OPEN ACCESS FUNDS IN ACTION

SPARC*

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
Boston College University Libraries	No set amount	Established February, 2014		All Boston College faculty, researchers and currently enrolled students are eligible to apply for funding.	Peer-reviewed articles published in fully open access journals and accessible immediately on publication are eligible. The Directory of Open Access Journals and the Open Access Scholarly Publishers Association will be used to vet the journals' quality and open access credentials, as will articles in the academic press about predatory practices. "Hybrid" open access journals, are not eligible.	 Authors who have external funding which can be used for publishing fees are not eligible. The annual funding limit for each author will be \$3000. Authors who 	# Articles Approved: 39 # Articles Reimbursed: 39 # Unique Submitting Authors: 24 # Unique Departments: 8 # Unique Journals: 22 # Unique Publishers: 13 NOTES: Through June 30, 2017
Brandeis University Library & Technology Services	\$25,000	October, 2013		LTS funding is available to faculty, staff and students. Only one author per article may apply.	Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: - Members of the Open Access Scholarly Publishing Association (OASPA) - All Journals in the Directory of Open Access Journals that allow author to retain distribution rights. - Hybrid journals that allow authors to retain distribution rights.	receive OA funding must also deposit the article in eScholarship@BC. In order to include as many authors as possible while covering typical costs LTS will support 100% of the supported charges with a limit of \$3000.00 Only those fees associated with open access publishing are eligible for	 # Articles Approved: 18 # Articles Reimbursed: 16 # Unique Submitting Authors: 13 # Unique Departments: 9 # Unique Journals: 14 # Unique Publishers: 8 NOTES: Through June 30, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Brock University Library	\$10,000	October, 2011	One-time fund established as part of OA Week 2011; renewed for an additional year in 2012	The fund is open to Brock Faculty, Librarians, Staff, Graduate Students, Postdoctoral Fellows.	The grant covers only the fees associated with open access publishing [costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable.] Articles eligible for this grant are: peer-reviewed articles that have been accepted for publication in either a fully OA journal.	support. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not supported. Articles whose underlying research was funded by grants that allow funds to be used for open access fees are not eligible for funding. Up to \$2,500 per article. Authors must affirm that they have not received funding or have received insufficient funding to cover OA dissemination costs. Each eligible applicant is entitled to one grant.	# Articles Approved: 37 # Articles Reimbursed: 27 # Unique Submitting Authors: 34 # Unique Departments: 11 # Unique Journals: 32 # Unique Publishers: 19 NOTES: Through June 30, 2017
Carleton Library/Office of the Vice President (Research & International)	\$50,000 in combined contributions from the Library and	May 1, 20012	The pilot project will be evaluated in relation to its contribution to	All Carleton University faculty, post- doctoral fellows,	Articles accepted for publication after March 1, 2012 are eligible for this program. These must be peer-reviewed articles that have been accepted for publication in journals which are fully "Open Access". That is, all of	\$2500 per article for fully Open Access journals and a cap on any one author of	# Articles Approved: 48 # Articles Reimbursed: 48 # Unique Submitting Authors: 35 # Unique Departments: 12

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 10, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
	the Office of VP Research; the Library has committed an additional \$25,000 as of summer, 2015	Established	Carleton's strategic, academic and research plans. Continuation of this initiative depends on the outcome of the pilot and the availability of sustainable base funding.	graduate students and full-time professional staff who are named as an author of a research article and who have the lead responsibility for submitting this article to a peer-reviewed Open Access journal or conference proceedings are eligible to apply for funding. Funding will not be made available if any of the article are eligible for complete reimbursement of the article processing fees for this article through grants from a foundation, granting agency, or other	the content of the entire journal is freely and globally available online immediately upon payment of the article processing fee, with minimal or limited copyright restrictions. Open Access articles are freely available for redistribution and reuse which means that anyone who has access to the internet may read, download, copy and distribute that article. In addition, to be eligible, authors must be publishing in a journal which: is listed in the Directory of Open Access Journals is a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct has a publicly available standard fee schedule has a policy to substantially waive fees in case of economic hardship	\$5000 per year.	# Unique Journals: 28 # Unique Publishers: 20 NOTES: Through June 30, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				including Carleton.			
Carnegie Mellon University Libraries	Open-ended, with \$45,131 disbursed through June, 2015	May, 2013		Carnegie Mellon faculty, research scientists, post- docs, and graduate students are eligible if (a) they are the corresponding author on the article and (b) they have no money from funders that allow grant funds to be used for Article Processing Charges (APCs).	 The publisher of the journal is a member of the Open Access Scholarly Publishers Association (OASPA) or adheres to the OASPA Code of Conduct. The publisher does not appear on Beall's List of Predatory Open Access Publishers. OR The journal is a hybrid journal with a publicly available policy that clearly describes the transition strategy for changing the business model from subscription to open access. To date, only hybrid journals published by the Association for Computing Machinery (ACM) qualify for APC funding. 	Maximum funding per article is \$1500. Maximum funding per author per fiscal year is \$3000. Unused amounts do not roll over to the next year.	 # Articles Approved: 30 # Articles Reimbursed: 30 # Unique Submitting Authors: 25 # Unique Departments: 13 # Unique Journals: 20 # Unique Publishers: 13 NOTES: Through June 30, 2015
<u>Colorado State</u> <u>University Libraries</u>	\$45,000 annually	December, 2012		CSU tenured/tenure track faculty	Journals should be listed in the DOAJ: Directory of Open Access Journals (www.doaj.org) and must NOT be listed on Beall's List of Predatory Open Access Publishers (scholarlyoa.com/publishers). In cases where a journal does not meet these criteria, authors will be asked to briefly explain why the journal is an appropriate venue, given one's discipline. Within six months of publication, the author must deposit the article into CSU's digital repository	Authors will be eligible for up to \$2,000 of support per year for one article. Authors publishing in a journal that provides authors with an open access article option ("hybrid") will be eligible for up to \$1,250 per year for one article.	 # Articles Approved: 185 # Articles Reimbursed: 92 # Unique Submitting Authors: 143 # Unique Departments: 34 # Unique Journals: 108 # Unique Publishers: 39 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Columbia University Libraries/Information Services	Up to \$25,000 for fiscal year 2010; up to \$50,000 for fiscal year 2011	January 2010	All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	Any Columbia faculty member, post-doctoral researcher, staff member, or student author. Not currently available to Columbia affiliates including Barnard College, Teachers College, or Union Theological Seminary.	Columbia Open-Access Publication (COAP) funds apply to article-processing fees for scholarly peer-reviewed articles. Only articles that are published in open-access journals are eligible. Journals with a hybrid open-access model or delayed open-access model are not eligible. Articles submitted for publication after January 1, 2010 are eligible for this program.	COAP funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant funded. Articles for which other publication funding is available are not eligible for COAP funds. This includes: Articles reporting on grant-funded research where the granted funds can be used for publication fees (whether or not the particular grant had budgeted for such fees and whether or not sufficient grant funds remain); Articles funded by an institution that itself pays publication fees on	 # Articles Approved: 109* # Articles Reimbursed: 90 # Unique Submitting Authors: 243 # Unique Departments: 54 # Unique Journals: 59 # Unique Publishers: 21 *The cumulative number of articles approved reflects a downward revision to the FY14–15 figure previously reported, based on a review and correction of the data. NOTES: Through June 30, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						author (such as	
						Wellcome Trust).	
						Authors may	
						receive funding for	
						up to	
						\$3,000 per year for	
						all	
						article-processing	
						charges. Unused	
						amounts do not	
						roll over to future	
						years. The most an	
						author	
						can request for a	
						single article is also	
						\$3,000.	
						In the case of an	
						article with	
						multiple	
						authors, each	
						eligible author can	
						apply for	
						reimbursement for	
						a prorated portion	
						of the publication	
						fee. An example:	
						An article with	
						three authors, two	
						of whom are from	
						Columbia, is to	
						appear in a journal	
						with a \$3,000	
						publication fee.	
						Each Columbia	
						author	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Concordia University Libraries/Office of Research	\$40,000, including strategic funding from the Office of the President	June, 2011		The Fund is open to all full- time and part- time faculty members, staff, currently registered graduate students, and postdoctoral fellows affiliated with Concordia. The request for reimbursement must be made by the corresponding author of the article.	The article must be published in a fully Open Access journal. At this time, traditional subscription-based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship. The Fund covers only the costs and fees associated with Open Access publishing. Costs for reprints, color illustration fees, non-open access page charges and other fees are not eligible for reimbursement.	may apply for reimbursement for \$1,000. In the hypothetical case of an eligible journal with a \$6,000 publication fee, each Columbia author could apply for reimbursement for a prorated portion of the \$3,000 funding cap per article, or \$1,000. The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who receive research funds that explicitly include publication or submission support will be required to use those funds before applying to the Open Access Author Fund. Fund recipients must enable the	# Articles Approved: 102 # Articles Reimbursed: 102 # Unique Submitting Authors: 58 # Unique Departments: 17 # Unique Journals: 53 # Unique Publishers: 27 NOTES: Data through April 30, 2017

Sponsor Va		Date stablished	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Cornell University \$50,00	000, Sep support 200 the ost's	ptember, 009	Date Limit, If Any All aspects of this program, including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis. If the pilot project proves to be valuable to scholarly communication, COAP may receive continued funding. \$50,000 was added to the fund in July, 2014	Who is Eligible? Available to any Cornell faculty, post-doctoral researcher, staff member, or student author affiliated with Cornell's Ithaca campus.	What is Eligible? Publication and processing fees for scholarly peer-reviewed articles in open-access journals meeting these requirements: * Listed in the Directory of Open Access Journals * Member of the Open Access Scholarly Publishers Association or adherent to its Code of Conduct * Provide unfettered access to all peer-reviewed articles (journals with a hybrid open-access model or delayed open-access model are not eligible) * Publicly available standard article fee schedule. * Policy to waive fees in case of economic hardship. COAP funds may also be applied to publication and processing fees for book chapters and books.		<pre>Progress/Success To Date # Articles Approved: 152 # Articles Reimbursed: 152 # Unique Submitting Authors: 109 # Unique Departments: 42 # Unique Journals: 66 # Unique Publishers:24 NOTES: Through June 30, 2017</pre>
						up to \$3,000 per year for all submission and publication	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						charges.	
						Ū.	
						- Multiple	
						Authorship: In the	
						case of an article	
						with multiple	
						authors, each	
						author is	
						responsible for a	
						prorated portion of	
						any publishing	
						fees. For example,	
						for an article with	
						three authors that	
						is to appear in a	
						journal with a	
						\$3,000 publication	
						fee, each author is	
						responsible for	
						\$1,000 of that fee.	
						If two of the	
						authors are	
						Cornellians, each	
						may enter an	
						application for	
						\$1,000. If both	
						apply, \$2,000 will	
						be sent to the	
						journal. If the	
						journal has a	
						\$6,000 publication	
						fee, the \$3,000 per	
						article cap will	
						apply and each	
						Cornell author may	
						only apply for	
						\$1,000.	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Dartmouth College	N/A	Fall, 2009		Dartmouth faculty and graduate students.	Established, scholarly open access journals. Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available by open access are not eligible. In addition, eligible journals must: - Be listed in the Directory of Open Access Journals (www.doaj.org) - Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct - Make their standard fee schedules publicly accessible - By policy, waive their fees in cases of financial hardship	The limit for a single payment is set at \$3,000. Individuals may be supported up to \$3,000 per year in journal payments, in order to make funding available to the greatest number of authors. In the case of multiple authors, an individual author's support will be set at a prorated portion of the publication fee, which will be set by dividing the fee by the number	 # Articles Approved: 105 # Articles Reimbursed: 105 # Unique Submitting Authors: 72 # Unique Departments: 18 # Unique Journals: 70 # Unique Publishers: 32 NOTES: Data through June 30, 2017
Duke University Libraries, Duke University School of Medicine, and the Office of the Provost	\$35,000	September, 2010	Subject to periodic evaluation	Duke faculty members, post- doc researchers, and graduate or professional students	Articles accepted for publication in a peer- reviewed, open access publication, as determined by: * Listing in the Directory of Open Access Journals * Membership in the Open Access Scholarly Publishers Association * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct Only journals that are fully open access based on a published schedule of article	of authors. Individual authors may receive a maximum of \$3,000 reimbursement of article processing fees in an academic year, with a maximum per-article reimbursement of \$2,000. Unused funds do not roll over to future	 # Articles Approved: 234 # Articles Reimbursed: 171 # Unique Submitting Authors: 232 # Unique Departments: 42 # Unique Journals: 111 # Unique Publishers: 34 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					processing fees are eligible. The fund cannot be used to support "hybrid" open access publishing, where individual articles from journals that are generally subscription access only are made openly available when author fees are paid. Only journals that do not charge readers or institutions for access to peer-reviewed content are eligible.	years. When there are multiple eligible Duke authors for an article, each author is responsible for a prorated portion of article processing fees and can be reimbursed for that amount, up to the annual cap. Articles that are supported by a gift or grant from a foundation, institution or agency that allows granted funds to be used for article processing fees are not eligible for reimbursement from COAPE funds	
Emory University Libraries	\$25,000	September 1, 2012		Emory University faculty, post- docs, researchers and currently enrolled graduate and	The article or book must be published with an open access publisher that does not charge readers or institutions for access to the publication. To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher	Open access publishing funds are awarded on a first come, first serve basis to a maximum reimbursement of \$1,500.00 per	# Articles Approved: 110 # Articles Reimbursed 76 # Unique Submitting Authors 155 # Unique Departments 34 # Unique Journals 61 # Unique Publishers 18

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any	undergraduate students are eligible to apply for funds for open access fees for articles and books connected with their research activities at Emory. Preference is given to authors who have not been previously funded. In the case of an article or book with multiple authors, each author is responsible for a prorated portion of any publishing fees. Due to limited funds, any given author is limited to one fund	must be a member of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a hybrid model (some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the time of publication.	Levels article or book. Funds may be used for open access publishing and processing fees, including open access page charges. Funds may not be used for reprints, color illustration fees, non-OA page charges, permissions fees, web hosting for self-archiving, or other expenses not directly related to open access fees. Articles or books for which alternative funding is available are not eligible for reimbursement from this fund.	NOTES: Through June 30, 2017
<u>Florida State</u> <u>University Libraries</u>	\$25,000	December, 2013	June, 2017	reimbursement per fiscal year. Florida State University faculty, post- docs, researchers and	To be eligible, journal publishers must be listed in the Directory of Open Access Journals (DOAJ) and book publishers may be listed in the Directory of Open Access Books (DOAB). Also, a publisher must be a member	Maximum reimbursement is \$1,500.00 per article or book. Due to limited	# Articles Approved: 48 # Articles Reimbursed: 47 # Unique Submitting Authors: 42 # Unique Departments: 22

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				currently enrolled graduate and undergraduate students are eligible. Preference is given to authors who have not been previously funded.	of Open Access Scholarly Publisher's Association (OASPA) or meet its Code of Conduct. Journals or books with a "hybrid model" or delayed open access are not eligible for this fund.	funds, any given author is limited to one fund reimbursement per fiscal year. Any open access item published with support from this fund must also be submitted to DigiNole Commons.	 # Unique Journals: 27 # Unique Publishers: 16 NOTES: Through June 30, 2016. The fund was discontinued in 2017 and repurposed to focus on minigrants for OER and digital humanities.
George Mason University Libraries	\$25,000 annually	October 2012		All Mason faculty members, registered graduate students, and post-doctoral fellows are eligible.	The journal must: Be listed in the Directory of Open Access Journals Hold membership in the Open Access Scholarly Publishers Association or demonstrate its adherence to the OASPA Code of Conduct Publish its standard fee schedules, and Waive its article processing fee in cases of financial hardship, as evidenced by its public policy. Support for a hybrid journal open access fee will be considered if: the publisher is a non-profit society or institution, access to the journal's proprietary content is available only through subscription with the society or institution and not through a for-profit aggregator like Proquest, Ebsco, or Elsevier, the fee and its use are clearly indicated on the journal or publisher website, and	Individuals eligible to apply for publisher payment of an APF may receive up to \$3,000 per fiscal year, with a \$3,000 cap per article. Fees are pro-rated for multi-authored articles. That is, if more than one author from Mason applies for funding support for the same article, the article processing fee will be divided equally, up to the \$3,000/article cap.	 # Articles Approved: 114 # Articles Reimbursed: 114 # Unique Submitting Authors: 82* # Unique Departments: 28* # Unique Journals: 60* # Unique Publishers: 28* NOTES: Through June 30, 2017 * approximate

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Sponsor Grand Valley State University Libraries	Value \$35,000/yr		Date Limit, If Any The Fund will be reviewed annually adjustments made as necessary.	 Applicant must be a current GVSU tenure- track, visiting, affiliate and adjunct faculty, staff, or currently registered graduate student. Applicant must be listed as one of the authors, and article must indicate GVSU 	 the fee is waived for authors from developing nations or those with demonstrated financial hardship. - Funding is available for newly published (within the past six months), peer reviewed articles in open access or hybrid journals that charge a publication fee associated with the cost making an article freely available. - Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. - Articles must be made freely available on the web at the time of initial publication, with no embargo period. - Eligible journals must be listed in the Directory of Open Access and Hybrid 	Levels - There is no limit to the per article amount that can be funded, but an author may not receive more than \$3,000 in one year. - Authors with grant support or external funding to cover publishing fees are ineligible. - For articles with multiple authors, each author is	Progress/Success To Date # Articles Approved: 93 # Articles Reimbursed: 87 # Unique Submitting Authors: 88 # Unique Departments: 31 # Unique Journals: 80 # Unique Publishers: 32 NOTES: Through June 30, 2017
				affiliation.	Journals or meet the DOAJ's selection criteria. The Libraries has a selective list of open access and hybrid publisher fees for quick reference. - Eligible journals must make their fee schedule publicly available online.	responsible for a prorated portion of any publishing fees. For example, for an article with three authors that is to appear in a journal with a \$3,000 publication fee, each author is responsible for \$1,000 of that fee. If two of the authors are from GVSU, each may separately apply for \$1,000. If both	

Updated November 10, 2017

Page 14 of 52

SPARC – Open Access Funds in Action

https://sparcopen.org/our-work/oa-funds

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						apply, up to \$2,000	
						of the fees will be	
						reimbursed, with	
						\$1,000 being	
						applied to each	
						person's \$3,000	
						annual award limit.	
Harvard University	\$50,000	Fall, 2009	None	Funds are	- Reimbursable article-processing fees may	- \$3,000 per article	# Articles Approved: 138
Library/Office of				available for	include publication fees (charges levied on		# Articles Reimbursed: 116
Scholarly				researchers at	articles accepted for publication, including	- There is no limit	# Unique Submitting
Communication				Harvard schools	page charges), and submission fees (charges	on the number of	Authors: 126
				that have	levied on articles submitted for publication).	articles	# Unique Departments: 43
				instituted an	Eligible fees must be based on a publication's	reimbursed, except	# Unique Journals: 62
				open-access	standard fee schedule that is independent of	that authors may	# Unique Publishers: 26
				policy.	the author's institution.	receive	
				Currently, these		reimbursement for	NOTES: Data through June
				are: FAS	- Articles for which alternative funding is	up to a total of	30, 2017
				(including	available are not eligible for reimbursement.	\$3,000 per	
				SEAS), HLS, HKS,		academic year for	
				HGSE. Faculty,	- The venue of publication must be an	all article	
				researchers,	established open-access journal, that is, a	processing fees.	
				staff, and	journal that does not charge readers or their	Reimbursement	
				students may	institutions for unfettered access to the	can cover 100% of	
				request	peer-reviewed articles that it publishes.	fees up to the cap.	
				reimbursement	Journals with a hybrid open-access model or	Unused amounts	
				for articles	delayed open-access model are not eligible.	do not roll over to	
				connected with	To be eligible, a journal must meet these	future years.	
				their research	additional requirements:	Exceptions to the	
				activities at		\$3,000 cap may be	
				these schools.	* Be listed in the Directory of Open Access	made based on	
					Journals,	availability of	
				- All authors	* Be a member of the Open Access	funds.	
				agree to post a	Scholarly Publishers Association or adhere to		
				copy of their	its Code of Conduct,	- In the case of an	
				article in the	* Have publicly available a standard article	article with	
				institutional	fee schedule, and	multiple authors,	
				repository.	* Have a policy to substantially waive fees	each author is	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					in case of economic hardship.	responsible for a prorated portion of any publishing fees.	
Indiana University- Purdue University Indianapolis (IUPUI) Library	\$47,000 initially, with support from the IUPUI University Library, the Office of the Vice Chancellor for Research, IU School of Dentistry and the Robert H. McKinney School of Law; a second 2- year funding cycle of \$50,000 began in mid-2015	July, 2013	The pilot will be evaluated after two years	Funds are available for IUPUI faculty.	To be eligible, a journal must meet these additional requirements: • Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility), • Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct, • Have publicly available a standard article fee schedule. Journals with a hybrid open-access model or delayed open-access model are not eligible.	No cap on per- article funding. Articles for which alternative funding is available are not eligible for use of the fund. A onetime exception to this rule will be made for authors who did not include dissemination fees in the budget of the grant or contract. Articles with co- authors from other institutions will be supported at a prorated portion of the article processing fees. Authors from all IUPUI departments and schools are encouraged to apply. Although article processing fees for eligible publications will be distributed on a	# Articles Approved: 126 # Articles Reimbursed: 85 # Unique Submitting Authors: 98 # Unique Departments: 48 # Unique Journals: 88 # Unique Publishers: 32 NOTES: Data through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Johns Hopkins University Libraries	\$72,000	Established	Any January, 2015	Because the request for support exceeds the funds available, this year the OAPF is limiting grants to Hopkins students, postDocs and early-career faculty. The applicant must be an author on the paper in question.	Must be published in a peer-reviewed fully Open Access journal (defined as any journal that appears in the Directory of Open Access Journals). Cannot be used to pay for publishing in 'hybrid' Open Access journals. Must be immediately, openly accessible at publication; no delayed publishing or embargo periods are accepted.	Levels first-come, first- served basis, disbursement will be monitored to ensure diverse participation across the schools. As an added service, the IUPUI University Library will deposit a copy of funded articles in the IUPUIScholarWorks Up to \$1,500 per year per author. A copy of all funded articles will be made publicly in the Johns Hopkins University institutional repository, no later than three (3) months after receiving this award or three (3) months post- publication. Authors will be contacted by	# Articles Approved: 44 # Articles Reimbursed: 44 # Unique Submitting Authors: 45 # Unique Departments: 24 # Unique Journals: 24 # Unique Publishers: 13 NOTES: The Fund closed in January, 2015

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						to complete this task.	
<u>Kent State University</u> <u>Libraries</u>	\$115,000 from FY2013/14 through 2016/17	September, 2013	None	The Open- Access Fund is available to any Kent State University faculty, postdoc, staff member, or student who is the lead or corresponding author.	 Eligible Publications are open-access journals that: are approved by the applicants' Academic Unit Are peer reviewed Are listed in the <u>Directory of Open Access Journals</u> Have policies and practices consistent with the <u>Open Access Scholarly Publishers Association Code of Conduct</u> Make their standard fee schedules publicly accessible Waive their APCs in cases of the authors' financial hardship 	Funding allowance for a single article is capped at \$2000. Authors may receive funding for up to \$3,000 per year for all APCs. Unused amounts do not roll over to future years.	# Articles Approved: 47 # Articles Reimbursed: 47 # Unique Submitting Authors: 35 # Unique Departments: 12 # Unique Journals: 38 # Unique Publishers: 11 NOTES: Data through December 31, 2016
<u>MIT Libraries</u>	Pilot funding for FY2011		This is a pilot project subject to periodic evaluation	Current MIT faculty	Open-access journals that: * Are peer reviewed * Are listed in the Directory of Open Access Journals * Have policies and practices consistent with the Open Access Scholarly Publishers Association Code of Conduct * Make their standard fee schedules publicly accessible * Waive their fees in cases of financial hardship Articles in journals that charge an annual subscription fee, including journals that use a delayed open-access model, or offer an "open choice" option to make a particular article open access are not eligible.	The subsidy is limited to \$1,000 per article, regardless of the number of authors.	# Articles Approved: 77 # Articles Reimbursed: 70 # Unique Submitting Authors: 57 # Unique Departments: 24 # Unique Journals: 31 # Unique Publishers: 17 NOTES: Data through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					Articles reporting on research that was supported by funders that allow research funds to be used for publication fees (e.g. NIH) are not eligible for this funding, whether or not publication costs were specifically included in the grant. This fund is intended to be a last resort for use when no alternative source of funding is available.		
Memorial University Libraries	\$199,000 in 2016/17. Values are in Canadian dollars. This funding covers both OA memberships and APCs.	Summer, 2011	None	All Memorial, faculty, graduate students, staff, post-docs, emeriti, and honorary research profs who have had a peer-reviewed article accepted to an Open Access journal that meets the funding criteria. Where an article has multiple authors, at least one of these authors must be a Memorial affiliated researcher.	The fund will cover Author Processing Charges (also called author's fees or page fees) for articles that have been accepted to "gold" open access journals that meet the following criteria: a) Journal content is peer-reviewed. b) Journal does not charge subscription fees for any of its content. All articles are immediately available online at no cost to the reader. c) Author retains copyright over his or her work. (e.g. The journal uses Creative Commons licensing or similar.) A copy of the funded paper will also be made available through the Memorial University Research Repository within a year of initial publication. The fund will not cover open access author's fees in gated or hybrid journals.	Memorial Libraries will fund a maximum of \$3000 per year for each researcher. Researchers with no other funding source to cover APCs will be given priority over those with research funding available for this purpose.	 # Articles Approved: 254 # Articles Reimbursed: 254 # Unique Submitting Authors: 179 # Unique Departments: 35 # Unique Journals: 140 # Unique Publishers: 39 NOTES: Data through June 30, 2016
Northwestern University Library	\$20,000	October, 2013		Faculty members, post-	Articles accepted for publication in peer- reviewed journals. Publication will be	The fund will provide \$3,000 per	# Articles Approved: 68 # Articles Reimbursed: 68

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	doc researchers, graduate or professional students and any staff who are authors of articles accepted for publication in a peer-reviewed, open access	supported in any peer-reviewed journal that is listed in the Directory of Open Access Journals, or whose publisher is a member of the Open Access Scholarly Publishers Association and is published in a fully open access format based on a published schedule of article processing fees. Hybrid publication model is not supported. The fund supports article processing fees or publishing fees. The fund does not cover submission fees or personal or institutional subscriptions or sponsorships.	Northwestern researcher per year. As funds allow, exceptions will be considered for requests above this annual cap. For intramural collaborations, each author will be responsible for a prorated portion	# Unique Submitting Authors: 59 # Unique Departments: 35 # Unique Journals: 43 # Unique Publishers: 17 NOTES: Data through June 30, 2017
Penn State University Libraries	\$20,000 during initial pilot; \$20,000 assigned	February 2015. Criteria for awards was established	Pilot requested in a proposal in August 2014; approved February 2015	A Penn State scholar at any Penn State location is eligible (faculty,	Peer-reviewed journal articles in fully open access publications that are considered by the Board in their investigation to have strong scholarly merit. • Eligible publications must provide free,	up to a total per- article cap of \$3,000. Award is capped at \$2000 per article, one article per individual per fiscal year. For co-	# Articles Approved: 18 # Articles Reimbursed: 18 # Unique Submitting Authors: 18 # Unique Departments: 16
	again for FY 2016/17	by the Libraries Publishing Board, which oversees the process and determines		staff, student). Undergrad students eligible with concurrence of a faculty member. First time applicants get preference	 immediate, online access to the full text of the research article upon publication without restrictions. Access may be provided by an available pre-print or post-print version of the article. Eligible journals may include those listed in the Directory of Open Access Journals or publishers that are generally compliant with the Open Access Scholarly Publishers 	authored submissions, awards are pro- rated depending on how many of the authors have a Penn State affiliation.	# Unique Journals: N/A # Unique Publishers: 11 NOTES: Data through December 31, 2016
		what applications are funded.		for funding if appropriate. Requirement to deposit a copy in ScholarSphere repository.	Association's Code of Conduct. • Journals must be peer-reviewed. • Journals must make their standard fee schedules publicly accessible. • "Hybrid" open-access publishing, such as subscription-based journals that make some articles available after an author pays fees, are not eligible for funding.		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
<u>Simon Fraser</u> University Library	\$50,000 annually	Established January, 2010	Any	SFU authors who are faculty,	 Any journals or publishers whose legitimacy is in doubt or are listed in Beall's List of predatory scholarly open-access publishers require greater scrutiny by authors and the University Libraries. Ultimately, the eligibility of the journal is the purview of the Penn State Libraries Publishing Board. Authors are encouraged to include the following statement: "Publication of this article was funded in part by The Pennsylvania State University Libraries Open Access Publishing Fund." Eligible journals will be those in the Directory of Open Access Journals, or those whose 	Levels It is anticipated that SFU authors	# Articles Approved: 501 # Articles Reimbursed: 501
				staff or graduate students are eligible to use the Central fund. Undergraduate students working under the supervision of a faculty member are also eligible.	editorial policies meet the criteria for inclusion in DOAJ. The fund will also cover article processing charges for book chapters, provided the entire publication is open access. The fund does not cover optional article processing charges from hybrid journals. The fund does not cover additional charges such as for including color plates, long articles, submission fees, or excess page charges.	will include OA publishing charges in grant applications where they are eligible, and will first use such funds before applying for reimbursement from the central OA fund. The Library will work with the Office of Research Services to raise awareness of grant eligibility for OA fees and funder mandates.	# Unique Submitting Authors: 291 # Unique Departments: 29 # Unique Journals: 158 # Unique Publishers: 50 NOTES: Data through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						SFU author may claim during one fiscal year is limited to \$10,000.	
Southern Illinois University Carbondale Morris Library	\$26,000 for 2014-15	Fall 2011	The Fund is a pilot project which will be reviewed annually	Grants are available to faculty, including nontenure track faculty, and graduate students.	 Funding is available for open access journals that do not charge a fee for institutions, libraries or readers for access to the content, This includes: Members of the Open Access Scholarly Publishers Association (OASPA) All journals in the Directory of Open Access Journals that allow authors to retain distribution rights Hybrid journals that allow authors to retain distribution rights. Journals must not have an embargo period for access. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. 	 For fully open access journals: \$2000 per article and per year per author For hybrid journals: \$1500 per article and per year or 50% of the Open Access fee, whichever is less. Articles whose underlying research was funded by grants that allow funds to be used for article- processing fees (regardless of whether that particular grant budgeted for such fees) are not eligible for funding. 	 # Articles Approved: 99 # Articles Reimbursed: 88 # Unique Submitting Authors: 59 # Unique Departments: 22 # Unique Journals: 67 # Unique Publishers: 35 NOTES: Data through June 30, 2017
Texas Woman's University	\$30,000 annually from the Provost	Fall 2015		Current full- time TWU faculty (clinical or tenure track).	To be eligible for support, a work must be: - A peer-reviewed journal article, scholarly monograph, conference proceeding, or data set.	Fund provides awards of up to \$3000/article per author/contact, person/lead or author group in an	 # Articles Approved: 12 # Articles Reimbursed: 10 # Unique Submitting Authors: 12 # Unique Departments: 7 # Unique Journals: 12

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
					- Published in a journal or platform that is	open access	# Unique Publishers: 9
					openly available immediately upon	journal. Funds will	
					publication after charges are paid.	be distributed by	NOTES: Through June 30,
						semester: Fall	2016
					 Published in a traditional subscription 	(August -	
					based or 'hybrid' journal that offers an open	December), Spring	
					access option.	(January - April),	
						Summer (May -	
					- Accepted for publication at the time of	July).	
					application for funding support.		
					- Not eligible for funding by a grant that		
					would cover publication charges.		
					- The publication must have a standard		
					article fee schedule publicly posted. Costs for		
					reprints, color illustration fees, non-open		
					access page charges, administrative charges		
					and other fees are not supported. The article		
					must be available immediately upon		
					publication.		
University of Arizona	\$131,000	April 2014	None	UA faculty,	Funds may be applied to peer-reviewed	The fund covers	# Articles Approved: 169
	disbursed			researchers,	articles within an open access journal. The	author charges up	# Articles Reimbursed: 144
	through			staff, post-docs,	journal must:	to \$3,000 per	# Unique Submitting
	June, 2016,			and students	- Be published by a member organization of	article (when	Authors: 123
	with support				the Open Access Scholarly Publishers	available). If the	# Unique Departments: 55
	from the				Association or adhere to its code of conduct.	article is written	# Unique Journals: 95
	Office of the					with authors from	# Unique Publishers: 40
	Senior Vice				- Have a standard article fee schedule	other institutions,	
	President for				publicly posted.	funding is prorated	NOTES: Through June 30,
	Research and					to cover UA	2017
	Discovery				- Be listed in the Directory of Open Access	authors only. We	
	and the				Journals. We may make an exception if the	may make an	
	University				journal meets other criteria and the faculty	exception if the	
	Libraries				advisory board verifies its credibility.	primary author is from the UA.	
Libraries and Cultural	\$100,000/yr	June 2008	None. The	University of	- Publication fees for peer-reviewed articles	- If eligible, the	# Articles Approved: 1,105

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 10, 2017

Page 23 of 52

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Resources, University of Calgary	(CAN)		Fund is in its second year and is expected to continue.	Calgary faculty, staff, graduate students, and postdocs. The first author on articles should be from the University of Calgary.	that have been accepted in journals which are fully open access, where all of the content (articles, editorials, letters, appendices, data, etc), in all formats (HTML, pdf, etc), in all issues (current and older), is openly accessible. - Charges for journals which are not fully open access but allow individual articles to be made freely available online immediately upon payment of the submission fee ("hybrid') are not eligible as of June, 2014. - Journals/publishers that have differential charges for Creative Commons licenses (e.g. APCs for CC-BY licenses are higher than those for CC-BY-NC licenses) will not be eligible for coverage. - Deposition of funded articles in the University of Calgary institutional repository	entire fee is covered. - Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund.	 # Articles Reimbursed: 1,105 # Unique Submitting Authors: 243* # Unique Departments: 82* # Unique Journals: 385* # Unique Publishers: 58* NOTES: Through June 30, 2017 * Indicates that this figure encompasses both applications for the fund that have been accepted, as well as those that have been rejected by fund administrators as out of scope
UC Berkeley Research Impact Initiative (co- sponsored by UC Berkeley's Vice Chancellor for Research and the University Librarian)	\$54,000; an additional \$44,000 in funding was added in September, 2014	January 21, 2008	None	UC Berkeley faculty members, post- docs, and graduate students	is mandatory. BRII will provide funding to pay Berkeley authors' OA article processing charges (APCs), or OA scholarly book (monograph) or chapter subvention fee. - Articles must be made freely available at the time of initial publication. No embargo periods. - Article may be published in any open access publication, - Hybrid journals offering a paid access option are not eligible as of September, 2014.	 Maximum reimbursement of \$3000 per article for fully open access publication. Maximum reimbursement of \$1500 per article for hybrid journals that provide authors with an open access option. 	 # Articles Approved: 307 # Articles Reimbursed: 307 # Unique Submitting Authors: 243 # Unique Departments: 55 # Unique Journals: 135 # Unique Publishers: 51 NOTES: Data through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any			Levels - Authors must stipulate that they have no other available funding (e.g., grants) before turning to the Fund. - Authors are limited to \$6,000 in BRI funds each year. - BRII will fund up to \$2500 for OA journal articles or chapters within OA scholarly books. - BRII will offer \$7500 apiece in	
						publishing fees for up to three OA scholarly books.	
<u>UC Davis</u>		October 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC Davis Academic Senate, Academic Federation members, faculty, post- docs, residents, fellows, and graduate students	 Articles must be made freely available at the time of initial publication. No embargo periods. Article may be published in any fully open access publication (no hybrid journals are eligible). 	Up to \$1,000 per article.	 # Articles Approved: 36 # Articles Reimbursed: 36 # Unique Submitting Authors: 39 # Unique Departments: 21 # Unique Journals: 22 # Unique Publishers: 13 NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC Irvine Libraries	\$15,000	Fall, 2012	The Fund is a	UC Irvine faculty	Funds are available to pay open access/	\$3000 is the	# Articles Approved: 30

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
Sponsor	Value	Date Established	Date Limit, If Any pilot that will be re-evaluated at the end of 2014/15 academic year.	Who is Eligible? members, post- doctoral scholars, researchers, and staff	What is Eligible? processing fees for: - Fully open access journals: fees to publish in journals in which all articles are immediately available open access - Hybrid open access journals: fees to publish in journals in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles open access - Open access monographs: fees for publishing open access ebooks with reputable publishers - Open data archiving: fees charged by a data repository for archiving open access data Articles must be made freely available at the time of initial publication. No embargo periods.	Levels maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for that article. UCI Libraries Open Access Publishing Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. Some examples include PLOS journals in the sciences (particularly biology and medicine) and SAGE Open in social sciences and humanities. To search for open	Progress/Success To Date # Articles Reimbursed: 30 # Unique Submitting Authors: 39 # Unique Departments: 17 # Unique Journals: 32 # Unique Publishers: 21 NOTES: Data through March 31, 2014. The Fund is no longer operative.
						search for open access journals, use the box to the right.	
						The pilot Fund will cover a maximum	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			of \$1500 for any	
						one article in a	
						hybrid open access	
						journal.	
<u>UC Merced</u>	\$15,000	January, 2013	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	 UC Merced faculty UC Merced lecturers and others with academic appointments Currently enrolled UC Merced graduate students 	Only fully open access journals (immediately available with no embargo period) listed in the <u>Directory of Open Access Journals</u> will be funded.	Article Publication Charges (APCs) and Open Access Fees (OA Fees) up to \$3,000/article.	 # Articles Approved: 12 # Articles Reimbursed: 11 # Unique Submitting Authors: 21 # Unique Departments: 10 # Unique Journals: 12 # Unique Publishers: 12 NOTES: Data through March 31, 2014. The Fund is no longer operative.
				Priority will be given to articles with multiple authors from UC Merced, and those that represent collaborative or interdisciplinary research. Authors with grant funds that can be used for open access charges are not eligible.			
UC Riverside		March,	The Fund is a	UC Riverside	Funds are available to pay open access fees	UR-OAF provides	# Articles Approved: 5
		2013	pilot that will	faculty, post-	and article publication charges for fully open	UC Riverside	# Articles Reimbursed: 4

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Updated November 10, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			be re-evaluated at the end of 2014/15 academic year.	doctoral scholars, researchers and graduate students	access journals: •Fees to publish in journals in which all articles are immediately available open access •Articles must be made freely available at the time of initial publication, with no embargo periods. Articles published in "hybrid open access journals" in which only some articles are open access and a publication fee is usually paid to the publisher do not qualify for these funds.	authors reimbursement up to \$1000 per article for those publishing in fully open access journals (in which all articles are published as open access). There is a cap of one article per author per year. The person who completes the application form will be considered the applicant for that article.	# Unique Submitting Authors: 7 # Unique Departments: 5 # Unique Journals: 7 # Unique Publishers: 7 NOTES: Data through March 31, 2014. The Fund is no longer operative.
<u>UC San Diego</u>	\$20,000	October, 2012	The Fund is a pilot that will be re-evaluated at the end of 2014/15 academic year.	UC San Diego faculty, post- docs, residents, fellows, and graduate students and other affiliates are eligible to apply for funds.	 Funds are available to pay open access fees and article publication charges for fully open access journals: Fees to publish in journals in which all articles are immediately available open access Articles must be made freely available at the time of initial publication, with no embargo periods. (Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submission charges.) 	UC San Diego Open Access Fund Pilot funds will cover a maximum of \$1000 for any one article in a fully open access journal - journals in which all articles are published open access. \$1000 is the maximum any one applicant may be awarded in one year. The person	 # Articles Approved: 41 # Articles Reimbursed: 36 # Unique Submitting Authors: 60 # Unique Departments: 23 # Unique Journals: 35 # Unique Publishers: 20 NOTES: Data through March 31, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						who completes the application form	
						will be considered the applicant for that article.	
UC San Francisco	\$100,000	December 2012	Renewed annually	UCSF faculty in any of the six faculty title series listed	Publications must be made freely available at the time of initial publication. Embargo periods for open access will not be covered. OA article must be in a fully OA journal.	Open access journal articles processing charges (APCs):	# Articles Approved: 126 # Articles Reimbursed: 108 # Unique Submitting Authors: 100
				here may sponsor an application. Others may	- Journal articles must be published in a peer-reviewed, scholarly journal.	- Up to \$2,000 per article in a fully OA journal.	# Unique Departments: 45 # Unique Journals: 66 # Unique Publishers: 23
				apply on behalf of the sponsor so long as the faculty member	- OA journals must be listed in the <u>Directory</u> of Open Access Journals.	Open access books:	NOTES: Data through October 17, 2017
				is an author on the publication.	- The journal must apply policies and practices consistent with the OAPSA's <u>Code</u> of Conduct.	- Up to \$5,000 per book.	
					- Journal articles must be published under an unrestricted CC BY or CC BY-NC license.	A maximum of one funded article per faculty member per calendar year, and one	
					- Books must be peer-reviewed.	application per article. Books will be funded once every two years.	
UC Santa Barbara	\$30,000	Fall, 2012	The Fund is a pilot that will	UCSB faculty members, post-	- Fully open access journals	The fund will pay up to \$3,000 per	# Articles Approved: 19 # Articles Reimbursed: 11
			be re-evaluated at the end of 2014/15 academic year.	doctoral scholars, graduate students,	 Fees to publish in journals in which all articles are immediately available open access. 	publication and has a cap of one publication per author per year.	# Unique Submitting Authors: 26 # Unique Departments: 13 # Unique Journals:14
			academic yedi.	researchers, lecturers,	- Hybrid journals (subscription journals with an open access option) which offer	This is a pilot program and is	# Unique Publishers: 10

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	scientists, librarians, and other non- Senate academic appointees are eligible to participate in the pilot. We request that authors with funding from external grants or contracts not utilize pilot project funds in order to allow others to share in the benefit of	 publication fee discounts to UC authors. Open access monographs - fees for publishing open access ebooks with reputable publishers. Open data archives - fees charged by a data repository for archiving open access data. Open access conference proceedings - fees to publish papers in fully open access conference proceedings. Funds are for unpublished research. Publications must be made freely available at the time of initial publication with no embargo periods. Funds from the pilot are not available to cover color charges, page charges, illustration charges, or submisson 	Levels subject to funding restraints.	NOTES: Data through March 31, 2014. The Fund is no longer operative.
UC Santa Cruz	\$15,000	Fall, 2012	June, 2014.	open publications. UC Santa Cruz faculty, researchers, lecturers, post- docs, and currently enrolled graduate students who do not have grant funds that can be used for open access charges are eligible to apply	 charges. As with all journals, authors are encouraged to publish their research results in high quality publications. Article publication charges (APCs) or Open Access Fees (OA Fees): for previously unpublished articles in fully open access journals (in which all articles are available open access) or in hybrid open access journals (in which the default is for articles to only be available to subscribers, but authors may pay to make individual articles are made immediately available, with no embargo period. 	UCSC Open Access Fund will cover a maximum of \$3000 for any one article in a fully open access journal - journals in which all articles are published open access. The pilot Fund will cover a maximum of \$1500 for any one article in a	 # Articles Approved: 23 # Articles Reimbursed: 15 # Unique Submitting Authors: 31 # Unique Departments: 13 # Unique Journals: 14 # Unique Publishers: 10 NOTES: Data through June 30, 2014. The Fund is no longer operative.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Any	for funds from the UCSC Open Access Fund pilot.		hybrid open access journal. Hybrid journals charge readers a subscription fee, but allow authors to pay a fee to make a single article open access. \$3000 is the maximum any one applicant may be awarded in one year. The person who completes the application form will be considered the applicant for	
<u>University of</u> <u>Colorado Boulder</u> <u>Libraries</u>	The fund was instituted as a pilot in 2012 with an initial \$20,000. An additional \$34,000 has been added to the fund since then.	September, 2012		Current CU- Boulder faculty, staff, and students may request funding up to \$2,000 per year to pay for article- processing or publishing fees for full open access journals.	Any journal that provides free, immediate, online access to the full text of all research articles and follows accepted best practices for open access publishing is eligible. This includes, but is not limited to, journals listed in the Directory of Open Access Journals. At a minimum, journals must be compliant with the Open Access Scholarly Publishers Association's Code of Conduct. "Hybrid" open access journals that provide free online access for only some articles will not be eligible for funding.	that article. This fund supports open access to research articles where publication fees are not covered by grants or other funding sources. Authors are encouraged to include publication fees in grant requests whenever possible. Funding is limited to \$2,000 per	 # Articles Approved: 99 # Articles Reimbursed: 99 # Unique Submitting Authors: 101 # Unique Departments: 27 # Unique Journals: 64 # Unique Publishers: 35 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Establisheu	Ally			article regardless of the number of	
						authors.	
University of Florida Provost's Office	\$120,000	July 1, 2010	Funds were exhausted in January, 2013. Replenishment is presently under discussion.	All University of Florida faculty, post-doctoral researchers, staff members, and students.	 Publication fees in open-access journals that provide free, immediate, online access to the full text of research articles without restrictions. Eligible journals include those listed in the Directory of Open Access Journals, or publishers that hold membership in the Open Access Scholarly Publishers Association, and/or are compliant with the Association's Code of Conduct. A smaller stipend will be paid for selecting a paid open access option from a hybrid publisher. 	* Up to \$3,000 per article will be provided to pay publication fees in open-access journals which provide free, immediate, online access to the full text of research articles without restrictions. * Up to \$1,500 per article will be provided to pay for selecting a paid open access option from a hybrid publisher. * UFOAP funds	 # Articles Approved: 198 # Articles Reimbursed: 198 # Unique Submitting Authors: 158 # Unique Departments: 62 # Unique Journals: 105 # Unique Publishers: 40 NOTES: Through January 15, 2013; Fund is longer active
						are intended to support open access to research articles where publication fees are not covered by grants or other funding sources. In order to encourage inclusion of publication fees in	

SPARC – Open Access Funds in Action <u>https://sparcopen.org/our-work/oa-funds</u> Up

Updated November 10, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			future grant	
						applications,	
						articles resulting	
						from awarded	
						grants that allow	
						for budgeting of	
						article processing	
						fees are excluded	
						unless the grant	
						application was	
						submitted prior to	
						the establishment	
						of the UFOAP, July	
						1, 2010.	
						* Funding for	
						multi-authored	
						articles will be	
						prorated. If the	
						processing fee is	
						\$3,000 and there	
						are four UF	
						authors, each may	
						apply for \$750. For	
						fees exceeding the	
						\$3,000 cap per	
						article, each	
						UF author may	
						apply only for	
						his/her prorated	
						portion not to	
						exceed the \$3,000	
						yearly cap for each	
						researcher, or	
						\$1500 per article	
						for paid-access.	
University of Iowa	\$75,000,	April, 2013		- Current UI	- The publications must be peer-reviewed,	Up to \$3,000 for a	# Articles Approved: 189
Libraries	with support			faculty, post-	and allow free and immediate access to all	journal article or	# Articles Reimbursed: 158

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	from the Office of the Provost		,	doc, resident, or staff member who has had an	the content upon publication. - Journals or books with a hybrid model	books chapter, up to \$5,000 for a monograph.	# Unique Submitting Authors: 153 # Unique Departments: ~63
				article, book chapter, or book accepted for publication.	(some content by fee and some content open access) or delayed open access are not eligible for this fund. Articles and books must be fully available open access at the		# Unique Journals: 125 # Unique Publishers: 41 NOTES: Through June 30,
				- Currently UI- enrolled student or graduate student who has had an article, book chapter, or	time of publication. - Authors must have exhausted all available grant funds that may be used for article publishing charges. - Preference is given to authors who have not been previously funded.		2017
				book accepted for publication.	 University of Iowa Libraries will submit your open access publication to <u>Iowa Research</u> <u>Online</u>. 		
<u>University of Kansas</u> <u>Libraries</u>	\$50,000, with support from the University of Kansas Provost, The University of Kansas Medical Center Executive Vice Chancellor, and the Offices of Research at The University of	October, 2012	Two year pilot	Faculty, graduate students, post- docs, and staff on the Lawrence and Kansas City campuses during year one.	Submitted-for-publication or accepted-for- publication peer-reviewed articles in open access journals. An "open access journal" is a journal listed in the Directory of Open Access Journals and published by a publisher who holds membership in the Open Access Scholarly Publisher's Association. Subscription-based journals that charge additional fees to provide open access to articles (hybrid journals) will not be considered for funding in year one.	Funding covers costs of article processing fees only (no page or color charges), up to \$2000 per article. Funds will not be encumbered for articles that are still being written. Already-published articles are ineligible.	 # Articles Approved: 136 # Articles Reimbursed: 89 # Unique Submitting Authors: 182 # Unique Departments: 71 # Unique Journals: N/A # Unique Publishers: 57 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
	Kansas and University of Kansas Medical Center						
University of Maryland Libraries	\$25,000	September, 2013		Any UMD faculty member, post- doctoral researcher, or currently enrolled graduate or undergraduate student whose article has been accepted may apply for funding.	 Funds are available for open access journals, which are journals that do not charge a fee for institutions, libraries or readers for access to the content, and do not have an embargo period for access. This includes: All journals in the <u>Directory of Open Access</u> Journals that allow authors to retain distribution rights Members of the Open Access Scholarly Publishers Association (OASPA) or demonstrate its adherence to the <u>Code of</u> Conduct Journals that have publicly available a standard article fee schedule Journals that have a policy to substantially waive fees in case of economic hardship 	Article cap – maximum funding per article is \$3000; Author cap – one funded article per fiscal year	 # Articles Approved: 97 # Articles Reimbursed: 94 # Unique Submitting Authors: 90 # Unique Departments: 38 # Unique Journals: 53 # Unique Publishers: 26 NOTES: Through June 30, 2017
University of Massachusetts Amherst	\$25,000	July, 2014		Any current UMA faculty member, post- doctoral researcher, staff member, or graduate student author who has no other source of funding for	Eligible publications and publishing venues must be fully and immediately open access. Publications in "hybrid open-access" (which also charge subscription fees) or "delayed open-access" journals and monographs are not eligible. Journals or monograph publishers must be members of the Open Access Scholarly Publishers Association, OR demonstrably adhere to the OASPA Code of Conduct.	Funding is available annually for any one author up to \$3000. Funding is available for any one paper up to a maximum of \$3000. Support for non-UMA collaboratively	 # Articles Approved: 31 # Articles Reimbursed: 29 # Unique Submitting Authors: 31 # Unique Departments: 19 # Unique Journals: 22 # Unique Publishers: 14 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				open access publication charges. Authors whose grants include funding for publication charges, or who have support from other venues, must apply those	Journals or monograph publishers must have a standard article fee schedule, publicly accessible, and a policy to waive fees in cases of economic hardship or economic equity.	authored papers will be available on a prorated basis. All works will be deposited on UMA Amherst ScholarWorks.	
University of Michigan Library and the Office of the Provost	\$30,000	September, 2010	The Fund stopped accepting new applications in May, 2012. It is no longer operating.	funds first. All University of Michigan faculty, post- doctoral researchers, graduate and professional students, staff members, and students.	Peer-reviewed scholarly articles that are published in open-access journals are eligible. Anyone may apply, but the Library prefers to support work: *where the author retains copyright. *which will appear in fully open journals, and is accessible immediately upon publication. *for which grant funding could not be applied to publishing costs. *that the author commits to depositing in open access repositories (e.g. Deep Blue). *for which the open access publication fee is less than \$1500.	Up to \$3000 per submission. However, if some requirements are not met, then a smaller portion will be provided based on the following criteria: *Retaining Rights: If you will not retain copyright or the right to distribute the work we will fund the lesser of \$1000 or 50% of the publisher's open access fee. *Publish in fully open journals: An	 # Articles Approved: 68 # Articles Reimbursed: 44 # Unique Submitting Authors: 60 # Unique Departments: 17 # Unique Journals: 58 # Unique Publishers: 30 NOTES: Through September 15, 2012; Fund is no longer active

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			-			for which all peer-	
						reviewed content	
						is available freely	
						to readers. If the	
						work will appear in	
						a "hybrid" journal	
						— one for which	
						some of the peer-	
						reviewed work is	
						only available to	
						paid subscribers —	
						we will fund the	
						lesser of \$1000 or	
						50% of the	
						publisher's fee.	
						*Assure access is	
						immediate upon	
						publication: If	
						access to the	
						published article is	
						not immediate we	
						will fund the lesser	
						of \$1500 or 50% of	
						the publisher's fee.	
						If the publisher will	
						delay access longer	
						than 12 months,	
						we will not provide	
						any funding.	
						* Use grant	
						funding wherever	
						possible: If your	
						grant funding	
						could have been	
						applied to	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			,			publishing charges, we will fund the lesser of \$1500 or 50% of the publisher's fee. We will only do this once for an author/group of authors, since such fees should be included in future grant applications.	
<u>University of</u> <u>Minnesota Libraries</u>	\$40,000 annually, with funds from the budget of the University Libraries and the Office of the Vice President of Research	Spring, 2012		Any University of Minnesota faculty, researchers, post-docs, graduate students, or staff	 Funds are available for peer-reviewed journal articles, scholarly monographs, conference proceedings, and data sets. Funds may be used only to cover open access publication and submission fees. Author fees for open access journals (as determined by listing in the Directory of Open Access Journals, membership in the Open Access Scholarly Publishers Association, or adherence to Open Access Scholarly Publishers Association Code of Conduct) will be covered in full. Author fees for "hybrid" journals will be covered up to 50%. Funds may not be used for publications that do not make works fully openly available immediately upon publication. Authors receiving funds must deposit a copy of the publication in an approved open access repository, such as the University Digital Conservancy (the University of Minnesota's institutional repository) or a recognized discipline repository (e.g., arXiv, AgEcon, PubMedCentral, etc.) 	No limit for full OA articles. "Hybrid" journals will be covered up to 50%.	 # Articles Approved: 61 # Articles Reimbursed: 61 # Unique Submitting Authors: 58 # Unique Departments: 28 # Unique Journals: 43 # Unique Publishers: 14 NOTES: Through June 30, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
University of North Carolina-Chapel Hill (Sponsored by the Office of the Vice Chancellor for Research and Economic Development until fall 2009; subsequently continued via library	\$20,000 From the Vice Chancellor for Research; \$8,000 From Health Sciences Library \$8,000 from University	March 2005	July, 2013	UNC-CH faculty, post-doctoral researchers, and graduate or professional students without grant funds to cover publication fees	Publishing charges related to journals offering free immediate open access to articles. Journals offering "open choice" or "hybrid" options are eligible provided the articles are immediately accessible.	 Award maximum is \$1000 per article. Authors must exhaust other funding avenues (e.g., grants) before turning to the Fund. 	 # Articles Approved: 82 # Articles Reimbursed: 71 # Unique Submitting Authors: 63 # Unique Departments: 12* # Unique Journals: 47 # Unique Publishers: 19 NOTES: Data through July, 2013; Fund is no longer active
funding) University Libraries University of North Carolina at Greensboro	Library \$11,500	Fall 2011	The Fund is a pilot project by the University Libraries and the Office of Research & Economic Development.	Full-time faculty, full-time EPA employees, and graduate students are eligible to apply	- The article must be published in a peer- reviewed open-access journal.	 Reimbursement will not exceed \$1,000 per article. Reimbursement will be limited to one award per fiscal-year per author. 	 # Articles Approved: 39 # Articles Reimbursed: 39 # Unique Submitting Authors: 35 # Unique Departments: 13 # Unique Journals: 27 # Unique Publishers: not tracked
						- Authors are expected to exhaust all other grant or contract funding sources available to them before applying for support from the Open Access fund.	NOTES: Through June 30, 2017

Sponsor	Value	Date	Date Limit, If	Who is Eligible?	What is Eligible?	Reimbursement	Progress/Success To Date
		Established	Any			Levels	
University of	\$20,000	Late 2013		Any current	Article-processing fees for scholarly, peer	Authors may	# Articles Approved: 66
<u> Oklahoma - Norman</u>	annually			University of	reviewed articles in true open access	receive funding up	# Articles Reimbursed: 56
				Oklahoma-	journals, listed in the Directory of Open	to \$1,500 per fiscal	# Unique Submitting
				Norman regular	Access Journals. Publisher must be a	year for publishing	Authors: 61
				faculty	member of the Open Access Scholarly	and processing	# Unique Departments: 18
				member, post-	Publishers Association or adhere to its Code	fees, including	# Unique Journals: 31
				doctoral	of Conduct. Articles with a hybrid or delayed	open access pages	# Unique Publishers: 17
				researcher, staff	OA model are not eligible through this fund.*	charges; unused	
				member or	The journal must have a publicly available	amounts will not	NOTES: Data through June
				student author.	standard article fee schedule published and	roll over to future	30, 2017
				Preference is	should have a policy to waive fees in case of	years.	
				given to authors	economic hardship.		
				who have not		Authors agree to	
				been previously		retain their	
				funded.		copyright and to	
						post a copy of their	
						work in the	
						University's	
						institutional	
						repository, SHAREOK.	
University of Oregon	Up to	Spring 2009	June, 2014	- Applicant must	Reimbursement will cover only direct costs	- Up to 100% of	# Articles Approved: 45
Libraries, Office of	\$50,000	008 2000	54.10) <u>202</u> .	be a UO tenure-	for open access publication (not the cost of	author fee for	# Articles Reimbursed: 41
the Provost	<i>400,000</i>			related faculty	reprints, color illustration fees, non-OA page	publishing a peer-	# Unique Submitting
				member, non-	charges, web hosting for self-archiving, etc.).	reviewed	Authors: 31
				tenure-related	Manuscript must be a peer-reviewed	manuscript in an	# Unique Departments: 9
				career faculty	scholarly article or monograph (including	open access	# Unique Journals: 21
				member	original research, review articles, etc.).	journal.	# Unique Publishers: 12
				(including	Funding is available for publication in open	Maximum	
				ranked officers	access peer reviewed scholarly journals that	reimbursement	
				of instruction,	charge a publication fee. Funding is also	will be \$1,000 per	NOTES: The Fund has been
				research, or	available for peer reviewed scholarly	article.	retired as of June, 2014.
				administration),	monographs. Articles must be made freely		
				post-doc, or	available on the web at the time of initial	- Maximum	
				currently	publication (no embargo periods). At this	reimbursement	
				enrolled	time funding is not available for articles	will be \$3,000 per	
				graduate	published using an "open choice" option in	person over the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Established	Ally	atudant raadu	journals that are primarily subscription	period of this pilot	
				student ready to submit a			
					based rather than open access.	project. Reimbursement	
				completed			
				article for	Journals listed in the Directory of Open	will cover only	
				publication.	Access Journals (http://www.doaj.org/doaj)	direct costs for	
					are assumed to qualify. For publication in a	open access	
				- Applicant must	journal not listed there, additional	publication (not	
				be listed as one	information to allow assessment of whether	the cost of	
				of the authors,	the journal meets our criteria will be	reprints, color	
				and article must	required as part of the application process.	illustration fees,	
				indicate UO		non-OA page	
				affiliation.		charges, web	
						hosting for self-	
						archiving, etc.)	
						- Reimbursement	
						will be available	
						only in cases	
						where the authors	
						do not have	
						adequate grant or	
						contract funding	
						specifically	
						designated to	
						cover author fees.	
						Authors with	
						funding designated	
						to cover	
						publication costs	
						such as author fees	
						from grants,	
						contracts, or other	
						institutional funds	
						are asked to use	
						those funds first	
						and to allow others	
						to share in the	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						benefit of open publications.	
University of Ottawa	\$100,000 (CAD), with financial support from the University, the Library and most Faculties.	January, 2010	The Author Fund working group (whose members represent the Office of the Vice-President, Research, the Library and all contributing faculties) will evaluate this initiative periodically, and recommend any changes to the Fund's criteria and administration procedures, when appropriate.	The Fund is open to all full- time and part- time faculty members, staff, currently registered graduate students, postdoctoral fellows and adjunct professors affiliated with the University of Ottawa. Researchers working for University of Ottawa Research Centres and Institutes, and Affiliated Research Institutes are also eligible.	Eligible open access journals must be listed in the Directory of Open Access Journals or meet the DOAJ's selection criteria. Eligible hybrid and traditional journals must make articles available immediately and allow self- archiving of the publisher PDF immediately upon publication (no embargo period imposed). The fee schedule must be publicly available online. The Fund also covers open access books.	The Fund covers only the costs and fees associated with open access publishing. Costs for reprints, color illustration fees, non-open access page charges, administrative charges and other fees are not applicable. Researchers are entitled to apply for two (2) reimbursements per fiscal year. The Fund will reimburse open access book fees up to a maximum of \$2,500 per publication. Applicants must first use funds received through other research grants that are intended to cover publication or	 # Articles Approved: 622 # Articles Reimbursed: 512 # Unique Submitting Authors: 389 # Unique Departments: 85 # Unique Journals: 341 # Unique Publishers: 83 NOTES: Through June 30, 2016

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						submission fees. Should the grants not allocate sufficient funds to cover such costs, an application can be submitted to this Fund.	
University of Pennsylvania Libraries	\$40,000	May 1, 2016	The Fund will be evaluated annually	Standing Faculty and Associated Faculty (with the exception of Visiting Faculty) of the University of Pennsylvania.	Scholarly articles that are published in open- access journals. The journals must be listed in the Directory of Open Access Journals; be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct; provide unfettered access to all peer-reviewed articles; have publicly available a standard article fee schedule; and have a policy to waive fees in the case of economic hardship (some exceptions may be made to this last requirement). Publication formats other than journal articles (e.g., monographs or conference proceedings) are eligible according to the same guidelines. Publications funded by the libraries' Open Access Fund must be submitted to Penn's institutional repository,	An author may request up to \$3,000 per article (or alternative publication format) and no more than \$3,000 in any academic year.	 # Articles Approved: 27 # Articles Reimbursed: 17 # Unique Submitting Authors: 27 # Unique Departments: 18 # Unique Journals: 25 # Unique Publishers: Not tracked NOTES: Through June 30, 2017
University of Pittsburgh Library System	No limit	July 1, 2012	None	Funds are available for faculty, staff, postdoctoral associates, and students served by the ULS and the BARCO Law Library. This includes those at all of the University of	 The venue of publication must be an established open-access journal, that is, a journal that does not charge readers or their institutions for unfettered access to the peer-reviewed articles that it publishes. To be eligible, a journal must meet these additional requirements: Be listed in the Directory of Open Access Journals (unless the journal is too new for DOAJ eligibility) OR 	No limit on per- article reimbursement fees. Reimbursable article processing fees may include publication fees (charges levied on articles accepted for publication, including page charges). Eligible	 # Articles Approved: 193 # Articles Reimbursed: 193 # Unique Submitting Authors: 154 # Unique Departments: 60 # Unique Journals: 119 # Unique Publishers: 20 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Estastistica		Pittsburgh	Be a member of the Open Access Scholarly	fees must be based	
				schools except	Publishers Association or adhere to its Code	on a publication's	
				the six schools	of Conduct,	standard fee	
				in the health		schedule that is	
				sciences: Dental	 Have publicly available a standard article 	independent of the	
				Medicine,	fee schedule.	author's	
				Health and		institution. Reprint	
				Rehabilitation	The ULS is an institutional member of	fees are not	
				Sciences,	Hindawi Publishing Corporation, which	eligible.	
				Nursing,	publishes over 300 Open Access journals in a		
				Medicine,	variety of disciplines. The ULS' membership	Articles for which	
				Pharmacy, and	allows for all authors served by the ULS who	alternative funding	
				Public Health.	publish in Hindawi journals to do so free of	is available are not	
					author charges. There is no need for authors	eligible for	
					to apply to the ULS for publication funds.	reimbursement.	
						This includes	
					Journals with a hybrid open-access model or	articles reporting	
					delayed open-access model are not eligible.	research funded by	
						a gift or a grant	
						from a granting	
						agency,	
						foundation, or	
						other institution	
						(including the	
						University of	
						Pittsburgh itself)	
						that allows granted	
						funds to be used	
						for article	
						processing fees	
						(whether or not	
						the particular grant	
						had budgeted for	
						such fees and	
						whether or not	
						sufficient grant	
						funds remain) and	

University of Rhode \$15,000, split January, The Fund will The URI OA an institution that itself pays article processing fees on behalf of the author (such as MHH). University of Rhode 515,000, split January, The Fund will The URI OA Fund is arter the 2014- The WIGE University of Rhode between the Utbraries and the Vice 2014 acceleration (sace) The URI OA Eligible journals must: The maximum dollar around that withinge of the URI OA Fund will (indique Journals : 6 withors : 7 withingue Journals : 6 withingue Journals : 7 withingue Journals : 7 withingue Journals : 7 withingue Journals : 7 withing	Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
island between the Libraries and the Vice President for Economic Development 2014 be evaluated after the 2014; 2015 academic year. Fund is available to full- time faculty of the University of Rhode Island. = Be listed in the Directory of Open Access Journals. dollar amount that the URI OA Fund will reimburse for a single article is # Articles Reimbursed: 10 # Unique Submitting - Be isted in the Directory of Open Access Scholarity = Be isted in the Directory of Open Access Scholarity dollar amount that the URI OA Fund a limited resource intended to support open access to all peer- reviewed articles in the journal. (Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available open access after a time delay or "embargo.") The URI OA Fund is a limited resource intended to support open access the university. We expect researchers NOTES: Through June 30, across the university. We expect researchers - Have publicly available open access after a time delay or "embargo.") - Have a policy to waive fees in cases of economic hardship. e and the file open access to request funding ource of last resort and to support open access to articles whose research was not grant- funded. a funding source of last resort and to support open access to articles		645.000 - L'					an institution that itself pays article processing fees on behalf of the author (such as NIH).	
		between the Libraries and the Vice President for Research and Economic		be evaluated after the 2014- 2015 academic	Fund is available to full- time faculty of the University	 Be listed in the Directory of Open Access Journals. Be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Provide unrestricted access to all peerreviewed articles in the journal. (Subscription-based journals that charge a fee, sometimes called an "author's choice" or "open choice" fee, to make single articles available open access are not eligible, nor are subscription journals that make articles available open access after a time delay or "embargo.") Have publicly available a standard article fee schedule. Have a policy to waive fees in cases of 	dollar amount that the URI OA Fund will reimburse for a single article is \$3,000. The URI OA Fund is a limited resource intended to support open access publishing across the university. We expect researchers to request funding for open access publication from their funding agency if they can do so. These funds are intended to be a funding source of last resort and to support open access to articles whose research was not grant-	 # Articles Reimbursed: 10 # Unique Submitting Authors: 7 #Unique Departments: 5 # Unique Journals: 6 # Unique Publishers: 5 NOTES: Through June 30,
	University of	Initial	October,	Extends until	Any UTK faculty	- Article processing fees levied by peer-	funded. Funds are awarded	# Articles Approved: 212

SPARC – Open Access Funds in Action

https://sparcopen.org/our-work/oa-funds

Updated November 10, 2017

Page 45 of 52

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Tennessee, Knoxville Office of Research and the University Libraries	allocation of \$20,000, augmented by regular contributions from the Office of Research and Engagement and the University Libraries	2008	funding is exhausted and will be evaluated	member, post- doctoral associate, or currently enrolled graduate student.	reviewed open access publishers such as the Public Library of Science and BioMedCentral. - Any open access publication will be considered, provided that the journal is freely available at the time of initial publication with no embargo periods. - Hybrid journals that make only selected articles open access are not included in the pilot project.	on a first-come, first-served basis to a maximum of \$3,000 per article. - Authors are requested to negotiate lower publishing fees when possible. -Authors with grant support to cover open access publishing are requested not to	 # Articles Reimbursed: 212 # Unique Submitting Authors: 113 #Unique Departments: 36 # Unique Journals: 93 # Unique Publishers: 38 NOTES: Through June 30, 2017
University of Toronto Libraries	\$45,000 initially, with an additional \$10,000 committed after launch	October, 2012	January, 2016	The Fund is open to all full- time and part- time faculty members and librarians, continuing staff, currently registered undergraduate and graduate students, and postdoctoral fellows affiliated with the University of Toronto.	To be eligible for reimbursement, the article, chapter or monograph must be published in a fully Open Access format. At this time, traditional subscription based or 'hybrid' journals that offer an open access option for a fee are not eligible. Eligible Open Access journals will either be listed in the Directory of Open Access Journals, be a member of the Open Access Scholarly Publishers Association or adhere to its Code of Conduct. Journals must provide unfettered access to all peer-reviewed articles and make publicly available a standard article fee schedule. The library reserves the right to refuse a request if the publisher appears to be a "predatory open access publisher".	apply. The maximum amount that will be reimbursed to an author is \$3000 per fiscal year from the Fund. Researchers who have received a research grant that explicitly includes publication or submission support are required to use those funds before applying to the Open Access Author Fund.	 # Articles Approved: 100 # Articles Reimbursed: 100 # Unique Submitting Authors: 78 #Unique Departments: 45 # Unique Journals: 59 # Unique Publishers: 30 NOTES: Through June 30, 2016, at which time the Fund was discontinued

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
						Additionally, those	
						faculty and	
						librarians who	
						have access to	
						departmental or	
						PERA funds should	
						make use of these	
						funds prior to	
						making a request	
						for reimbursement	
						through the Open	
						Access Author	
						Fund.	
						Fund recipients	
						must provide a	
						copy of their	
						article/book	
						chapter for	
						submission to T-	
						Space, the	
						University's	
						Research	
						Repository.	
University of Utah	\$83,994	May, 2011	The Fund closed	University of	a) Journals which are fully Open Access.	The maximum	# Articles Approved: 50
<u>Library</u>			in 2014.	Utah faculty,	Content of the entire journal is freely	dollar amount that	# Articles Reimbursed: 37
				staff members,	available online immediately upon payment	the OAP Fund will	# Unique Submitting
				postdosc, or	of the article processing fee. Fully Open	reimburse for a	Authors: 47
				currently	Access journals from publishers who have	single article	# Unique Departments: 16
				enrolled	few such journals are also eligible.	during the trial	# Unique Journals: 33
				graduate or		period is \$3,000.	# Unique Publishers: 26
				undergraduate	b) Hybrid journals which are not fully Open	Researchers are	
				students on	Access but which allow individual articles to	expected to	
				main (excluding	be made freely available online immediately	request funding for	NOTES: Through February,
				law) campus.	upon payment of the submission fee. To be	open access	2014. The Fund closed in
					eligible for funding in this category, the	publication from	2014.
					publisher must plan to make (in the next	their funding	

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
		Litabilitieu			subscription year) reductions to the institutional subscription prices based on the number of Open Access articles in those	agency before applying to the OAP Fund.	
University of Virginia Library	\$15,000 per fiscal year	July, 2013	July, 2017	Eligible authors include all faculty, researchers, staff and students served by the U.Va. Library system (this excludes those served by the Health Sciences, Law and Business libraries).	journals. - Journal must be peer-reviewed and listed in the Directory of Open Access Journals. - Journal should generally adhere to the Open Access Scholarly Publishers Association (OASPA) 'Code of Conduct'. - Hybrid journals will not be eligible for funding at this time. - Article must be available immediately upon publication, with no embargo. - Other content types such as books are eligible, if they are peer-reviewed. - Author must agree to submit a copy of the post-review publication to UVa's institutional repository, Libra. - Only publishing fees will be covered. - Authors must have exhausted all available grant funds that may be eligible to be used for the article processing fee.	Eligible individuals may receive up to \$2000 per article, and \$3000 total per year. Funds will be allocated on a first-come, first- served basis.	 # Articles Approved: 36 # Articles Reimbursed: 26 # Unique Submitting Authors: 58 (includes co-authors) # Unique Departments: 8 # Unique Journals: 25 # Unique Publishers: 18 NOTES: Through June 30, 2017. The Fund closed June 30, 2017.
University of Western Ontario Libraries	\$120,000 CAD	February, 2014		At the time of application, an eligible applicant must be: - a current faculty (full- time or part- time) member at Western; or - a current postdoctoral fellow at	Peer-reviewed journal articles accepted for publication into open access journals that are listed in the Directory of Open Access Journals, or meet the DOAJ's selection criteria. In particular, "hybrid" journals, which normally require a subscription to read, but provide an "open access option" for a supplementary author fee, are not eligible for funding. Books, or book chapters (no textbooks), which are published by a publisher that is a member of the Open Access Scholarly Publishers Association or meet their Code of Conduct.	The Fund will provide up to \$3,000 (Canadian) per article, book, or book chapter. In the case of an article with multiple authors, if both the first and corresponding authors are Western researchers, either one of them but	 # Articles Approved: 115 # Articles Reimbursed: 84 # Unique Submitting Authors: 118 # Unique Departments: 44 # Unique Journals: 59 # Unique Publishers: 19 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
			Ally	Western; or - a current graduate student at Western; or - a current librarian or archivist at Western The applicant must be either the first author or the corresponding author of the article.	Funded articles, books, or book chapters will be freely available online immediately upon publication. The journal does not require that the author(s) to transfer copyright to the publisher, and the author(s) retain control over the future use of the work. Optionally, but preferably, the eligible work is published with a license that enables the widest distribution and reuse. The Creative Commons "CC BY" Attribution license (see Creative Commons Canada for more information about the Creative Commons licenses) is preferred.	not both can apply for the funding support. If the applicant has received a grant from a research funding agency, s/he must declare that the publication fee for the article has not already been covered by the grant. Applicants are eligible for up to two grants per	
University of Wisconsin – Madison Libraries	\$50,000, supported by unrestricted gift funds to library	July 2005	January 31, 2014	- Any University of Wisconsin- Madison faculty and researchers - Reimbursement will be limited to one award per fiscal year per author.	 Up to 50% for publishing in a fully open access journal as defined by inclusion in DOAJ; up to 30% for publishing in journals that allow an open choice option. Reimbursement will not cover the cost of reprints, color illustration fees, page charges, etc. 	 year. Up to 50% for publishing in a fully open access journal (as defined by inclusion in the Directory of Open Access Journals). Up to 30% for publishing in a journal that provides authors with an open access option. Reimbursement will not exceed 	 # articles approved: 37 # articles reimbursed: 37 # unique submitting authors: 30 # unique departments: 21 # unique journals: 22 # unique publishers: 19 NOTES: Data above cover date range from 2/8/08 – 6/30/13. Fund is no longer active.

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Utah State University Libraries	\$30,000	October, 2011	All aspects of this program,	Faculty and graduate	Peer reviewed journal articles. Fully OA journals are preferred, but consideration will	\$1,500 per article. - Authors must cover the balance of the open access charges through grants or other funds. There is no limit on the per-article	# Articles Approved: 93 # Articles Reimbursed: 81
			including the amount of funding committed to the program, will be analyzed and reviewed on a regular basis.	students	be given to hybrid options on a case by case basis. Preference will also be given to those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Authors must also deposit a copy of the funded article in the USU DigitalCommons.	reimbursement fee amount. Funds will be available on a first-come-first- served basis during the pilot phase. Funding Preference will be given to: - Those who are able to demonstrate matching funds from their department, college, or an outside granting agency. Without a match, full funding is not guaranteed. - Those who publish in fully open access journals	# Unique Submitting Authors: 83 # Unique Departments: 22 # Unique Journals: 65 # Unique Publishers: 35 NOTES: Through June 30, 2017

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
Virginia Tech	\$50,000 for	August,	,	All faculty, staff,	Funds are available to underwrite article	Support is limited	# Articles Approved: 254
Libraries	FY 2014-15,	2012		graduate, and	processing fees for scholarly peer-reviewed	to \$1500.00 per	# Articles Reimbursed: 354
	in			undergraduate	articles accepted for publication in an open	article and	# Unique Submitting
	partnership			students are	access or hybrid OA journal.	\$3000.00 per	Authors: 645
	with the			eligible.		author per year.	# Unique Departments: 105
	Office of				A journal's publisher must be a member of	For papers with	# Unique Journals: 118
	Senior Vice				the Open Access Scholarly Publishers	multiple Virginia	# Unique Publishers: 39
	President				Association (OASPA) or comply with OASPA's	Tech co-authors,	
	and Provost				Code of Conduct.	the program would	NOTES: Data through June
	and the					still subsidize the	30, 2017
	Office of the				For fully OA journals, the journal must be	same total amount	
	Vice				listed in the Directory of Open Access	of up to \$1,500 per	
	President for				Journals.	article but it would	
	Research					be prorated among	
					For hybrid OA journals, the publishers must	each of the	
					have reduced institutional subscription	authors.	
					prices, or plan to reduce prices this next		
					year, based on the number of open access	Authors must have	
					publications in the journals.	no other sources of	
						funding available.	
Wake Forest	\$13,000	Early 2008	None, although	Available to	Eligible publications include peer-reviewed	- No limit on size of	# Articles Approved: 50
University Z. Smith	(library		program is	WFU Reynolda	journal articles and book chapters.	request faculty can	# Articles Reimbursed: 49
Reynolds Library	contribution		regularly	campus faculty.		make.	# Unique Submitting
	is half of		assessed and		Articles and book chapters must be publicly		Authors: 38
	total fund)		evaluated	Authors who	available immediately upon publication.		# Unique Departments: 15
				receive external			# Unique Journals: 41
				funding support	Articles and book chapters may be published		# Unique Publishers: 23
				that could be	in either Open Access or hybrid journals		
				used for	(traditional journals that offer a per-article		NOTES: Data through June
				publishing	Open Access option for an additional fee).		30, 2017
				costs (e.g., NIH			
				grants or	Full OA journal publishers must either be		
				contract	listed in the DOAJ: Directory of Open		
				awards) are still	Access Journals (http://www.doaj.org) or be		
				eligible for WFU	members of the Open Access		
				funds, but	Scholarly Publishers Association		
				must use all	(http://oaspa.org).		

Sponsor	Value	Date Established	Date Limit, If Any	Who is Eligible?	What is Eligible?	Reimbursement Levels	Progress/Success To Date
				available external funds before seeking WFU funds.	Copies of the final published version of funded publications must be archived and made publicly available through WFU's institutional digital archive, WakeSpace.		
Wayne State University Libraries	\$10,000	March, 2016		Funds are available to current full- time Wayne State University Faculty. One award per author per funding year.	Peer-reviewed journal articles and scholarly monographs, and/or their related or supporting data sets, are eligible for support from the fund. Venues for publication must either be members of the Open Access Scholarly Publishers Association (OASPA, http://oaspa.org/), or meet the membership requirements of OASPA, as delineated under OASPA's Membership Criteria (http://oaspa.org/membership/membership- criteria/) and Code of Conduct (http://oaspa.org/membership/code-of- conduct/). Hybrid open access arrangements ("paid open access" or "open choice") may be considered on a case-by-case basis, with the understanding that publishers offering open choice may not meet 100% of the above criteria. The publication must be free of any embargo period which might limit access for a specific time after publication.	The fund seeks to support at least ten projects a year. Applicants to the fund may request amounts up to 1/10th of the total fund [request cap is currently \$1,000]; fees less than that amount are eligible for full funding.	 # Articles Approved: 35 # Articles Reimbursed: 22 # Unique Submitting Authors: 40 # Unique Departments:25 # Unique Journals: 32 # Unique Publishers: 25 NOTES: Data through June 30, 2017