; or - a current librarian or archivist at Western The applicant must be either the first author or the corresponding author of the article.	Funded articles, books, or book chapters will be freely available online immediately upon publication. The journal does not require that the author(s) to transfer copyright to the publisher, and the author(s) retain control over the future use of the work. Optionally, but preferably, the eligible work is published with a license that enables the widest distribution and reuse. The Creative Commons "CC BY" Attribution license (see Creative Commons Canada for more information about the Creative Commons licenses) is preferred.	not both can apply for the funding support. If the applicant has received a grant from a research funding agency, s/he must declare that the publication fee for the article has not already been covered by the grant. Applicants are eligible for up to two grants per year.	# Articles Approved 27
<u>University of</u> <u>Wisconsin – Madison</u> <u>Libraries</u>	\$50,000, supported by unrestricted gift funds to library	July 2005	January 31, 2014	- Any University of Wisconsin- Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author.	 Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. 	 Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). Up to 30% for publishing in a journal that provides authors with an open access option. Reimbursement will not exceed 	 # Articles Approved: 37 # Articles Reimbursed: 37 # Unique Submitting Authors: 30 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 19 NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			Any			\$1,500 per article. - Authors must cover the balance of the open access charges through grants or other	
University of Wisconsin- Milwaukee Libraries	Undisclosed	2012		All UWM authors, including students	The UOAP Fund will cover the cost of author submission fees in fully open access peer- reviewd journals as defined by inclusion in the Directory of Open Access Journals and subscription journals that have paid options for open access ("hybrids").	funds. Funding support will cover - In the full amount for one article with an article processing fee under \$1000, and then additional 50%, up to \$1,500 per article, for publishing in a fully open access journal. - 30%, up to \$1,500 per article, for publishing in a hybrid open-access model that provides paid options for open access. - In the case of an article with multiple authors, the article is treated as a whole	 # Articles Approved: 89 # Articles Reimbursed: # Unique Submitting Authors: 61 # Unique Departments: 25 # Unique Journals: 64 # Unique Publishers: 32 NOTES: Through November 15, 2019

https://sparcopen.org/our-work/oa-funds

Updated November 19, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						regardless of how many authors are from UWM.	
Utah State University Libraries	\$30,000	October, 2011	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Faculty and graduate students	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	There is no limit on the per-article reimbursement fee amount. Funds will be available on a first-come-first- served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed. - Those who publish in fully open access journals	 # Articles Approved:116 # Articles Reimbursed: 98 # Unique Submitting Authors: 101 # Unique Departments: 22 # Unique Journals: 76 # Unique Publishers: 42 NOTES: Through June 30, 2018
<u>Virginia Tech</u> <u>Libraries</u>	\$50,000 for FY 2014-15, in partnership with the	August, 2012		All faculty, staff, graduate, and undergraduate students are eligible.	Funds are available to underwrite article processing fees for scholarly peer-reviewed articles accepted for publication in an open access or hybrid OA journal.	Support is limited to \$1500.00 per article and \$3000.00 per author per year.	# Articles Approved: 351 # Articles Reimbursed: 341 # Unique Submitting Authors: 662 # Unique Departments: 77

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Office of Senior Vice President and Provost and the Office of the Vice President for Research	Established	Any		A journal's publisher must be a member of the Open Access Scholarly Publishers Association (OASPA) or comply with OASPA's Code of Conduct. For fully OA journals, the journal must be listed in the Directory of Open Access Journals. For hybrid OA journals, the publishers must have reduced institutional subscription prices, or plan to reduce prices this next	Levels For papers with multiple Virginia Tech co-authors, the program would still subsidize the same total amount of up to \$1,500 per article but it would be prorated among each of the authors.	# Unique Journals: 155 # Unique Publishers: 57 NOTES: Data through June 30, 2018
					year, based on the number of open access publications in the journals.	Authors must have no other sources of funding available.	
Wake Forest University Z. Smith Reynolds Library	\$13,000 (library contribution is half of total fund)	Early 2008	None, although program is regularly assessed and evaluated	Available to WFU Reynolda campus faculty. Authors who receive external funding support that could be used for publishing costs (e.g., NIH grants or contract awards) are still eligible for WFU funds, but must use all available external funds before seeking WFU funds.	Eligible publications include peer-reviewed journal articles and book chapters. Articles and book chapters must be publicly available immediately upon publication. Articles and book chapters may be published in either Open Access or hybrid journals (traditional journals that offer a per-article Open Access option for an additional fee). Full OA journal publishers must either be listed in the DOAJ: Directory of Open Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org). Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive,	- No limit on size of request faculty can make.	# Articles Approved: 60 # Articles Reimbursed: 58 # Unique Submitting Authors: 42 # Unique Departments: 15 # Unique Journals: 50 # Unique Publishers: 24 NOTES: Data through June 30, 2018

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			•		WakeSpace.		
Wayne State University Libraries	\$10,000	March, 2016		Funds are available to current full- time Wayne State University Faculty. One award per author per funding year.	Peer-reviewed journal articles and scholarly monographs, and/or their related or supporting data sets, are eligible for support from the fund. Venues for publication must either be members of the Open Access Scholarly Publishers Association (OASPA, http://oaspa.org/), or meet the membership requirements of OASPA, as delineated under OASPA's Membership Criteria (http://oaspa.org/membership/membership- criteria/) and Code of Conduct (http://oaspa.org/membership/code-of- conduct/). Hybrid open access arrangements ("paid open access" or "open choice") may be considered on a case-by-case basis, with the understanding that publishers offering open choice may not meet 100% of the above criteria. The publication must be free of any embargo period which might limit access for a specific time after publication.	The fund seeks to support at least ten projects a year. Applicants to the fund may request amounts up to 1/10th of the total fund [request cap is currently \$1,000]; fees less than that amount are eligible for full funding.	 # Articles Approved: 38 # Articles Reimbursed: 25 # Unique Submitting Authors: 43 # Unique Departments:25 # Unique Journals: 34 # Unique Publishers: 26 NOTES: Data through June 30, 2018