

## **OPEN ACCESS FUNDS IN ACTION**

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Boston College	\$25,000,	February,	To be	All Boston College	Peer-reviewed articles	Authors who have	# Articles Approved: 13
University Libraries	provided by	2014	determined	faculty, researchers	published in fully open	external funding	# Articles Approved: 13
Offiversity Libraries	the Provost	2014	determined	and currently	access journals and	which can be used	# Unique Submitting Authors: 9
	therrovost			enrolled students are	accessible immediately on	for publishing fees	# Unique Departments: 5
				eligible to apply for	publication are eligible.	are not eligible.	# Unique Journals: 6
				funding.	publication are enginee.	are not engine.	# Unique Publishers: 6
				14.14.118.	The Directory of Open Access	The annual	Grinque i abilibriel si c
					Journals and the Open	funding limit for	NOTES: Through June 30, 2014
					Access Scholarly Publishers	each author will be	,
					Association will be used to	\$3000.	
					vet the journals' quality and	•	
					open access credentials. The	Authors who	
					watch list of publishers with	receive OA funding	
					suspicious practices will be	must also deposit	
					consulted.	the article in	
						eScholarship@BC.	
					"Hybrid" open access		
					journals, are not eligible.		
<b>Brandeis University</b>	\$25,000	October,		LTS funding is	Funding is available for open	In order to include	# Articles Approved: 10
Library & Technology		2013		available to faculty,	access journals that do not	as many authors as	# Articles Reimbursed: 7
<u>Services</u>				staff and students.	charge a fee for institutions,	possible while	# Unique Submitting Authors: 9
				Only one author per	libraries or readers for access	covering typical	# Unique Departments: 9
				article may apply.	to the content, and do not	costs LTS will	# Unique Journals: 9
					have an embargo period for	support 100% of the	# Unique Publishers: 4
					access. This includes:	supported charges	
						with a limit of	NOTES: Through June 30, 2014
					- Members of the Open	\$3000.00	
					Access Scholarly Publishing		
					Association (OASPA)	Only those fees	
					- All Journals in the Directory	associated with	
					of Open Access Journals that	open access	
					allow author to retain	publishing are	
					distribution rights.	eligible for support.	
					- Hybrid journals that allow	Costs for reprints,	

Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
\$10,000	October, 2011	One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	Brock University faculty members (full-time and part-time), currently registered graduate students and postdoctoral fellows.	authors to retain distribution rights.  The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are:	color illustration fees, non-open access page charges, administrative charges and other fees are not supported.  Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding.  Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA	# Articles Approved: 16 # Articles Reimbursed: 16 # Unique Submitting Authors: 15 # Unique Departments: 9 # Unique Journals: 12 # Unique Publishers: 13 NOTES: Through June 30, 2014
				peer-reviewed articles that have been accepted for publication in either a fully OA journal.	Each eligible applicant is entitled to one grant.	
\$50,000 in combined contributions from the Library and the Office of VP Research	May 1, 20012	The pilot project will be evaluated in relation to its contribution to Carleton's strategic,	All Carleton University faculty, post-doctoral fellows, graduate students and full-time professional staff who are named as an	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which	\$2500 per article for fully Open Access journals and a cap on any one author of \$5000 per year.	# Articles Approved: 25 # Articles Reimbursed: 25 # Unique Submitting Authors: 19 # Unique Departments: 9 # Unique Journals: 19 # Unique Publishers: 12  NOTES: Through June 30, 2014
	\$10,000 \$50,000 in combined contributions from the Library and the Office of	\$10,000 October, 2011  \$50,000 in combined contributions from the Library and the Office of	\$10,000 October, 2011 One-time fund established as part of OA Week 2011; renewed for an additional year in 2012  \$50,000 in combined contributions from the Library and the Office of Total Part of OA Carleton's	\$10,000 October, 2011 Spart of OA Week 2011; renewed for an additional year in 2012 Way 1, 20012 The pilot project will be evaluated in relation to its contributions from the Library and the Office of VP Research  \$10,000 October, 2011 Spart of OA Week 2011; renewed for an additional year in 2012 Spart of OA	\$10,000 October, 2011 One-time fund established as part of OA Week 2011; renewed for an additional year in 2012 S50,000 in combined contributions from the Library and the Office of VP Research S10,000 or Carleton's contribution to Carleton's contribution in outcome and authors to retain distribution and authors to retain distribution rights.  The grant covers only the fees associated with open access publishing (costs for reprints, color illustration fees are not applicable.] Articles charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal.  Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which	Stablished   Any   Any   Authors to retain distribution rights.   Color illustration fees, non-open access page charges, administrative charges and other fees are not supported.   Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable base funding.	article and who have the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the authors of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation, granting agency, or other institutions including Carleton.	is, all of the content of the entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions.  Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article.  In addition, to be eligible, authors must be publishing in a journal which: is listed in the Directory of Open Access Journals is a member of the Open Access Scholarly Publishers  Association or adhere to its  Code of Conduct  has a publicly available standard fee schedule  has a policy to substantially waive fees in case of	Leveis	
					economic hardship		
Carnegie Mellon University Libraries	Up to \$20,000	May, 2013		Carnegie Mellon faculty, research scientists, post-docs, and graduate students are eligible if (a) they are the	The publisher of the journal is a member of the Open Access Scholarly Publishers Association (OASPA) or adheres to the OASPA Code of Conduct. The	Maximum funding per article is \$1500. Maximum funding per author per fiscal year is \$3000. Unused amounts do	# Articles Approved: 12 # Articles Reimbursed: 12 # Unique Submitting Authors: 10 # Unique Departments: 6 # Unique Journals: 7 # Unique Publishers: 5
				corresponding author on the article and (b)	publisher does not appear on Beall's List of Predatory Open	not roll over to the next year.	NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs).	Access Publishers.  OR  The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access.  To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding.		
Colorado State University Libraries	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline.  Within six months of publication, the author must deposit the article into CSU's	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	# Articles Approved: 82 # Articles Reimbursed: 51 # Unique Submitting Authors: 61 # Unique Departments: 24 # Unique Journals: 51 # Unique Publishers: 27  NOTES: Through June 30, 2014
Columbia University	Up to	January	All aspects of	Any Columbia faculty	digital repository  Columbia Open-Access	COAP funds are	# Articles Approved: 63
Libraries/Information	\$25,000 for	2010	this program,	member,	Publication (COAP) funds	intended to be a	# Articles Reimbursed: 49
<u>Services</u>	fiscal year		including the	post-doctoral	apply to article-processing	funding source of	# Unique Submitting Authors: 147
	2010; up		amount of	researcher, staff	fees for scholarly peer-	last resort and to	# Unique Departments: 38

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
	to \$50,000	Established	Any funding	member, or student	reviewed articles. Only	Levels	# Unique Journals: 31
	for fiscal year		committed to	author. Not currently	articles that are published in	support open access to articles whose	# Unique Publishers: 16
	2011		the program,	available to Columbia	open-access journals are	research was not	# Offique Publishers. 10
	2011		will be analyzed	affiliates including	eligible. Journals with a	grant funded.	NOTES: Through June 30, 2014
			and reviewed	Barnard College,	hybrid open-access model or	Articles for which	NOTES. Infoughtfulle 30, 2014
			on a regular	Teachers College, or	delayed open-access model	other publication	
			basis.	Union Theological	are not eligible.	funding is available	
			543131	Seminary.	Articles submitted for	are not eligible for	
					publication after January 1,	COAP funds. This	
					2010 are eligible for this	includes:	
					program.		
					' '	Articles reporting	
						on grant-funded	
						research where the	
						granted funds can	
						be used for	
						publication fees	
						(whether or	
						not the particular	
						grant had budgeted	
						for such fees and	
						whether or not	
						sufficient grant	
						funds remain);	
						Articles funded by	
						an institution that	
						itself pays	
						publication fees on	
						behalf of the author	
						(such as Wellcome	
						Trust).	
						Authors may receive	
						funding for up to	
						\$3,000 per year for	
		1				all article-processing	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						charges. Unused	
						amounts do not roll	
						over to future years.	
						The most an author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for a	
						prorated portion of	
						the publication fee.	
						An example: An	
						article with three	
						authors, two of	
						whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee. Each	
						Columbia author	
						may apply for	
						reimbursement for	
						\$1,000. In the	
						hypothetical case of	
						an eligible journal	
						with a \$6,000	
						publication fee, each	
						Columbia author	
						could apply for	
						reimbursement for a	
						prorated portion of	
						the \$3,000 funding	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						cap per article, or \$1,000.	
Concordia University Libraries/Office of Research	\$50,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full-time and part-time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible.  Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peerreviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship.  The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund.  Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund.  Fund recipients must enable the deposit of their article in Spectrum: Concordia University Research Repository.	# Articles Approved: 18 # Articles Reimbursed: 18 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 110 # Unique Publishers: 9  NOTES: Data through December 31, 2012
Cornell University Library	\$50,000, with support from the	September, 2009	All aspects of this program, including the	Available to any Cornell faculty, post- doctoral researcher,	Publication and processing fees for scholarly peer-reviewed articles in open-	- Articles for which alternate publication funding	# Articles Approved: 46 # Articles Reimbursed: 46 # Unique Submitting Authors: data

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
	Provost's		amount of	staff member, or	access journals meeting	is available are not	not supplied
	Office		funding	student author.	these requirements:	eligible for COAP	# Unique Departments: data not
			committed to			funds. This includes	supplied
			the program,		* Listed in the Directory of	articles whose	# Unique Journals: 21
			will be analyzed		Open Access Journals	research was funded	# Unique Publishers:15
			and reviewed			by grants or gifts	
			on a regular		* Member of the Open	that allow grant	NOTES: Through June 30, 2014
			basis. If the		Access Scholarly Publishers	funds to be used for	
			pilot project		Association or adherent to	article processing	
			proves to be		its Code of Conduct	fees (regardless of	
			valuable to			whether that	
			scholarly		* Provide unfettered access	particular grant had	
			communication,		to all peer-reviewed articles	budgeted for such	
			COAP may		(journals with a hybrid open-	fees).	
			receive		access model or delayed		
			continued		open-access model are not	- Reimbursement	
			funding.		eligible)	cap for a single	
						article is \$3,000.	
					* Publicly available standard		
					article fee schedule.	- Authors may	
						receive	
					* Policy to waive fees in case	reimbursement for	
					of economic hardship.	up to \$3,000 per	
						year for all	
						submission and	
						publication charges.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	
						responsible for a	
						prorated portion of	
						any publishing fees.	
						For example, for an	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	-
						article with three	
						authors that is to	
						appear in a journal	
						with a \$3,000	
						publication fee, each	
						author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the authors	
						are Cornellians, each	
						may enter an	
						application for	
						\$1,000. If both	
						apply, \$2,000 will be	
						sent to the journal.	
						If the journal has a	
						\$6,000 publication	
						fee, the \$3,000 per	
						article cap will apply	
						and each Cornell	
						author may only	
						apply for \$1,000.	
Dartmouth College	N/A	Fall, 2009		Dartmouth faculty	Established, scholarly open	The limit for a single	# Articles Approved: 31
<u>Library</u>				and graduate	access journals.	payment is set at	# Articles Reimbursed: 31
				students.	Subscription-based journals	\$3,000. Individuals	# Unique Submitting Authors: 25
					that charge a fee, sometimes	may be supported	# Unique Departments: 10
					called an "author's choice" or	up to \$3,000 per	# Unique Journals: 22
					"open choice" fee, to make	year in journal	# Unique Publishers: 12
					single articles available by	payments, in order	
					open access are not eligible.	to make funding	NOTES: Data through June 30, 2014
					In addition, eligible journals	available to the	
					must:	greatest number of	
						authors. In the case	
					- Be listed in the Directory of	of multiple authors,	
					Open Access Journals	an individual	
					(www.doaj.org)	author's support will	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					- Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct  - Make their standard fee schedules publicly accessible  - By policy, waive their fees in cases of financial hardship	be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number of authors.	
Duke University Libraries, Duke University School of Medicine, and the Office of the Provost	\$35,000 for fiscal year 2012-13	September, 2010	Subject to periodic evaluation	Duke faculty members, post-doc researchers, and graduate or professional students	Articles accepted for publication in a peer-reviewed, open access publication, as determined by:  * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct  Only journals that are fully open access based on a published schedule of article processing fees are eligible. The fund cannot be used to support "hybrid" open access publishing, where individual	Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum perarticle reimbursement of \$2,000. Unused funds do not roll over to future years.  When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the	# Articles Approved: 109 # Articles Reimbursed: 83 # Unique Submitting Authors: 74 # Unique Departments: 25 # Unique Journals: 25 # Unique Publishers: 32  NOTES: Through May 31, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					articles from journals that	annual cap.	
					are generally subscription		
					access only are made openly	Articles that are	
					available when author fees	supported by a gift	
					are paid. Only journals that	or grant from a	
					do not charge readers or	foundation,	
					institutions for access to	institution or agency	
					peer-reviewed content are	that allows granted	
					eligible.	funds to be used for	
						article processing	
						fees are not eligible	
						for reimbursement	
						from COAPE funds	
Emory University	\$25,000	September		Emory University	The article or book must be	Open access	# Articles Approved: 20
<u>Libraries</u>		1, 2012		faculty, post-docs,	published with an open	publishing funds are	# Articles Reimbursed 14
				researchers and	access publisher that does	awarded on a first	# Unique Submitting Authors 11
				currently enrolled	not charge readers or	come, first serve	# Unique Departments 12
				graduate and	institutions for access to the	basis to a maximum	# Unique Journals 15
				undergraduate	publication. To be eligible,	reimbursement of	# Unique Publishers 9
				students are eligible	journal publishers must be	\$1,500.00 per article	
				to apply for funds for	listed in the Directory of	or book. Funds may	NOTES: Through June 30, 2014
				open access fees for	Open Access Journals (DOAJ)	be used for open	
				articles and books	and book publishers may be	access publishing	
				connected with their	listed in the Directory of	and processing fees,	
				research activities at	Open Access Books (DOAB).	including open	
				Emory. Preference is	Also, a publisher must be a	access page charges.	
				given to authors who	member of Open Access	Funds may not be	
				have not been	Scholarly Publisher's	used for reprints,	
				previously funded.	Association (OASPA) or meet	color illustration	
					its Code of Conduct.	fees, non-OA page	
				In the case of an		charges, permissions	
				article or book with	Journals or books with a	fees, web hosting	
				multiple authors,	hybrid model (some content	for self-archiving, or	
				each author is	by fee and some content	other expenses not	
				responsible for a	open access) or delayed open	directly related to	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-	prorated portion of	access are not eligible for this	open access fees.	
				any publishing fees.	fund. Articles and books	Articles or books for	
				Due to limited funds,	must be fully available open	which alternative	
				any given author is	access at the time of	funding is available	
				limited to one fund	publication.	are not eligible for	
				reimbursement per		reimbursement	
				fiscal year.		from this fund.	
Florida State	\$50,000	December,		Florida State	To be eligible, journal	Maximum	# Articles Approved: 9
<b>University Libraries</b>		2013		University faculty,	publishers must be listed in	reimbursement is	# Articles Reimbursed: 9
				post-docs,	the Directory of Open Access	\$1,500.00 per article	# Unique Submitting Authors: 11
				researchers and	Journals (DOAJ) and book	or book. Due to	# Unique Departments: 8
				currently enrolled	publishers may be listed in	limited funds, any	# Unique Journals: 7
				graduate and	the Directory of Open Access	given author is	# Unique Publishers: 7
				undergraduate	Books (DOAB). Also, a	limited to one fund	
				students are eligible.	publisher must be a member	reimbursement per	NOTES: Through June 30, 2014
				Preference is given to	of Open Access Scholarly	fiscal year. Any open	
				authors who have not	Publisher's Association	access item	
				been previously	(OASPA) or meet its Code of	published with	
				funded.	Conduct.	support from this	
						fund must also be	
					Journals or books with a	submitted to	
					"hybrid model" or delayed	DigiNole Commons.	
					open access are not eligible		
					for this fund.		
George Mason	\$25,000	October		All Mason faculty	The journal must:	Individuals eligible	# Articles Approved: 37
<u>University Libraries</u>	annually	2012		members, registered		to apply for	# Articles Reimbursed: 33
				graduate students,	Be listed in the Directory of	publisher payment	# Unique Submitting Authors: 36
				and post-doctoral	Open Access Journals	of an APF may	# Unique Departments: 14
				fellows are eligible.	Hold membership in the	receive up to \$3,000	# Unique Journals: 26
					Open Access Scholarly	per fiscal year, with	# Unique Publishers: 17
					Publishers Association or	a \$3,000 cap per	
					demonstrate its adherence	article.	NOTES: Through June 30, 2014
					to the OASPA Code of		
					Conduct	Fees are pro-rated	
					Publish its standard fee	for multi-authored	
					schedules, and	articles. That is, if	
					Waive its article processing	more than one	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					fee in cases of financial	author from Mason	
					hardship, as evidenced by its	applies for funding	
					public policy.	support for the	
						same article, the	
					Support for a hybrid journal	article processing	
					open access fee will be	fee will be divided	
					considered if:	equally, up to the	
						\$3,000/article cap.	
					the publisher is a non-		
					profit society or institution,		
					access to the journal's		
					proprietary content is		
					available only through		
					subscription with the society		
					or institution and not		
					through a for-profit		
					aggregator like Proquest,		
					Ebsco, or Elsevier,		
					the fee and its use are		
					clearly indicated on the		
					journal or publisher website,		
					and		
					the fee is waived for		
					authors from developing		
					nations or those with		
					demonstrated financial		
					hardship.		
Grand Valley State	\$25,000/yr	Fall 2011	The Fund will	- Applicant must be a	- Funding is available for	- There is no limit to	# Articles Approved: 17
University Libraries	723,000/ yi	1 011 2011	be reviewed	current GVSU tenure-	newly published (within the	the per article	# Articles Approved: 17
Jiliversity Libraries					, ,	amount that can be	
			annually	track, visiting,	past six months), peer		# Unique Submitting Authors: 20
			adjustments made as	affiliate and adjunct	reviewed articles in open	funded, but an author may not	# Unique Departments: 12
				faculty, staff, or	access or hybrid journals that	,	# Unique Journals: 15
			necessary.	currently registered	charge a publication fee	receive more than	# Unique Publishers: 11
				graduate student.	associated with the cost	\$3,000 in one year.	
				A south a set to	making an article freely	A A la	NOTES Through horse 20, 2012
				- Applicant must be	available.	- Authors with grant	NOTES: Through June 30, 2013
				listed as one of the		support or external	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				authors, and article	- Costs for reprints, color	funding to cover	
				must indicate GVSU	illustration fees, non-open	publishing fees are	
				affiliation.	access page charges,	ineligible.	
					administrative charges and		
					other fees are not applicable.	- For articles with	
						multiple authors,	
					- Articles must be made	each author is	
					freely available on the web at	responsible for a	
					the time of initial publication,	prorated portion of	
					with no embargo period.	any publishing fees.	
						For example, for an	
					- Eligible journals must be	article with three	
					listed in the Directory of	authors that is to	
					Open Access and Hybrid	appear in a journal	
					Journals or meet the DOAJ's	with a \$3,000	
					selection criteria. The	publication fee, each	
					Libraries has a selective list of	author is	
					open access and hybrid	responsible for	
					publisher fees for quick	\$1,000 of that fee.	
					reference.	If two of the authors	
						are from GVSU, each	
					- Eligible journals must make	may separately	
					their fee schedule publicly	apply for \$1,000. If	
					available online.	both apply, up to	
						\$2,000 of the fees	
						will be reimbursed,	
						with \$1,000 being	
						applied to each	
						person's \$3,000	
						annual award limit.	
Harvard University	\$50,000	Fall, 2009	None	Funds are available	- Reimbursable article-	- \$3,000 per article	# Articles Approved: 39
<u>Library/Office of</u>				for researchers at	processing fees may include		# Articles Reimbursed: 32
<u>Scholarly</u>				Harvard schools that	publication fees (charges	- There is no limit on	# Unique Submitting Authors: 50
Communication				have instituted an	levied on articles accepted	the number of	# Unique Departments: 16
				open-access policy.	for publication, including	articles reimbursed,	# Unique Journals: 39
				Currently, these are:	page charges), and	except that authors	# Unique Publishers: 21
				FAS (including SEAS),	submission fees (charges	may receive	

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	HLS, HKS, HGSE.	levied on articles submitted	reimbursement for	NOTES: Data through June 30, 2014
				Faculty, researchers,	for publication). Eligible fees	up to a total of	NOTES. Data tirrough June 30, 2014
				staff, and students	must be based on a	\$3,000 per academic	
				may request	publication's standard fee	year for all article	
				reimbursement for	schedule that is independent	processing fees.	
				articles connected	of the author's institution.	Reimbursement can	
				with their research	or the dathor sinstitution.	cover 100% of fees	
				activities at these	- Articles for which	up to the cap.	
				schools.	alternative funding is	Unused amounts do	
				30110013.	available are not eligible for	not roll over to	
				- All authors agree to	reimbursement.	future years.	
				post a copy of their	Tellibursement.	Exceptions to the	
				article in the	- The venue of publication	\$3,000 cap may be	
				institutional	must be an established open-	made based on	
				repository.	access journal, that is, a	availability of funds.	
				repository.	journal that does not charge	availability of failas.	
					readers or their institutions	- In the case of an	
					for unfettered access to the	article with multiple	
					peer-reviewed articles that it	authors, each	
					publishes. Journals with a	author is	
					hybrid open-access model or	responsible for a	
					delayed open-access model	prorated portion of	
					are not eligible. To be	any publishing fees.	
					eligible, a journal must meet	arry publishing rees.	
					these additional		
					requirements:		
					requirements.		
					* Be listed in the Directory		
					of Open Access Journals,		
					* Be a member of the		
					Open Access Scholarly		
					Publishers Association or		
					adhere to its Code of		
					Conduct,		
					* Have publicly available a		
					standard article fee schedule,		
					and		
					anu		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					* Have a policy to		
					substantially waive fees in		
					case of economic hardship.		
Indiana University-	\$47,000,	July, 2013	The pilot will be	Funds are available	To be eligible, a journal must	No cap on per-	# Articles Approved: 16
Purdue University	with support		evaluated after	for IUPUI faculty.	meet these additional	article funding.	# Articles Reimbursed: 9
Indianapolis (IUPUI)	from the		two years		requirements:	Articles for which	# Unique Submitting Authors: 17
<u>Library</u>	IUPUI				Be listed in the Directory of	alternative funding	# Unique Departments: 15
	University				Open Access Journals (unless	is available are not	# Unique Journals: 13
	Library, the				the journal is too new for	eligible for use of	# Unique Publishers: 8
	Office of the				DOAJ eligibility),	the fund. A onetime	
	Vice				Be a member of the Open	exception to this	NOTES: Data through June 30, 2014
	Chancellor				Access Scholarly Publishers	rule will be made for	
	for Research,				Association or adhere to its	authors who did not	
	IU School of				Code of Conduct,	include	
	Dentistry and				Have publicly available a	dissemination fees	
	the Robert H. McKinney				standard article fee schedule.	in the budget of the	
	School of				Journals with a hybrid open-	grant or contract.	
	Law				access model or delayed	Articles with co-	
	Law				open-access model are not	authors from other	
					eligible.	institutions will be	
					cligible.	supported at a	
						prorated portion of	
						the article	
						processing fees.	
						Authors from all	
						IUPUI departments	
						and schools are	
						encouraged to	
						apply. Although	
						article processing	
						fees for eligible	
						publications will be	
						distributed on a	
						first-come, first-	
						served basis,	
						disbursement will be	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			•			monitored to ensure	
						diverse participation	
						across the schools.	
						As an added service,	
						the IUPUI University	
						Library will deposit a	
						copy of funded	
						articles in the	
						IUPUIScholarWorks	
Johns Hopkins University Libraries	\$72,000	FY 2012		Because the request for support exceeds the funds available, this year the OAPF is limiting grants to Hopkins students, postDocs and early- career faculty. The	Must be published in a peer-reviewed fully Open Access journal (defined as any journal that appears in the Directory of Open Access Journals).  Cannot be used to pay for	Up to \$1,500 per article.  Up to \$1,500 per year per author.  A copy of all funded articles will	# Articles Approved: 44  # Articles Reimbursed: 44  # Unique Submitting Authors: 45  # Unique Departments: 22  # Unique Journals: 203  # Unique Publishers: not provided  NOTES: Data through June 30, 2014
				applicant must be an author on the paper in question.	publishing in 'hybrid' Open Access journals.  Must be immediately, openly accessible at publication; no delayed publishing or embargo periods are accepted.	be made publicly in the Johns Hopkins University institutional repository, no later than three (3) months after receiving this award or three (3) months post-publication. Authors will be contacted by JScholarship staff to complete this task.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
MIT Libraries	Pilot funding for FY2011	Established	Any This is a pilot project subject to periodic evaluation	Current MIT faculty	* Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship  Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer	Levels  The subsidy is limited to \$1,000 per article, regardless of the number of authors.	# Articles Approved: 16 # Articles Reimbursed: 16 # Unique Submitting Authors: 13 # Unique Departments: 8 # Unique Journals: 8 # Unique Publishers: 6  NOTES: Data through June 30, 2014
					an "open choice" option to make a particular article open access are not eligible.  Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Ally		of funding is available.	Levels	
Memorial University Libraries	\$20,000	Summer, 2011	None	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria:  a) Journal content is peerreviewed.  b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader.  c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.)  A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication.	Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover APCs will be given priority over those with research funding available for this purpose.	# Articles Approved: 101 # Articles Reimbursed: 101 # Unique Submitting Authors: 90 # Unique Departments: 38 # Unique Journals: 53 # Unique Publishers: Not provided  NOTES: Data through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any			Leveis	
					The fund will not cover open access author's fees in gated or hybrid journals.		
Northwestern University Library	\$20,000	October, 2013		Faculty members, post-doc researchers, graduate or professional students and any staff who are authors of articles accepted for publication in a peerreviewed, open access publication.	Articles accepted for publication in peer-reviewed journals. Publication will be supported in any peer-reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	The fund will provide \$3,000 per Northwestern researcher per year. As funds allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion up to a total per-article cap of \$3,000.	# Articles Approved: 1 # Articles Reimbursed: 1 # Unique Submitting Authors: 1 # Unique Departments: 1 # Unique Journals: 1 # Unique Publishers: 1  NOTES: Data through June 30, 2014
Simon Fraser University Library	\$50,000 annually	January, 2010	None	SFU authors who are faculty, staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	Eligible journals will be those in the Directory of Open Access Journals, or those whose editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover	It is anticipated that SFU authors will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA	# Articles Approved: 243 # Articles Reimbursed: 243 # Unique Submitting Authors: 162 # Unique Departments: 26 # Unique Journals: 96 # Unique Publishers: 27  NOTES: Data through June 30, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					optional article processing	fund. The Library	
					charges from hybrid journals.	will work with the	
					The fund does not cover	Office of Research	
					additional charges such as for	Services to raise	
					including color plates, long	awareness of grant	
					articles, submission fees, or	eligibility for OA fees	
					excess page charges.	and funder	
						mandates.	
						The amount each	
						SFU author may	
						claim during one	
						fiscal year is limited	
						to \$10,000.	
Southern Illinois	\$25,000 for	Fall 2011	The Fund is a	Grants are available	- Funding is available for	- For fully open	# Articles Approved: 59
<u>University</u>	the first year;		pilot project	to faculty, including	open access journals that do	access journals:	# Articles Reimbursed: 36
Carbondale Morris	\$17,000 for		which will be	nontenure track	not charge a fee for	\$2000 per article	# Unique Submitting Authors: 34
<u>Library</u>	year two		reviewed	faculty, and graduate	institutions, libraries or	and per year per	# Unique Departments: 16
			annually	students.	readers for access to the	author	# Unique Journals: 45
					content, This includes:		# Unique Publishers: 25
						- For hybrid journals:	
					<ul> <li>Members of the Open</li> </ul>	\$1500 per article	
					Access Scholarly	and per year or 50%	NOTES: Data through June 30, 2014
					Publishers Association	of the Open Access	
					(OASPA)	fee, whichever is	
						less.	
					All journals in the	- Articles whose	
					Directory of Open Access	underlying research	
					Journals that allow	was funded by	
					authors to retain	grants that allow	
					distribution rights	funds to be used for	
						article-processing	
						fees (regardless of	
					<ul> <li>Hybrid journals that</li> </ul>	whether that	
					allow authors to retain	particular grant	
					distribution rights.	budgeted for such	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					- Journals must not have an embargo period for access.  - Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.	fees) are not eligible for funding.	
Libraries and Cultural Resources, University of Calgary	\$100,000/yr (CAN)	June 2008	None. The Fund is in its second year and is expected to continue.	University of Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	- Publication fees for peer- reviewed articles that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible.  - Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid") are not eligible as of June, 2014.  - Journals/publishers that have differential charges for Creative Commons licenses (e.g. APCs for CC-BY licenses are higher than those for CC-	- If eligible, the entire fee is covered.  - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund.	# Articles Approved: 624 # Articles Reimbursed: 624 # Unique Submitting Authors: 403* # Unique Departments: 80* # Unique Journals: 2085* # Unique Publishers: 44*  NOTES: Through June 30, 2014  * indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
UC Berkeley Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$54,000	January 21, 2008	None	UC Berkeley faculty members, post-docs, and graduate students	BY-NC licenses) will not be eligible for coverage.  - Deposition of funded articles in the University of Calgary institutional repository is mandatory.  - Articles must be made freely available at the time of initial publication. No embargo periods.  - Article may be published in any open access publication, or hybrid journal offering a paid access option.	- Maximum reimbursement of \$3000 per article for fully open access publication Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund Authors are limited	# Articles Approved: 411 # Articles Reimbursed: 228 # Unique Submitting Authors: 260 # Unique Departments: 37 # Unique Journals: 130 # Unique Publishers: 44  NOTES: Data through March 31, 2014
						to \$6,000 in BRI funds each year.	
UC Davis		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post-docs, residents, fellows, and graduate students	- Articles must be made freely available at the time of initial publication. No embargo periods.  - Article may be published in any fully open access	Up to \$1,000 per article.	# Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					publication (no hybrid journals are eligible).		NOTES: Data through March 31, 2014
UC Irvine Libraries	\$15,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Irvine faculty members, post-doctoral scholars, researchers, and staff	Funds are available to pay open access/ processing fees for:  - Fully open access journals: fees to publish in journals in which all articles are immediately available open access - Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access  - Open access monographs: fees for publishing open access ebooks with reputable publishers  - Open data archiving: fees charged by a data repository for archiving open access data  Articles must be made freely available at the time of initial publication. No embargo periods.	\$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article.  UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open access journals, use the box to the right.	# Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21  NOTES: Data through March 31, 2014
						cover a maximum of	

Sponsor	Value	Date Established	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			\$1500 for any one article in a hybrid open access journal.	
<u>UC Merced</u>	\$15,000	January, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	- UC Merced faculty  - UC Merced lecturers and others with academic appointments  - Currently enrolled UC Merced graduate students	Only fully open access journals (immediately available with no embargo period) listed in the <u>Directory of Open Access Journals</u> will be funded.	Article Publication Charges (APCs) and Open Access Fees (OA Fees) up to \$3,000/article.	# Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12  NOTES: Data through March 31, 2014
				Priority will be given to articles with multiple authors from UC Merced, and those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are not eligible.			
<u>UC Riverside</u>		March, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Riverside faculty, post-doctoral scholars, researchers and graduate students	Funds are available to pay open access fees and article publication charges for fully open access journals:  •Fees to publish in journals in which all articles are immediately available open access  •Articles must be made freely available at the time of initial publication, with no	UR-OAF provides UC Riverside authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are published as open access).	# Articles Approved: 5 # Articles Reimbursed: 4 # Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7  NOTES: Data through March 31, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					embargo periods.	There is a cap of one article per author	
					Articles published in "hybrid	per year. The	
					open access journals" in	person who	
					which only some articles are	completes the	
					open access and a	application form will	
					publication fee is usually paid	be considered the	
					to the publisher do not	applicant for that	
					qualify for these funds.	article.	
UC San Diego	\$20,000	October,	The Fund is a	UC San Diego faculty,	Funds are available to pay	UC San Diego Open	# Articles Approved: 41
		2012	pilot that will	post-docs, residents,	open access fees and article	Access Fund Pilot	# Articles Reimbursed: 36
			be re-evaluated	fellows, and graduate	publication charges for fully	funds will cover a	# Unique Submitting Authors: 60
			at the end of	students and other	open access journals:	maximum of \$1000	# Unique Departments: 23
			2014/15	affiliates are eligible		for any one article in	# Unique Journals: 35
			academic year.	to apply for funds.	- Fees to publish in journals	a fully open access	# Unique Publishers: 20
					in which all articles are	journal - journals in	
					immediately available open	which all articles are	NOTES: Data through March 31, 2014
					access	published open	
						access.	
					- Articles must be made		
					freely available at the time of	\$1000 is the	
					initial publication, with no	maximum any one	
					embargo periods.	applicant may be	
						awarded in one	
					(Funds from the pilot are not	year. The person	
					available to cover color	who completes the	
					charges, page charges,	application form will	
					illustration charges, or	be considered the	
					submission charges.)	applicant for that	
						article.	
UC San Francisco	\$20,000	December	The Fund is a	Any current UCSF	Publications must be made	Open access journal	# Articles Approved: 26
		2012	pilot that will	faculty (salaried),	freely available at the time of	articles processing	# Articles Reimbursed: 22
			be re-evaluated	post-doc, fellow,	initial publication. Embargo	charges (APCs):	# Unique Submitting Authors: 39
			at the end of	resident, graduate	periods for open access will		# Unique Departments: 20
			2014/15	student, or	not be covered. OA articles	- Up to \$2,000 per	# Unique Journals: 28
			academic year.	professional student	may be either in Fully OA	article in a fully OA	# Unique Publishers: 15
			•	(SOD, SOM, SON,	journals or in "hybrid" OA	journal.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
		Established	Any	SOP) may apply for funds. Faculty without salary are not eligible. Because we have a limited amount of funds for this pilot, we ask that you only apply for funds if you have no alternative funding source such as grant or departmental funds to cover the open access (OA) publishing fee.	journals - subscription journals whereby authors pay a fee to make their article OA (often referred to as "open choice").  - Journal articles must be published in a peer- reviewed, scholarly journal.  - OA journals must be listed in the Directory of Open Access Journals.  - The journal must apply policies and practices consistent with the OAPSA's Code of Conduct.  - Books must be peer- reviewed.  - Theses and dissertations must be associated with the	- Up to \$1,000 per article in a hybrid OA journal.  Open access books: - Contact the Library for more information about fees.  Open access to your individual electronic thesis or dissertation in Proquest's database - \$95 (fee for one ETD).  A maximum of \$2,000 per individual and \$4,000 per lab will be reimbursed for the duration of the pilot.	NOTES: Data through March 31, 2014
					UCSF Graduate Division.		
UC Santa Barbara	\$30,000	Fall, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UCSB faculty members, post- doctoral scholars, graduate students, researchers, lecturers, scientists, librarians, and other non-Senate academic	- Fully open access journals  - fees to publish in journals in which all articles are immediately available open access.  - Hybrid journals	The fund will pay up to \$3,000 per publication and has a cap of one publication per author per year. This is a pilot program and is	# Articles Approved: 19 # Articles Reimbursed: 11 # Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals:14 # Unique Publishers: 10  NOTES: Data through March 31, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				appointees are	(subscription journals with an	subject to funding	
				eligible to participate	open access option) which	restraints.	
				in the pilot. We	offer publication fee		
				request that authors	discounts to UC authors.		
				with funding from			
				external grants or	- Open access monographs -		
				contracts not utilize	fees for publishing open		
				pilot project funds in	access ebooks with reputable		
				order to allow others	publishers.		
				to share in the			
				benefit of open	- Open data archives - fees		
				publications.	charged by a data repository		
					for archiving open access		
					data.		
					- Open access conference		
					proceedings - fees to publish		
					papers in fully open access		
					conference proceedings.		
					Funds are for unpublished		
					research. Publications must		
					be made freely available at		
					the time of initial publication		
					with no embargo periods.		
					Funds from the pilot are not		
					available to cover color		
					charges, page charges,		
					illustration charges, or		
					submisson charges. As with		
					all journals, authors are		
					encouraged to publish their		
					research results in high		
					quality publications.		
UC Santa Cruz	\$15,000	Fall, 2012	The Fund is a	UC Santa Cruz	Article publication charges	UCSC Open Access	# Articles Approved: 23
			pilot that will	faculty, researchers,	(APCs) or Open Access Fees	Fund will cover a	# Articles Reimbursed: 15
			be re-evaluated	lecturers, post-docs,	(OA Fees):	maximum of \$3000	# Unique Submitting Authors: 31

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
			at the end of	and currently	- for previously unpublished	for any one article in	# Unique Departments: 13
			2014/15	enrolled graduate	articles	a fully open access	# Unique Journals: 14
			academic year.	students who do not		journal - journals in	# Unique Publishers: 10
				have grant funds that	- in fully open access journals	which all articles are	-
				can be used for open	(in which all articles are	published open	NOTES: Data through March 31, 2014
				access charges are	available open access) or in	access.	_
				eligible to apply for	hybrid open access journals		
				funds from the UCSC	(in which the default is for	The pilot Fund will	
				Open Access Fund	articles to only be available	cover a maximum of	
				pilot.	to subscribers, but authors	\$1500 for any one	
					may pay to make individual	article in a hybrid	
					articles open access);	open access journal.	
						Hybrid journals	
					- where articles are made	charge readers a	
					immediately available, with	subscription fee, but	
					no embargo period.	allow authors to pay	
						a fee to make a	
						single article open	
						access.	
						\$3000 is the	
						maximum any one	
						applicant may be	
						awarded in one	
						year. The person	
						who completes the	
						application form will	
						be considered the	
						applicant for that	
						article.	
University of	The fund was	September,		Current CU-Boulder	Any journal that provides	This fund supports	# Articles Approved: 23
Colorado Boulder	instituted as	2012		faculty, staff, and	free, immediate, online	open access to	# Articles Reimbursed: 23
<u>Libraries</u>	a pilot in			students may request	access to the full text of all	research articles	# Unique Submitting Authors: 21
	2012 with an			funding up to \$2,000	research articles and follows	where publication	# Unique Departments: 17
	initial			per year to pay for	accepted best practices for	fees are not covered	# Unique Journals: 17
	\$20,000. An			article-processing or	open access publishing is	by grants or other	# Unique Publishers: 11
	additional			publishing fees for	eligible. This includes, but is	funding sources.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	\$34,000 has been added to the fund since then.	Established	Ally	full open access journals.	not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be	Authors are encouraged to include publication fees in grant	NOTES: Through June 30, 2014
					compliant with the Open Access Scholarly Publishers Association's Code of Conduct.	requests whenever possible.  Funding is limited to	
					"Hybrid" open access journals that provide free	\$2,000 per article regardless of the number of authors.	
					online access for only some articles will not be eligible for funding.		
University of Florida Provost's Office	\$120,000	July 1, 2010	Funds were exhausted in January, 2013. Replenishment is presently under discussion.	All University of Florida faculty, post-doctoral researchers, staff members, and students.	Publication fees in openaccess journals that provide free, immediate, online access to the full text of research articles without restrictions.  Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct.	* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions.  * Up to \$1,500 per article will be provided to pay for selecting a paid	# Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40  NOTES: Through January 15, 2013; Fund is longer active
					A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher.	open access option from a hybrid publisher.  * UFOAP funds are intended to support open access	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						to research articles	
						where publication	
						fees are not covered	
						by grants or other	
						funding sources. In	
						order to encourage	
						inclusion of	
						publication fees in	
						future grant	
						applications, articles	
						resulting from	
						awarded grants that	
						allow for budgeting	
						of article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment of	
						the UFOAP, July 1,	
						2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF authors,	
						each may apply for	
						\$750. For fees	
						exceeding the	
						\$3,000 cap per	
						article, each	
						UF author may apply	
						only for his/her	
						prorated portion not	
						to exceed the	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
						\$3,000 yearly cap	
						for each researcher,	
						or \$1500 per article	
						for paid-access.	
<u>University of Iowa</u>	\$50,000,	April, 2013		Any University of	Funding is available only for	Up to \$3,000 for full	# Articles Approved: 54
<u>Libraries</u>	with support			Iowa faculty member	open access fees, not page	open access	# Articles Reimbursed: 41
	from the			(including research	charges, etc. Articles must be	publications; \$1,500	# Unique Submitting Authors: 45
	Office of the			/clinical faculty),	published in full open access	for hybrid open	# Unique Departments: 27
	Provost			researcher, graduate	journals, which allow	access publications.	# Unique Journals: 38
				or professional	immediate, free access to all		# Unique Publishers: 19
				student is eligible,	their articles upon		
				but except under	publication. The journal title		NOTES: Through June 30, 2014
				extraordinary	must appear in the Directory		
				circumstances,	of Open Access Journals or		
				undergraduate	the publisher must be a		
				students are not.	member of the Open Access		
					Scholarly Publishers		
					Association. Alternatively,		
					funding will be considered		
					for articles published in		
					hybrid journals, provided the		
					publisher appears in the		
					Sherpa/RoMEO list of		
					Publishers with Paid Open		
					Access Options, or is a		
					member of the Open Access		
					Scholarly Publishers		
					Association.		
University of Kansas	\$50,000,	October,	Two year pilot	Faculty, graduate	Submitted-for-publication or	Funding covers costs	# Articles Approved: 44
Libraries	with support	2012	, ,	students, post-docs,	accepted-for-publication	of article processing	# Articles Reimbursed: 35
	from the			and staff on the	peer-reviewed articles in	fees only (no page	# Unique Submitting Authors: 71
	University of			Lawrence and Kansas	open access journals. An	or color charges), up	# Unique Departments: 35
	Kansas			City campuses during	"open access journal" is a	to \$2000 per article.	# Unique Journals: 52
	Provost, The			year one.	journal listed in the Directory	Funds will not be	# Unique Publishers: 23
	University of			,	of Open Access Journals and	encumbered for	,
	Kansas				published by a publisher who	articles that are still	NOTES: Through June 30, 2014
	Medical				holds membership in the	being written.	,

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Center Executive Vice Chancellor, and the Offices of Research at The University of Kansas and University of Kansas Medical Center		,		Open Access Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	Already-published articles are ineligible.	
University of Maryland Libraries	\$10,000 initially; additional \$7,500 added mid- year	September, 2013		Any UMD faculty member, post-doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes:  - All journals in the Directory of Open Access Journals that allow authors to retain distribution rights  - Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the Code of Conduct  - Journals that have publicly available a standard article fee schedule	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	# Articles Approved: 13 # Articles Reimbursed: 13 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 8  NOTES: Through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					- Journals that have a policy to substantially waive fees in case of economic hardship		
University of Massachusetts Amherst	\$25,000	July, 2014					# Articles Approved: N/A # Articles Reimbursed: N/A # Unique Submitting Authors: N/A # Unique Departments: N/A # Unique Journals: N/A # Unique Publishers: N/A NOTES: Fund launched July 1, 2014
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012. It is no longer operating.	All University of Michigan faculty, post-doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work:  *where the author retains copyright.  *which will appear in fully open journals, and is accessible immediately upon publication.  *for which grant funding could not be applied to publishing costs.  *that the author commits to depositing in open access repositories (e.g. Deep Blue).	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria:  *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee.  *Publish in fully open journals: An open journal is one	# Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30  NOTES: Through September 15, 2012; Fund is no longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					publication fee is less than	reviewed content is	
					\$1500.	available freely to	
						readers. If the work	
						will appear in a	
						"hybrid" journal —	
						one for which some	
						of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	
						publication: If access	
						to the published	
						article is not	
						immediate we will	
						fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. If	
						the publisher will	
						delay access longer	
						than 12 months, we	
						will not provide any	
						funding.	
						* Use grant	
						funding wherever	
						possible: If your	
						grant funding could	
						have been applied	
						to publishing	
						charges, we will	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	_
						fund the lesser of	
						\$1500 or 50% of the	
						publisher's fee. We	
						will only do this	
						once for an	
						author/group of	
						authors, since such	
						fees should be	
						included in future	
						grant applications.	
University of	\$20,000	Spring,		Any University of	- Funds are available for	No limit for full OA	
Minnesota Libraries	annually,	2012		Minnesota faculty,	peer-reviewed journal	articles. "Hybrid"	
	with funds			researchers, post-	articles, scholarly	journals will be	
	from the			docs, graduate	monographs, conference	covered up to 50%.	
	budget of			students, or staff	proceedings, and data sets.		
	the				- Funds may be used only to		
	University				cover open access		
	Libraries and				publication and submission		
	the Office of				fees.		
	the Vice				- Author fees for open access		
	President of				journals (as determined by		
	Research				listing in the Directory of		
					Open Access Journals,		
					membership in the Open		
					Access Scholarly Publishers		
					Association, or adherence to		
					Open Access Scholarly		
					Publishers Association Code		
					of Conduct) will be covered		
					in full. Author fees for		
					"hybrid" journals will be		
					covered up to 50%.		
					- Funds may not be used for		
					publications that do not		
					make works fully openly		
					available immediately upon		
					publication.		

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University Library	March 2005	Any	UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of Minnesota's institutional repository) or a recognized discipline repository (e.g., arXiv, AgEcon, PubMedCentral, etc.)  Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	- Award maximum is \$1000 per article Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund.	# Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19  NOTES: Data through July, 2013; Fund is no longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University Libraries University of North Carolina at Greensboro	\$11,500	Fall 2011	The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development.	Full-time faculty, full- time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer-reviewed open-access journal.	- Reimbursement will not exceed \$1,000 per article.  - Reimbursement will be limited to one award per fiscal-year per author.	# Articles Approved: 14 # Articles Reimbursed: 14 # Unique Submitting Authors: 14 # Unique Departments: 9 # Unique Journals: 9 # Unique Publishers: 9  NOTES: Through June 30, 2014
						- Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.	
University of Oklahoma - Norman	\$20,000 annually	Late 2013		Any current University of Oklahoma-Norman regular faculty member, post- doctoral researcher, staff member or student author. Preference is given to authors who have not been previously funded.	Article-processing fees for scholarly, peer reviewed articles in true open access journals, listed in the Directory of Open Access Journals. Publisher must be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Articles with a hybrid or delayed OA model are not eligible through this fund.* The journal must have a publicly available standard article fee schedule published and should have a policy to waive fees in case of economic	Authors may receive funding up to \$1,500 per fiscal year for publishing and processing fees, including open access pages charges; unused amounts will not roll over to future years.  Authors agree to retain their copyright and to post a copy of their work in the University's institutional	# Articles Approved: 5 # Articles Reimbursed: 3 # Unique Submitting Authors: 5 # Unique Departments: 4 # Unique Journals: 5 # Unique Publishers: 5  NOTES: Data through June 30, 2014

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,		hardship.	repository, SHAREOK.	
University of Oregon Libraries, Office of the Provost	Up to \$50,000	Spring 2009	December, 2013; currently under evaluation for additional funding	- Applicant must be a UO tenure-related faculty member, non- tenure-related career faculty member (including ranked officers of instruction, research, or administration), post-doc, or currently enrolled graduate student ready to submit a completed article for publication.  - Applicant must be listed as one of the authors, and article	Reimbursement will cover only direct costs for open access publication (not the cost of reprints, color illustration fees, non-OA page charges, web hosting for self-archiving, etc.). Manuscript must be a peerreviewed scholarly article or monograph (including original research, review articles, etc.). Funding is available for publication in open access peer reviewed scholarly journals that charge a publication fee. Funding is also available for peer reviewed scholarly monographs. Articles must	- Up to 100% of author fee for publishing a peer-reviewed manuscript in an open access journal. Maximum reimbursement will be \$1,000 per article.  - Maximum reimbursement will be \$3,000 per person over the period of this pilot project. Reimbursement will cover only direct	# Articles Approved: 45 # Articles Reimbursed: 41 # Unique Submitting Authors: 31 # Unique Departments: 9 # Unique Journals: 21 # Unique Publishers: 12  NOTES: Through July 31, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
				must indicate UO	be made freely available on	costs for open	
				affiliation.	the web at the time of initial	access publication	
					publication (no embargo	(not the cost of	
					periods). At this time	reprints, color	
					funding is not available for	illustration fees,	
					articles published using an	non-OA page	
					"open choice" option in	charges, web	
					journals that are primarily	hosting for self-	
					subscription based rather	archiving, etc.)	
					than open access.		
						- Reimbursement	
					Journals listed in the	will be available only	
					Directory of Open Access	in cases where the	
					Journals	authors do not have	
					(http://www.doaj.org/doaj)	adequate grant or	
					are assumed to qualify. For	contract funding	
					publication in a journal not	specifically	
					listed there, additional	designated to cover	
					information to allow	author fees.	
					assessment of whether the	Authors with	
					journal meets our criteria will	funding designated	
					be required as part of the	to cover publication	
					application process.	costs such as author	
						fees from grants,	
						contracts, or other	
						institutional funds	
						are asked to use	
						those funds first and	
						to allow others to	
						share in the benefit	
						of open	
						publications.	
University of Ottawa	\$100,000	lanuary	The Author	The Fund is open to	Eligible open access journals	The Fund covers	# Articles Approved: 580
Oniversity of OttaWa	· ·	January,		· ·	must be listed in the		# Articles Approved: 580 # Articles Reimbursed: 474
	(CAD), with	2010	Fund working	all full-time and part-		only the costs and	
	financial	1	group (whose	time faculty	Directory of Open Access	fees associated with	# Unique Submitting Authors: 361

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
	support from		members	members, staff,	Journals or meet the DOAJ's	open access	# Unique Departments: 59
	the		represent the	currently registered	selection criteria. Eligible	publishing. Costs for	# Unique Journals: 313
	University,		Office of the	graduate students,	hybrid and traditional	reprints, color	# Unique Publishers: 74
	the Library		Vice-President,	postdoctoral fellows	journals must make articles	illustration fees,	
	and most		Research, the	and adjunct	available immediately and	non-open access	NOTES: Through June 30, 2014
	Faculties.		Library and all	professors affiliated	allow self-archiving of the	page charges,	
			contributing	with the University of	publisher PDF immediately	administrative	
			faculties) will	Ottawa. Researchers	upon publication (no	charges and other	
			evaluate this	working for	embargo period imposed).	fees are not	
			initiative	University of Ottawa	The fee schedule must be	applicable.	
			periodically,	Research Centres and	publicly available online. The	Researchers are	
			and	Institutes, and	Fund also covers open access	entitled to apply for	
			recommend	Affiliated Research	books.	two (2)	
			any changes to	Institutes are also		reimbursements per	
			the Fund's	eligible.		fiscal year.	
			criteria and				
			administration			The Fund will	
			procedures,			reimburse open	
			when			access book fees up	
			appropriate.			to a maximum of	
						\$2,500 per	
						publication.	
						Applicants must first	
						use funds received	
						through other	
						research grants that	
						are intended to	
						cover publication or	
						submission fees.	
						Should the grants	
						not allocate	
						sufficient funds to	
						cover such costs, an	
						application can be	
						submitted to this	
						Fund.	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
	A1 1: ::	Established	Any	5 1 "11	-1 6 11: .:	Levels	# A .: 1 A
<u>University of</u>	No limit	July 1,	None	Funds are available	The venue of publication	No limit on per-	# Articles Approved: 121
Pittsburgh Library		2012		for faculty, staff,	must be an established open-	article	# Articles Reimbursed: 121
<u>System</u>				postdoctoral	access journal, that is, a	reimbursement	# Unique Submitting Authors: 113
				associates, and	journal that does not charge	fees. Reimbursable	# Unique Departments: 61
				students served by	readers or their institutions	article processing	# Unique Journals: 75
				the ULS and the	for unfettered access to the	fees may include	# Unique Publishers: 4
				BARCO Law Library.	peer-reviewed articles that it	publication fees	
				This includes those at	publishes. To be eligible, a	(charges levied on	
				all of the University	journal must meet these	articles accepted for	NOTES: Through June 30, 2014
				of Pittsburgh schools	additional requirements:	publication,	
				except the six schools		including page	
				in the health	Be listed in the Directory of	charges). Eligible	
				sciences: Dental	Open Access Journals (unless	fees must be based	
				Medicine, Health and	the journal is too new for	on a publication's	
				Rehabilitation	DOAJ eligibility) OR	standard fee	
				Sciences, Nursing,		schedule that is	
				Medicine, Pharmacy,	Be a member of the Open	independent of the	
				and Public Health.	Access Scholarly Publishers	author's institution.	
					Association or adhere to its	Reprint fees are not	
					Code of Conduct,	eligible.	
					Have publicly available a	Articles for which	
					standard article fee schedule.	alternative funding	
					Standard article ree scriedale.	is available are not	
					The ULS is an institutional	eligible for	
					member of Hindawi	reimbursement. This	
					Publishing Corporation,	includes articles	
					which publishes over 300	reporting research	
					Open Access journals in a	funded by a gift or a	
					, ,	grant from a	
					variety of disciplines. The	0	
					ULS' membership allows for	granting agency,	
					all authors served by the ULS	foundation, or other	
					who publish in Hindawi	institution (including	
					journals to do so free of	the University of	
					author charges. There is no	Pittsburgh itself)	
					need for authors to apply to	that allows granted	
					the ULS for publication funds.	funds to be used for	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
						article processing	
					Journals with a hybrid open-	fees (whether or not	
					access model or delayed	the particular grant	
					open-access model are not	had budgeted for	
					eligible.	such fees and	
						whether or not	
						sufficient grant	
						funds remain) and	
						articles funded by	
						an institution that	
						itself pays article	
						processing fees on	
						behalf of the author	
						(such as NIH).	
<u>University of Rhode</u>	\$15,000, split	January,	The Fund will	The URI OA Fund is	Eligible journals must:	The maximum dollar	# Articles Approved: 0
<u>Island</u>	between the	2014	be evaluated	available to full-time		amount that the URI	# Articles Reimbursed: 0
	Libraries and		after the 2014-	faculty of the	Be listed in the Directory of	OA Fund will	# Unique Submitting Authors: 4
	the Vice		2015 academic	University of Rhode	Open Access Journals.	reimburse for a	#Unique Departments: 3
	President for		year.	Island.		single article is	# Unique Journals: 3
	Research and				Be a member of the Open	\$3,000.	# Unique Publishers: 3
	Economic				Access Scholarly Publishers		
	Development				Association or adhere to its	The URI OA Fund is a	NOTES: Through June 30, 2014
					Code of Conduct.	limited resource	
					Durani da comunicata da casa a	intended to support	
					Provide unrestricted access	open access	
					to all peer-reviewed articles	publishing across	
					in the journal. (Subscription-	the university. We	
					based journals that charge a	expect researchers	
					fee, sometimes called an	to request funding	
					"author's choice" or "open	for open access	
					choice" fee, to make single	publication from	
					articles available open access	their funding agency	
					are not eligible, nor are subscription journals that	if they can do so. These funds are	
					make articles available open	intended to be a	
					access after a time delay or	funding source of	
						_	
		ĺ			"embargo.")	last resort and to	

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
University of Tennessee, Knoxville Office of Research and the University Libraries	\$107,000, split between Office of Research and the Libraries	October, 2008	Extends until funding is exhausted and will be evaluated	Any UTK faculty member, post-doctoral associate, or currently enrolled graduate student.	Have publicly available a standard article fee schedule Have a policy to waive fees in cases of economic hardship Article processing fees levied by peer-reviewed open access publishers such as the Public Library of Science and BioMedCentral Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods Hybrid journals that make only selected articles open access are not included in the pilot project.	support open access to articles whose research was not grant-funded.  Funds are awarded on a first-come, first-served basis to a maximum of \$3,000 per article.  - Authors are requested to negotiate lower publishing fees when possible.  -Authors with grant support to cover open access publishing are requested not to apply.	# Articles Approved: 117 # Articles Reimbursed: 116 # Unique Submitting Authors: 68 #Unique Departments: 31 # Unique Journals: 69 # Unique Publishers: 33  NOTES: Through June 30, 2014
University of Toronto Libraries	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012		The Fund is open to all full-time and part-time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto.	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible.	The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund.  Researchers who have received a research grant that explicitly includes publication or	# Articles Approved: 54 # Articles Reimbursed: 54 # Unique Submitting Authors: 49 #Unique Departments: 27 # Unique Journals: 20 # Unique Publishers: 16  NOTES: Through June 30, 2014

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
					will either be listed in the	submission support	
					Directory of Open	are required to use	
					Access Journals, be a	those funds before	
					member of the Open Access	applying to the	
					Scholarly Publishers	Open Access Author	
					Association or adhere to its	Fund.	
					Code of Conduct. Journals		
					must provide unfettered	Additionally, those	
					access to all peer-reviewed	faculty and	
					articles and make publicly	librarians who have	
					available a standard article	access to	
					fee schedule.	departmental or	
						PERA funds should	
					The library reserves the right	make use of these	
					to refuse a request if the	funds prior to	
					publisher appears to be a	making a request for	
					"predatory open access	reimbursement	
					publisher".	through the Open	
						Access Author Fund.	
						Fund recipients	
						must provide a copy	
						of their article/book	
						chapter for	
						submission to T-	
						Space, the	
						University's	
						Research	
						Repository.	
University of Utah	\$83,994	May, 2011	The Fund closed	University of Utah	a) Journals which are fully	The maximum dollar	# Articles Approved: 50
Library		,	in 2014.	faculty, staff	Open Access. Content of the	amount that the	# Articles Reimbursed: 37
				members, postdosc,	entire journal is freely	OAP Fund will	# Unique Submitting Authors: 47
				or currently enrolled	available online immediately	reimburse for a	# Unique Departments: 16
				graduate or	upon payment of the article	single article during	# Unique Journals: 33
				undergraduate	processing fee. Fully Open	the trial period is	# Unique Publishers: 26
				students on main	Access journals from	\$3,000. Researchers	
				(excluding law)	publishers who have few	are expected to	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of Wisconsin – Madison Libraries	\$50,000, supported by unrestricted gift funds to library	July 2005	January 31, 2014	- Any University of Wisconsin-Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author.	such journals are also eligible.  b) Hybrid journals which are not fully Open Access but which allow individual articles to be made freely available online immediately upon payment of the submission fee. To be eligible for funding in this category, the publisher must plan to make (in the next subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those journals.  - Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option.  - Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc.	request funding for open access publication from their funding agency before applying to the OAP Fund.  - Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals).  - Up to 30% for publishing in a journal that provides authors with an open access option.  - Reimbursement will not exceed \$1,500 per article.	# articles approved: 37 # articles reimbursed: 37 # unique submitting authors: 30 # unique departments: 21 # unique journals: 22 # unique publishers: 19  NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
						- Authors must	
						cover the balance of	
						the open access	
						charges through grants or other	
						funds.	
Utah State University	\$30,000	October,	All aspects of	Faculty and graduate	Peer reviewed journal	There is no limit on	# Articles Approved: 28
Libraries	\$30,000	2011	this program,	students	articles. Fully OA journals are	the per-article	# Articles Approved: 28
<u>Libraries</u>		2011	including the	Students	preferred, but consideration	reimbursement fee	# Unique Submitting Authors: 29
			amount of		will be given to hybrid	amount. Funds will	# Unique Departments: 12
			funding		options on a case by case	be available on a	# Unique Journals: 20
			committed to		basis. Preference will also be	first-come-first-	# Unique Publishers: 12
			the program,		given to those who are able	served basis during	" Offique i dolloners. 12
			will be analyzed		to demonstrate matching	the pilot phase.	NOTES: Through June 30, 2014
			and reviewed		funds from their department,	the phot phase.	110 123. 1111 ought value 30, 201 1
			on a regular		college, or an outside	Funding Preference	
			basis.		granting agency. Authors	will be given to:	
					must also deposit a copy of		
					the funded article in the USU	- Those who are able	
					DigitalCommons.	to demonstrate	
						matching funds	
						from their	
						department, college,	
						or an outside	
						granting agency.	
						Without a match,	
						full funding is not	
						guaranteed.	
						- Those who publish	
						in fully open access	
	4					journals	
Virginia Tech	\$50,000 for	August,		All faculty, staff,	Funds are available to	Support is limited to	# Articles Approved: 55
<u>Libraries</u>	FY 2014-15,	2012		graduate, and	underwrite article processing	\$1500.00 per article	# Articles Reimbursed: 46
	in			undergraduate	fees for scholarly peer-	and \$3000.00 per	# Unique Submitting Authors: 117
	partnership			students are eligible.	reviewed articles accepted	author per year. For	# Unique Departments: 38
	with the			1	for publication in an open	papers with multiple	# Unique Journals: 38

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
	Office of				access or hybrid OA journal.	Virginia Tech co-	# Unique Publishers: 22
	Senior Vice					authors, the	
	President				A journal's publisher must be	program would still	NOTES: Data through June 30, 2014
	and Provost				a member of the Open	subsidize the same	
	and the				Access Scholarly Publishers	total amount of up	
	Office of the				Association (OASPA) or	to \$1,500 per article	
	Vice				comply with OASPA's Code of	but it would be	
	President for				Conduct.	prorated among	
	Research					each of the authors.	
					For fully OA journals, the		
					journal must be listed in the	Authors must have	
					Directory of Open Access	no other sources of	
					Journals.	funding available.	
					For hybrid OA journals, the		
					publishers must have		
					reduced institutional		
					subscription prices, or plan to		
					reduce prices this next year,		
					based on the number of		
					open access publications in		
					the journals.		
Wake Forest	\$13,000	Early 2008	None, although	Available to WFU	Eligible publications include	- No limit on size of	# Articles Approved: 21
University Z. Smith	(library		program is	Reynolda campus	peer-reviewed journal	request faculty can	# Articles Reimbursed: 21
Reynolds Library	contribution		regularly	faculty.	articles and book chapters.	make.	# Unique Submitting Authors: 19
	is half of		assessed and				# Unique Departments: 11
	total fund)		evaluated	Authors who receive	Articles and book chapters		# Unique Journals: 20
				external funding	must be publicly available		# Unique Publishers: 15
				support that could be	immediately upon		
				used for publishing	publication.		NOTES: Data through June 30, 2014
				costs (e.g., NIH grants			
				or contract awards)	Articles and book chapters		
				are still eligible for	may be published in either		
				WFU funds, but	Open Access or hybrid		
				must use all available	journals (traditional journals		
				external funds before	that offer a per-article Open		
				seeking WFU funds.	Access option for an		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,		additional fee).		
					Full OA journal publishers must either be listed in the DOAJ: Directory of Open Access Journals (http://www.doaj.org) or be members of the Open Access Scholarly Publishers Association (http://oaspa.org).		
					Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive, WakeSpace.		